

**STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS**  
**DEPARTMENT OF BUSINESS REGULATION**  
*Insurance Division*  
**1511 PONTIAC AVENUE, BLDG. 69-2**  
**CRANSTON, RHODE ISLAND 02920**

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**IN THE MATTER OF:**

**JAMES MARTIN**

**RESPONDENT.**

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**DBR No. 08-I-0269**

**CONSENT AGREEMENT**

It is hereby agreed between the Department of Business Regulation (“Department”) and James Martin (“Respondent”) as follows:

1. Respondent is the holder of Rhode Island resident insurance producer license number 1046674 which was due to expire on March 31, 2008.
2. Respondent filed an application to renew the license on April 2, 2008.
3. Respondent did not submit certificates evidencing completion of twenty-four hours of continuing education as required by R.I. Gen. Laws § 27-3.2-4.
4. The Department contacted Respondent on April 3, 2008, July 11, 2008 and September 25, 2008 to request the continuing education certificates but did not receive a response.
5. On October 28, 2008 the Department served a Notice of Intent to NonRenew License and Opportunity for Hearing.
6. Respondent thereafter contacted the Department and provided certificates evidencing that the required continuing education was completed on November 5, 2008.

WHEREFORE, based on the foregoing, Respondent and the Department have decided to resolve this matter without further administrative proceedings and hereby agree to the following resolution:

1. Respondent will pay a fine of one hundred dollars (\$100) for failure to complete continuing education prior to his renewal date of March 31, 2008.
2. Respondents insurance producers license is hereby reinstated retroactive to March 31, 2008.
3. Respondent understands that this action shall be reported to the National Association of Insurance Commissioners, State Producer Licensing Database (SPLD) and the Producer Data Base (PDB).

Counsel for the Department and Respondent hereby consent and agree to the foregoing on behalf of their respective clients the 24<sup>th</sup> day of February 2009.

Department of Business Regulation  
By it's Legal Counsel,

Respondent,

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Original signature on file  
Elizabeth Kelleher Dwyer

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Original signature on file  
James Martin