

# STATE OF RHODE ISLAND

DEPARTMENT OF



BUSINESS REGULATION

## DIVISION OF COMMERCIAL LICENSING AND RACING AND ATHLETICS

**RHODE ISLAND SUPPLEMENTAL FORM**

**TO MULTI JURISDICTIONAL**

**PERSONAL HISTORY DISCLOSURE FORM**

# RHODE ISLAND SUPPLEMENTAL FORM

## MULTI JURISDICTIONAL

### PERSONAL HISTORY DISCLOSURE FORM

Position Applied For: \_\_\_\_\_

Check Location(s):       Twin River       Newport Grand

#### APPLICATION INSTRUCTIONS

1. The application must be typed or printed in block lettering using either blue or black ink. If the application is not legible, it will not be accepted.
2. All questions must be answered. Do not leave blank spaces. If a question does not apply to you please indicate "Does Not Apply" in the response section. If there is nothing to disclose in response to a particular question please state "None" in the response section.
3. If you need additional space to answer a question please refer to the space provided on page 8. Be sure to indicate the number of the question you are answering. Additional blank pages listing the question number and initialed are acceptable.
4. All pages of the application must be initialed, properly signed and notarized where indicated.
5. The following type of original documents will be acceptable to establish the identify of the applicant:
  - A. U.S. birth certificate issued by a state, county or municipal authority with an official seal.
  - B. Current and valid photo drivers license.
  - C. Current and valid US military identification card.
  - D. Current and valid U.S. passport or Certificate of Naturalization or current INS identification card.
  - E. Current and valid photo identification card issued by a federal, state or local government agency.
6. If the name on your application is different than the name on the identification provided then you must also provide a court ordered name change, marriage certificate or divorce decree to establish the reason for the different name.
7. A original completed application must be presented to the Division of Commercial Licensing and Racing and Athletics ("Division). A check or money order in the amount of \$300.00 payable to the "State of Rhode Island, General Treasurer" must accompany the application. **No cash is accepted. License will expire on December 31, 2017.**
8. Once your application is accepted and your identify verified you will be photographed, and subject to a complete background check before your license is issued.

Initials \_\_\_\_\_

Application fees are non-refundable and applications become the property of the Division. Applications may be obtained from and submitted in a sealed envelope to either satellite office of the Division located at:

Twin River  
100 Twin River Road  
Lincoln, Rhode Island 02865

OR

Newport Grand  
150 Admiral Kalbsus Road  
Newport, Rhode Island 02840

Individual offices at each facility can be located by contacting any employee for directions upon arrival at the facility. **YOU SHOULD SUBMIT YOUR APPLICATION TO THE DEPARTMENT OF BUSINESS REGULATION AND NOT YOUR EMPLOYER IN ORDER TO PROTECT THE CONFIDENTIALITY OF THE INFORMATION IN YOUR APPLICATION.**

9. Should you be unable to understand this form fully in English, it is your responsibility to acquire adequate means of translation.

Si usted no puede entender este formulario completamente en Ingles, es su responsabilidad de obtener los metodos necesarios de traduccion.

10. You must provide the Division with any change of address as all notices concerning your license will be sent to the listed address on this form.
11. Failure to answer any question completely and truthfully will result in denial of your Supplemental Form Employee Application.
12. All written correspondence regarding this form shall be directed to the following:

Department of Business Regulation  
Division of Commercial Licensing and Racing and Athletics  
John O. Pastore Center  
1511 Pontiac Avenue, Bldg. 69-1  
Cranston, Rhode Island 02920-0942

Initials \_\_\_\_\_

**DO NOT WRITE ON THIS PAGE**  
**THIS PAGE FOR OFFICIAL USE ONLY**

Name of Applicant: \_\_\_\_\_

Date of Birth (**CONFIDENTIAL**): \_\_\_\_\_

Identifying Documents: (**CONFIDENTIAL**)

\_\_\_\_\_ United States birth certificate issued by a state, county or municipal authority with an official seal.

\_\_\_\_\_ Current and Valid photo drivers license.

State Issued: \_\_\_\_\_ Expiration Date: \_\_\_\_\_

\_\_\_\_\_ Current and valid United States Military identification card.

\_\_\_\_\_ Current and valid United States Passport

Expiration Date: \_\_\_\_\_

\_\_\_\_\_ Certification of Naturalization.

\_\_\_\_\_ Current INS identification card.

Specify Status \_\_\_\_\_ Expiration Date \_\_\_\_\_

\_\_\_\_\_ Current and valid photo identification card issued by a federal, state or local government agency. (Ex. RI identification card, Division issued License, etc.)

Specify Type: \_\_\_\_\_

Comments:

**NOTE: PORTIONS OF THIS APPLICATION ARE CONFIDENTIAL AND NOT SUBJECT TO PUBLIC DISCLOSURE.**

Authorized By: \_\_\_\_\_ Date: \_\_\_\_\_



19. Are you a United States citizen?  Yes  No

20. If you are a naturalized citizen of the United States, attach a copy of your certificate of naturalization to this application.

21. If you are not a citizen of the United States, please indicate:

A. The country of which you are a citizen: \_\_\_\_\_

B. Place of Birth: \_\_\_\_\_  
City State Country

C. Port of Entry to the United States: \_\_\_\_\_

D. Name and address of sponsor upon your arrival:

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22. If you are not a United States citizen, but you are a legally authorized permanent resident alien or authorized to be employed in the United States, please attach a copy of your INS identification card to this application. Also provide the number in the space below:

INS "A" number: \_\_\_\_\_

23. Give the name of your present spouse (Maiden name if applicable):

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24. Have you ever applied to the Division of Commercial Licensing and Racing and Athletics for any license in the past?  Yes  No If yes, complete the following:

A. Type of license applied for: \_\_\_\_\_

B. Date Application was filed: \_\_\_\_\_

C. Disposition (Granted, Pending, Denied) \_\_\_\_\_

D. If issued provide license number: \_\_\_\_\_

Initials \_\_\_\_\_

25. If applicable, the name of the holding company (ies) of the facility or licensee with which you have any positions:

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26. Please identify if any of the below positions apply to you in connection with the above two questions.

- |   |   |
|---|---|
| <input type="checkbox"/> Owner              | <input type="checkbox"/> Stockholder          |
| <input type="checkbox"/> Investor           | <input type="checkbox"/> Director             |
| <input type="checkbox"/> Officer            | <input type="checkbox"/> Partner              |
| <input type="checkbox"/> Principal Employee | <input type="checkbox"/> Other (Specify)_____ |

27. Do you have any ownership interest or financial investment in any business applying for or currently licensed by the State of Rhode Island, Department of Business Regulation, Division of Commercial Licensing and Racing and Athletics?

Name of Business	Nature and amount of Interest/Investment	%Ownership in business	Gaming Agency
1.			
2.			
3.			
4.			
5.			

Initials\_\_\_\_\_

28. Have you ever applied in any other jurisdiction for a license to participate in a lawful gaming operation? (Including casino gaming, horse racing, dog racing, pari-mutuel operation, lottery, sports betting, etc.)?  Yes  No If yes, complete the following:

A. Type of license applied for: \_\_\_\_\_

B. Date Application was filed: \_\_\_\_\_

C. Disposition (Granted, Pending, Denied) \_\_\_\_\_

D. If issued provide license number: \_\_\_\_\_

E. Name of licensing agency: \_\_\_\_\_

F. Position sought or held: \_\_\_\_\_

G. Type of gaming operation: \_\_\_\_\_

As indicated in the instruction on page 2 of this form, this page is to be used by you for any questions which require additional space to answer. The number of the question must be stated immediately prior to your answer. If additional pages are needed, photocopy this page or add paper of similar size and identify these pages with corresponding numbers and letters. **Be sure to include your initials at the bottom on any new page added.**

**IDENTIFY ALL ANSWERS BY ORIGINAL QUESTION NUMBERS**

Initials \_\_\_\_\_

# STATEMENT OF TRUTH

STATE OF \_\_\_\_\_:

NAME (Print) \_\_\_\_\_,

being duly sworn according to law deposes and says:

1. I am the applicant who is submitting this application form.
2. I personally supplied the information contained in this form.
3. I understand and read the English language or I have had an interpreter read, explain and record the answer to each and every question on this application form.
4. I swear (or affirm) that the foregoing statements made by me are true. I am aware that if any of the foregoing statements made by me are willfully false, I am subject to punishment.

DATED: \_\_\_\_\_ (Legal Signature) \_\_\_\_\_  
Signature of Applicant

Subscribed and sworn to before me this \_\_\_\_\_ day  
of \_\_\_\_\_, 20\_\_\_\_\_.

\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
State

## STATEMENT OF APPLICANT

In accepting a license issued pursuant to Rhode Island General Laws § 41-4-1 *et seq.*, 42-61.3 *et. seq.*:

I agree to abide by all applicable statutes and regulations.

I understand that I am freely consenting to any warrantless search by any governmental agency within the grounds of Twin River or Newport Grand (“the facility”), of the premises which I occupy or control, and my personal property and effects at the Facility, and to the seizure of any illegal item which said search may produce.

I hereby certify that I have read the foregoing application and affirm that every statement contained therein is true, complete and correct. I understand that if I misstate or omit any fact, I am subject to the penalties provided by law and by the above-mentioned rules and regulations and my application may be denied.

I hereby authorize the Rhode Island Department of Business Regulation, Division of Commercial Licensing and Racing and Athletics, Rhode Island Division of Lotteries and the gaming enforcement unit of the Rhode Island State Police to investigate any and all records relating to or referenced to in this application, including, but not limited to, any criminal conviction. I FULLY UNDERSTAND THE PRECEDING WAIVER.

DATED: \_\_\_\_\_ (Legal Signature) \_\_\_\_\_  
Signature of Applicant

Subscribed and sworn to before me this \_\_\_\_\_ day  
of \_\_\_\_\_, 20\_\_\_\_\_.

\_\_\_\_\_  
Notary Public State

## RELEASE AUTHORIZATION

To All Courts, Probation Departments, Employers, Banks and other financial institutions and All Governmental Agencies – Federal, State and Local, without exception, both foreign and domestic.

I, \_\_\_\_\_ have  
(PRINT NAME)

authorized the Rhode Island Department of Business Regulation, Division of Commercial Licensing and Racing and Athletics, the Rhode Island State Police, and/or the Rhode Island Division of Lotteries, pursuant to R.I. General Law §41-1-1, to conduct a full investigation into my background and activities.

Therefore, you are hereby authorized to release any and all information pertaining to me, documentary or otherwise, as requested by any employee or agent of the Division of Commercial Licensing and Racing and Athletics (“Division”), provided that he or she certifies to you that I have an application pending before the Division or that I am presently a licensee, registrant or to her person required to be qualified under the provision of Rhode Island General Laws §41-4-1 *et seq*:

I understand that this Authorization is to investigate records relating to or referenced in this Application or any licensed activity.

This authorization shall supersede and countermand any prior request or authorization to the contrary.

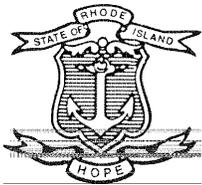
A photostatic copy of this authorization will be considered as effective and valid as the original.

DATED: \_\_\_\_\_ (Legal Signature) \_\_\_\_\_  
Signature of Applicant

Subscribed and sworn to before me this \_\_\_\_\_ day  
of \_\_\_\_\_, 20\_\_\_\_\_.

\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
State



## Tax Payer Status Affidavit / Identity Verification

All persons applying or renewing any license, registration, permit or other authority (hereinafter called "licensee") to conduct a business or occupation in the state of Rhode Island are required to file all applicable tax returns and pay all taxes owed to the state prior to receiving a license as mandated by state law (RIGL 5-76) except as noted below.

**In order to verify that the state is not owed taxes, licensees are required to provide their Social Security Number and Federal Tax Identification Number as appropriate. These numbers will be transmitted to the Division of Taxation to verify tax status prior to the issuance of a license. This declaration must be made prior to the issuance of a license.**

Please complete this affidavit along with your license application.

### Licensee Declaration

- I hereby declare, under penalty of perjury, that I have filed all required state tax returns and have paid all taxes owed.
- I have entered a written installment agreement to pay delinquent taxes that is satisfactory to the Tax Administrator.
- I am currently pursuing administrative review of taxes owed to the state.
- I am in federal bankruptcy. (Case # \_\_\_\_\_)
- I am in state receivership. (Case # \_\_\_\_\_)
- I have been discharged from Bankruptcy. (Case # \_\_\_\_\_)

\_\_\_\_\_  
Type of Professional License for which you are applying

\_\_\_\_\_  
Full Name (Please Print or Type)

\_\_\_\_\_  
Social Security Number (or FEIN if appropriate)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date