



**State of Rhode Island and Providence Plantations  
DEPARTMENT OF BUSINESS REGULATION  
1511 Pontiac Avenue, Bldg. 69-1  
Cranston, Rhode Island 02920**

**Division of Commercial Licensing  
Real Estate Section**

**REAL ESTATE BROKER REQUIREMENTS FOR ATTORNEYS**

In accordance with R.I. General Laws § 5-20.5-4 (d) of the Rhode Island Real Estate Laws, “When an attorney at law, licensed by the Supreme Court of the State of Rhode Island, desires to have a Real Estate Broker’s license or a Real Estate Salesperson’s license, the attorney, by application, and upon payment of the applicable fee, as provided in § 5-20.5-11, shall be granted a license without examination.”

**To apply for a Rhode Island Real Estate Broker’s license, you must submit the following:**

- A Completed Broker Application;
- A Certification from the Rhode Island Supreme Court or Current Membership Certificate from the Rhode Island Bar Association;
- A Lead Poisoning / Lead Hazard Mitigation Course Certificate, not less than three (3) hours (See Real Estate Pre-Licensing and Continuing Education Course List at [http://www.dbr.ri.gov/documents/divisions/commlicensing/realestate/RE-CE\\_Course\\_List.pdf](http://www.dbr.ri.gov/documents/divisions/commlicensing/realestate/RE-CE_Course_List.pdf) ;
- A Certificate of Errors and Omissions Insurance, in accordance with Rhode Island General Laws § 5-20.5-25. A Lawyer’s Professional Liability Policy does not meet the requirements of § 5-20.5-25, Real Estate Broker Activities;
- A Criminal History Record from the Rhode Island Attorney General’s Office; and
- A Tax Payer Status Affidavit / Identity Verification.

**Please submit TWO CHECKS as follows:**

- **\$95.00, payable to the “Rhode Island General Treasurer”**- For licenses issued on or after May 1, of odd-numbered years **AND, \$25.00 payable to the “Real Estate Recovery Account.”**
- **OR;**
- **\$180.00, payable to the “Rhode Island General Treasurer”** - For licenses issued on or after May 1, of even-numbered years **AND, \$25.00 payable to the “Real Estate Recovery Account.”**



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**CRIMINAL HISTORY RECORD SUBMISSION REQUIREMENTS**

**FOR BROKER AND SALESPERSON APPLICANTS**

**A Criminal History Record (“CHR”) must be submitted to the Real Estate Section of the Department of Business Regulation (“DBR”), Division of Commercial Licensing with each Real Estate Application. Processing applications is contingent upon the complete disposition, or results of any charges delineated or resolved prior to application submission. See instructions below to learn how to obtain your CHR.**

**INSTRUCTIONS**

**Applying in Person:** A Criminal History Record may be obtained by visiting the Bureau of Criminal Identification at the Rhode Island Department of Attorney General (“DAG”). To apply for a CHR in this manner, bring a picture-identification, showing your date of birth.

**Applying by Mail:** To apply by mail, send a notarized copy of a photo ID, showing your date of birth, and a signed/notarized letter, giving permission to the DAG to conduct a background investigation, along with a self-addressed stamped envelope.

The cost for a CHR, whether applying in person or by mail, is five dollars (\$5.00), and is payable by check or money order to the “BCI”. Please allow time for the DAG to process and generate your request. For further questions about this process, you may contact the DAG at the phone number below.

**If you reside in another state, supply a CHR from your home state, as well as one from Rhode Island.**

**Contact Information for the Department of Attorney General:**

- 150 South Main Street, Providence, Rhode Island, 02903.
- Telephone Number: (401) 274-4400
- Hours of operation are 8:30 a.m. to 4:30 p.m.



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<p><i>FOR OFFICE USE ONLY</i> LICENSE NUMBER</p>
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**REAL ESTATE BROKER/ATTORNEY APPLICATION**

Please print or type. All incomplete applications will be returned. Please allow 7-10 days for processing.

1. Name of Applicant:		2. Date of Birth	3. Age	4. Legal resident Yes <input type="checkbox"/> No <input type="checkbox"/>
5. Residential Address:		City / Town	State	Zip Code
6. Telephone Number:	7. Email Address:		8. Social Security Number:	
9. Present Occupation:				
10. Real Estate Agency Name & Address:		Street / City / Town:	State:	Zip Code:
Telephone Number:				
11. Principal Broker's Name and Rhode Island License Number:				
12. Have you ever been refused a Real Estate Broker or Salesperson license in this state or any other state? Yes <input type="checkbox"/> No <input type="checkbox"/>				
13. Have you ever had a Real Estate Broker or Salesperson license suspended or revoked in this state or any other state? Yes <input type="checkbox"/> No <input type="checkbox"/>				
14. Have you ever been convicted of, or plead guilty or nolo contendere to forgery, embezzlement, obtaining money under false pretenses, bribery, larceny, extortion, conspiracy to defraud, or any other offenses of any type which would reasonably cause the Department to question your honesty, trustworthiness, integrity, good reputation or competency? Yes <input type="checkbox"/> No <input type="checkbox"/>				
<p><b><i>IF YOU ANSWERED "YES" TO QUESTIONS 12 - 14, ATTACH A FULL EXPLANATION.</i></b></p>				

15. Occupations engaged in during the past five years:	
16. R.I.G.L requires recommendations of three (3) Rhode Island residents who have known the applicant for at least three (3) years and are not related to the applicant and will attest that the applicant bears a good reputation for honesty and trustworthiness, and will recommend that a Real Estate Broker's license be granted to the applicant.	
Name: _____ <i>(Print)</i>	Address: _____ _____
Name: _____ <i>(Print)</i>	Address: _____ _____
Name: _____ <i>(Print)</i>	Address: _____ _____

**STATEMENT OF APPLICANT**

I, the undersigned, swear under penalty of perjury, that the information provided in connection with this application is true to the best of my knowledge, with the understanding that any omissions, inaccuracies or failure to make full disclosures may be deemed sufficient reason to deny licensure as a Real Estate Broker by the Rhode Island Department of Business Regulation.

Signature \_\_\_\_\_ Date \_\_\_\_\_



## Tax Payer Status Affidavit / Identity Verification

All persons applying or renewing any license, registration, permit or other authority (hereinafter called "licensee") to conduct a business or occupation in the state of Rhode Island are required to file all applicable tax returns and pay all taxes owed to the state prior to receiving a license as mandated by state law (RIGL 5-76) except as noted below.

In order to verify that the state is not owed taxes, licensees are required to provide their Social Security Number and Federal Tax Identification Number as appropriate. These numbers will be transmitted to the Division of Taxation to verify tax status prior to the issuance of a license. This declaration must be made prior to the issuance of a license.

### Licensee Declaration

- I hereby declare, under penalty of perjury, that I have filed all required state tax returns and have paid all taxes owed.
- I have entered a written installment agreement to pay delinquent taxes that is satisfactory to the Tax Administrator.
- I am currently pursuing administrative review of taxes owed to the state.
- I am in federal bankruptcy. (Case # \_\_\_\_\_)
- I am in state receivership. (Case # \_\_\_\_\_)
- I have been discharged from Bankruptcy. (Case # \_\_\_\_\_)

\_\_\_\_\_  
Full Name (Please Print or Type)

\_\_\_\_\_  
Social Security Number (or FEIN for Business)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date