

**STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS  
DEPARTMENT OF BUSINESS REGULATION  
1511 PONTIAC AVENUE, BLDG. 68-1  
CRANSTON, RHODE ISLAND 02920**

---

**IN THE MATTER OF:**

**JASON A. SHECHTMAN,**

**RESPONDENT.**

---

:  
:  
:  
:  
:  
:  
:  
:

**DBR No. 10-L-0088**

**CONSENT AGREEMENT**

It is hereby agreed by and between the Department of Business Regulation (“Department”) and Jason A. Shechtman (“Respondent”) as follows:

1. Respondent was previously licensed by the Department as a non-resident auctioneer, holding license number 5974, having been initially issued on June 12, 2009, pursuant to R.I. Gen. Laws § 5-58-1, *et seq.*
2. Respondent’s herein described license expired on December 1, 2009.
3. On June 10, 2010, the Department received a Renewal Application from the Respondent, along with the required renewal fee and tax affidavit.
4. On June 14, 2010, the Respondent submitted an a Reissuance/Renewal Affidavit in which he affirmed that he had participated and engaged in auction activity in eighty (80) transactions after his license to do so had expired.
5. In accordance with R.I. Gen. Laws § 5-58-1(a) *Licensing of auctioneers and apprentices*, any person desiring to hold an auctioneer's license or apprentice auctioneer's permit shall make written application for issuance or renewal of that license or permit on appropriate forms provided by the director of the Department of Business Regulation.
6. Pursuant to Section 4 (a) of *Commercial Licensing Regulation 2 – Auctioneers*, no person shall be engaged in the business of Auctioneering without first obtaining a license from the Department.

7. Pursuant to Section 9 (a)(1) of *Commercial Licensing Regulation 2 – Auctioneers* R.I. Gen. Laws § 5-20.7-3(a), the Director of the Department may deny, non-renew, suspend or revoke the license/permit of any Auctioneer Applicant or Licensee for any violation or failure to comply with any of the provisions of Regulation 2 or R.I. Gen. Laws § 5-58-1 *et seq.*

8. The Department finds that the Respondent's actions described herein were the result of a good faith mistake, and were not motivated by an intention to defraud or misrepresent his qualifications for licensing to the Department or to the general public.

9. In an effort to resolve the above-referenced matter, effect a timely and amicable resolution of the issues raised in this Consent Agreement, and allow Respondent to obtain the reinstatement of his Auctioneer's license, the Respondent agrees to pay an administrative penalty for conducting auction activities after his license to do so had expired, in the amount of **eight thousand (\$8,000.00) dollars**, upon the execution of this document. A check or money order in that amount shall be made payable to the Office of the General Treasurer, and forwarded to the Department.

8. Upon payment of said administrative penalty, the Department shall renew and reinstate Respondent's Auctioneer license.

9. If Respondent fails to abide by the requirements of this Consent Agreement, the Department will initiate administrative proceedings to deny his renewal application. Respondent shall be provided with notice and opportunity for hearing should the Department decide to take such action.

**THE DEPARTMENT AND RESPONDENT HEREBY CONSENT AND AGREE TO THE FOREGOING AS TO FORM AND SUBSTANCE:**

Department of Business Regulation:

Respondent:



Ellen R. Balasco, Esq.  
Deputy Chief of Legal Services



Jason A. Shechtman

Date: 6/23/10

Date: 6/23/2010