

**STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
DEPARTMENT OF BUSINESS REGULATION, SECURITIES DIVISION
JOHN O. PASTORE CENTER
1511 PONTIAC AVENUE
CRANSTON, RHODE ISLAND 02920**

IN THE MATTER OF:	:	
	:	
	:	
NEIL PATRICK McNULTY, SR. AND	:	
ASSOCIATES, INC. d/b/a McNULTY	:	DBR No. 11-S-0017
MANAGEMENT GROUP, NEIL P.	:	
McNULTY, OWNER/PRESIDENT	:	
Respondents.	:	

FINAL ORDER OF DISMISSAL

This matter was initiated by the filing an Order Summarily Issued to Cease and Desist, Notice of Opportunity for a Hearing and Notice of Intent to Impose Administrative Assessment under R. I. Gen. Laws §§ 19-28.1-18, 19-28.1-19 and 19-28.1-25 in response to a complaint received from a Rhode Island resident against the Respondents. After the Respondents were duly served with the Order, legal counsel entered an appearance and submitted a request for a hearing on behalf of the Respondents, which was received by the Department on February 9, 2011.

Thereafter, on March 28, 2011, the Securities Division of the Department and the Hearing Officer received correspondence from Respondents' counsel stating that they wished to withdraw their request for hearing with respect to the Order. In addition, it stated that the Complainant had resolved his differences with the Respondents, and

that he wished to withdraw his complaint, and was requesting that the Department take no further administrative action against the Respondents with regard to this matter.

The Securities Division has verified with the Complainant the assertions contained in said correspondence, and no longer intend to prosecute this matter.

Accordingly, and based on the foregoing, it is the considered recommendation of the Hearing Officer that this matter be dismissed.

Dated: 4/27/11



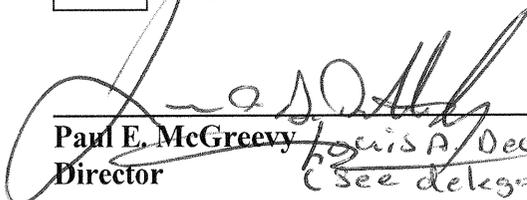
Ellen R. Balasco
Hearing Officer

ORDER

I have read the Hearing Officer's Recommendation in this matter, and I hereby take the following action with regard to the Recommendation:

<input checked="" type="checkbox"/>	ADOPT
<input type="checkbox"/>	REJECT
<input type="checkbox"/>	MODIFY

Dated: 4/27/2011



Paul E. McGreevy
Director
*Louis A. DeQuattro, Sr.
(See delegation attached)*

ENTERED as Administrative Order No. 11- 035 dated April 27, 2011.

THIS ORDER OF DISMISSAL CONSTITUTES A FINAL DECISION OF THE DEPARTMENT OF BUSINESS REGULATION PURSUANT TO R.I. GEN. LAWS § 42-35-1 et seq. AS SUCH, THIS DECISION MAY BE APPEALED TO THE SUPERIOR COURT SITTING IN AND FOR THE COUNTY OF PROVIDENCE WITHIN THIRTY (30) DAYS OF THE DATE OF THIS DECISION. SUCH APPEAL, IF TAKEN, MAY BE COMPLETED BY FILING A PETITION FOR REVIEW IN SAID COURT.

CERTIFICATION

I hereby certify on this 27th day of April, 2011, that a true copy of the within

Final Order of Dismissal was sent by first class mail, postage prepaid to:

Arthur L. Pressman Nixon Peabody LLP 100 Summer Street Boston, MA 02110	Jeffrey S. Brenner Nixon Peabody LLP One Citizens Plaza Providence, RI 02903	Peter C. Trombetti 150 Union Street Providence, RI 02903
--	---	--

and by electronic mail to the following parties at the Department of Business Regulation:

George Wesolowski, Securities Division and Maria D'Alessandro, Deputy Director of

Commercial Licensing, Securities, Racing and Athletics.





State of Rhode Island and Providence Plantations

Department of Business Regulation

OFFICE OF THE DIRECTOR

1511 Pontiac Avenue, Bldg. 68, 2nd Floor

Cranston, RI 02920

Tel: (401) 462-9551/9552

Fax: (401) 462-9532

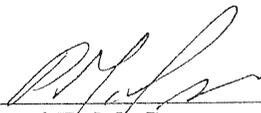
Email: www.dbr.state.ri.us

TTY: 711

DELEGATION OF AUTHORITY

This is to certify that I have delegated to Maria D'Alessandro, Deputy Director, Joseph A. Torti, III, Deputy Director, or Louis A. DeQuattro, Jr., Deputy Director and Counsel, full authority to act in my stead during any absences from the Department. Their authority shall include, but not be limited to, the execution and delivery of certificates, licenses, administrative orders and decisions, financial examination reports and all other forms, documents and correspondence relating to the Department. They shall also have full authority to manage and direct the Department's operations during my absence.

January 5, 2011



Paul E. McGreevy
Acting Director