



State of Rhode Island
Department of Business Regulation



DIVISION OF BANKING

1511 Pontiac Avenue, Building 69-2
Cranston, RI 02920

Telephone (401) 462-9503 - Facsimile (401) 462-9559

E-mail bankinquiry@dbr.state.ri.us

UNIFORM INTERSTATE BRANCH APPLICATION ADDENDUM

PURSUANT TO RHODE ISLAND GENERAL LAWS TITLE 19, CHAPTER 7 APPLICATION IS HEREBY MADE BY:

Name of Applicant: _____

Address: _____

City: _____

State & Zip Code: _____

Mailing Address
If Different: _____

Telephone Number: _____

FOR WRITTEN CONSENT OF THE DIRECTOR OF BUSINESS REGULATION ("DIRECTOR") TO ESTABLISH AND MAINTAIN A BRANCH OFFICE AT:

Address: _____

City: _____

State & Zip Code: _____

FOR PURPOSES OF THIS ADDEMDUM, AN OUT-OF-STATE BANK OR CREDIT UNION IS ONE WHOSE PRINCIPAL OFFICE IS LOCATED IN ANY STATE OTHER THAN RHODE ISLAND.

AN APPLICATION FEE BASED UPON HOURS SPENT BY THE DIVISION OF BANKING REVIEWING AND PROCESSING THE APPLICATION MUST BE SUBMITTED UPON RECEIPT OF A DECISION BY THE DIRECTOR.

APPLICATION COORDINATOR:

Name: _____
Title: _____
Address: _____
Telephone Number: _____
Date of Filing: _____

1. PUBLICATION

Provide evidence such as an affidavit of publication from the newspaper in which the notice(s) appeared, that a Notice of Application Filed (to be provided to applicant by Division of Banking with publication dates to be determined by the Division of Banking upon completion of review of the application) has been published pursuant to R. I. Gen. Laws § 19-1-3 at least once a week for three successive weeks in **The Providence Journal** and in a newspaper in general circulation in or near the city or town in which applicant's home office is located.

3. RECIPROCITY WITH THE HOME STATE

The Division of Banking will communicate with the Home State Regulator to obtain evidence that the laws of the Home State expressly authorize, under conditions no more restrictive than those imposed by the laws of Rhode Island, a financial institution to establish a branch in the Home State.

4. SERVICE OF PROCESS

The applicant shall file an agreement with the Division of Banking that it may be served with process in Rhode Island in any proceeding for the enforcement of any obligation arising out of its business transacted in this state by filing an irrevocable appointment (form enclosed) of the Rhode Island Director of the Department of Business Regulation as its agent to accept service of process in any proceedings in the courts of Rhode Island or the courts of the United States in Rhode Island.

Applicant shall provide the name, title, address, telephone number and email address (if applicable) of the individual to whom the Division should forward anything served upon the Director of Business Regulation in his or her capacity as the applicant's agent for service of process.

5. HOME STATE APPLICATION

The applicant shall file a copy of the application filed with its Home State Regulator.

6. OFFICER CERTIFICATION

Provide certification by the president or vice president and secretary or treasurer that the information contained in the application filed with both the Host State Regulator and the Home State Regulator is true and that any schedules provided correctly represent the true state of the several matters contained within the application to the best of their knowledge and belief.

DEPARTMENT OF BUSINESS REGULATION

DIVISION OF BANKING

NOTICE OF APPLICATION FILED

Notice is hereby given that an application has been filed in accordance with Chapters 1, 2 and 7 of Title 19 of the General Laws of Rhode Island, as amended, by (NAME AND ADDRESS OF APPLICANT) with the Department of Business Regulation for the establishment of a branch office at (ADDRESS OF PROPOSED BRANCH OFFICE).

All persons wishing to review and/or comment on the application may make requests to the Department of Business Regulation, Division of Banking, 1151 Pontiac Avenue, Building 69-2, Cranston, RI 02920. Application documents will be available for public inspection and comment between the hours of 9:00 a.m. and 3:30 p.m. in the office of the Division of Banking from (INSERT DATE OF START OF PUBLIC COMMENT PERIOD) through (INSERT DATE OF END OF PUBLIC COMMENT PERIOD). Any comments made relative to the application must be made in writing addressed to Steven L. Cayouette, CFE , State Chief Bank Examiner, Department of Business Regulation, Division of Banking, 1151 Pontiac Avenue, Building 69-2, Cranston, RI 02920

The Division of Banking is accessible to the handicapped.

If, at the end of the comment period, there are no objectors to the application, no hearing may be held and a decision may be made to approve or deny the application.

This notice will appear in The Providence Journal (NAME OF OTHER NEWSPAPER, IF MORE THAN ONE USED) on (INSERT DATES OF PUBLICATION).

State of Rhode Island and Providence Plantations
Department of Business Regulation

A. Michael Marques
Director

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS



DEPARTMENT OF BUSINESS REGULATION
DIVISION OF BANKING
1511 Pontiac Avenue, Building 69-2
Cranston, RI 02920

POWER OF ATTORNEY

Know All Men by these Presents

That I _____, President of _____
(Insert name of Home State bank, trust company, or credit union)

("Bank/Credit Union") of the City of _____ in the County of _____
and the State of _____ pursuant to Rhode Island General Laws § 19-7-9, as

amended, do hereby make, constitute and appoint the Director of the Department of Business Regulation in the State of Rhode Island
("Director") Bank/Credit Union's true and lawful attorney in the State of Rhode Island, upon whom all lawful process in any action or
proceeding against Bank/Credit Union may be served in like manner with the same legal force and effect as if Bank/Credit Union had been
lawfully served with said process therein as provided by the laws of the State of Rhode Island; and said Director as such attorney, is hereby
authorized and empowered as Bank/Credit Union's said agent to receive and accept service of any process, writ, summons or order whereby
any suit, action, or proceeding shall be commenced against Bank/Credit Union, and Bank/Credit Union hereby stipulates and agrees that
any lawful process against Bank/Credit Union which is served on the Director shall have the same legal force and effect as if served on
Bank/Credit Union within the State of Rhode Island.

The authority given in this appointment shall continue in force so long as any liability to any resident of this state remains unsatisfied.

In Witness Whereof I have hereunto set my hand and seal on this _____ day of _____ 20_____.

In the presence of _____

1. WITNESS _____

2. WITNESS _____

State of _____

County of _____

At _____ in said County, on this _____ day of _____ 20_____, before me personally
appeared _____ and acknowledged the foregoing instrument, by him/her
sealed and subscribed, to be his free act and deed.

Before Me _____ (Notary Public)

NOTARIAL
SEAL

My Commission expires _____