



QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2006
OF THE CONDITION AND AFFAIRS OF THE

UnitedHealthcare of New England, Inc.

NAIC Group Code 0707 0707 NAIC Company Code 95149 Employer's ID Number 05-0413469
(Current Period) (Prior Period)

Organized under the Laws of Rhode Island, State of Domicile or Port of Entry Rhode Island
Country of Domicile United States of America

Licensed as business type: Life, Accident & Health [] Property/Casualty [] Dental Service Corporation []
Vision Service Corporation [] Other [] Health Maintenance Organization [X]
Hospital, Medical & Dental Service or Indemnity [] Is HMO, Federally Qualified? Yes [] No [X]

Incorporated/Organized 11/14/1984 Commenced Business 12/27/1984

Statutory Home Office 475 Kilvert Street, Suite 310, Warwick, RI 02886-1392
(Street and Number) (City or Town, State and Zip Code)

Main Administrative Office 48 Monroe Turnpike Trumbull, CT 06611 203-459-6426
(Street and Number) (City or Town, State and Zip Code) (Area Code) (Telephone Number)

Mail Address 48 Monroe Turnpike, Trumbull, CT 06611
(Street and Number or P.O. Box) (City or Town, State and Zip Code)

Primary Location of Books and Records 48 Monroe Turnpike Trumbull, CT 06611 203-459-6426
(Street and Number) (City or Town, State and Zip Code) (Area Code) (Telephone Number)

Internet Website Address www.unitedhealthcare.com

Statutory Statement Contact Kevin Michael Ericson 203-459-6426
(Name) (Area Code) (Telephone Number) (Extension)
kericson@oxhp.com 203-452-4690
(E-mail Address) (FAX Number)

Policyowner Relations Contact 475 Kilvert Street, Suite 310 Warwick, RI 02886-1392 401-737-6900
(Street and Number) (City or Town, State and Zip Code) (Area Code) (Telephone Number) (Extension)

OFFICERS

Name	Title	Name	Title
Stephen John Farell	President and Chief Financial Officer	Juanita Valarae Bolland Luis	Assistant Secretary
Donald Alan Powers	VP-Finance and Assistant Treasurer		

OTHER OFFICERS

David Scott Wichmann	Vice President and Assistant Treasurer	David James Lubben	Assistant Secretary
Robert Worth Oberrender	Treasurer	Forrest Gregory Burke	Secretary
Timothy Gilbert Caron	Assistant Secretary	Mary Lynn Stanislav	Assistant Secretary

DIRECTORS OR TRUSTEES

Robert John Sheehy	Michael Anthony Turpin	Stephen John Farell
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State of Minnesota
County of Hennepin SS

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Stephen John Farell
President and Chief Financial Officer

Donald Alan Powers
VP-Finance and Assistant Treasurer

Juanita Valarae Bolland Luis
Assistant Secretary

Subscribed and sworn to before me this
13 day of October, 2006

- a. Is this an original filing? Yes [X] No []
- b. If no,
1. State the amendment number _____
2. Date filed _____
3. Number of pages attached _____

Paula Ann Meyer, Notary Public
January 30, 2010

STATEMENT AS OF SEPTEMBER 30, 2006 OF THE UnitedHealthcare of New England, Inc.

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	84,666,349		84,666,349	83,573,255
2. Stocks:				
2.1 Preferred stocks			0	0
2.2 Common stocks	35,844,506		35,844,506	67,913,597
3. Mortgage loans on real estate:				
3.1 First liens			0	0
3.2 Other than first liens			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)			0	0
4.2 Properties held for the production of income (less \$ encumbrances)			0	0
4.3 Properties held for sale (less \$ encumbrances)			0	0
5. Cash (\$ (4,197,163)), cash equivalents (\$ 1,499,433) and short-term investments (\$ 46,939,547)	44,241,817		44,241,817	(3,795,271)
6. Contract loans, (including \$ premium notes)			0	0
7. Other invested assets	0	0	0	0
8. Receivables for securities			0	0
9. Aggregate write-ins for invested assets	0	0	0	0
10. Subtotals, cash and invested assets (Lines 1 to 9)	164,752,672	0	164,752,672	147,691,581
11. Title plants less \$ charged off (for Title insurers only)			0	0
12. Investment income due and accrued	965,678		965,678	1,085,826
13. Premiums and considerations:				
13.1 Uncollected premiums and agents' balances in the course of collection	1,861,283		1,861,283	1,940,662
13.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)			0	0
13.3 Accrued retrospective premiums			0	0
14. Reinsurance:				
14.1 Amounts recoverable from reinsurers	8,423,420		8,423,420	10,485,261
14.2 Funds held by or deposited with reinsured companies			0	0
14.3 Other amounts receivable under reinsurance contracts	1,073,999		1,073,999	2,399,200
15. Amounts receivable relating to uninsured plans			0	0
16.1 Current federal and foreign income tax recoverable and interest thereon	2,760,919		2,760,919	471,155
16.2 Net deferred tax asset	2,042,054		2,042,054	1,706,563
17. Guaranty funds receivable or on deposit			0	0
18. Electronic data processing equipment and software			0	0
19. Furniture and equipment, including health care delivery assets (\$)			0	0
20. Net adjustment in assets and liabilities due to foreign exchange rates			0	0
21. Receivables from parent, subsidiaries and affiliates			0	0
22. Health care (\$ 1,250,238) and other amounts receivable	1,250,238	1,250,238	0	0
23. Aggregate write-ins for other than invested assets	62,593	62,593	0	0
24. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 10 to 23)	183,192,856	1,312,831	181,880,025	165,780,248
25. From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	0
26. Total (Lines 24 and 25)	183,192,856	1,312,831	181,880,025	165,780,248
DETAILS OF WRITE-INS				
0901.				
0902.				
0903.				
0998. Summary of remaining write-ins for Line 9 from overflow page	0	0	0	0
0999. Totals (Lines 0901 through 0903 plus 0998)(Line 9 above)	0	0	0	0
2301. Prepays	62,593	62,593	0	0
2302.				
2303.				
2398. Summary of remaining write-ins for Line 23 from overflow page	0	0	0	0
2399. Totals (Lines 2301 through 2303 plus 2398)(Line 23 above)	62,593	62,593	0	0

LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$12,598,073 reinsurance ceded)	37,385,105		37,385,105	42,637,736
2. Accrued medical incentive pool and bonus amounts	294,115		294,115	378,728
3. Unpaid claims adjustment expenses			0	0
4. Aggregate health policy reserves	2,264,002		2,264,002	352,571
5. Aggregate life policy reserves			0	0
6. Property/casualty unearned premium reserve			0	0
7. Aggregate health claim reserves	1,584,299		1,584,299	1,595,294
8. Premiums received in advance	8,821,418		8,821,418	9,441,500
9. General expenses due or accrued	2,677,022		2,677,022	4,504,161
10.1 Current federal and foreign income tax payable and interest thereon (including \$ on realized gains (losses))			0	0
10.2 Net deferred tax liability			0	0
11. Ceded reinsurance premiums payable	12,241,750		12,241,750	14,107,264
12. Amounts withheld or retained for the account of others	106,578		106,578	101,964
13. Remittances and items not allocated			0	0
14. Borrowed money (including \$ current) and interest thereon \$ (including \$ current)			0	0
15. Amounts due to parent, subsidiaries and affiliates	4,589,030		4,589,030	1,474,923
16. Payable for securities			0	0
17. Funds held under reinsurance treaties with (\$ authorized reinsurers and \$ unauthorized reinsurers)			0	0
18. Reinsurance in unauthorized companies			0	0
19. Net adjustments in assets and liabilities due to foreign exchange rates			0	0
20. Liability for amounts held under uninsured plans	5,936,803		5,936,803	0
21. Aggregate write-ins for other liabilities (including \$ current)	0	0	0	0
22. Total liabilities (Lines 1 to 21)	75,900,122	0	75,900,122	74,594,141
23. Aggregate write-ins for special surplus funds	XXX	XXX	0	0
24. Common capital stock	XXX	XXX	5,862,835	5,862,835
25. Preferred capital stock	XXX	XXX		0
26. Gross paid in and contributed surplus	XXX	XXX	12,000,000	12,000,000
27. Surplus notes	XXX	XXX		0
28. Aggregate write-ins for other than special surplus funds	XXX	XXX	0	0
29. Unassigned funds (surplus)	XXX	XXX	88,117,068	73,323,272
30. Less treasury stock, at cost:				
30.1 shares common (value included in Line 24) \$)	XXX	XXX		0
30.2 shares preferred (value included in Line 25) \$)	XXX	XXX		0
31. Total capital and surplus (Lines 23 to 29 minus Line 30)	XXX	XXX	105,979,903	91,186,107
32. Total liabilities, capital and surplus (Lines 22 and 31)	XXX	XXX	181,880,025	165,780,248
DETAILS OF WRITE-INS				
2101.				
2102.				
2103.				
2198. Summary of remaining write-ins for Line 21 from overflow page	0	0	0	0
2199. Totals (Lines 2101 thru 2103 plus 2198) (Line 21 above)	0	0	0	0
2301.	XXX	XXX		
2302.	XXX	XXX		
2303.	XXX	XXX		
2398. Summary of remaining write-ins for Line 23 from overflow page	XXX	XXX	0	0
2399. Totals (Lines 2301 thru 2303 plus 2398) (Line 23 above)	XXX	XXX	0	0
2801.	XXX	XXX		
2802.	XXX	XXX		
2803.	XXX	XXX		
2898. Summary of remaining write-ins for Line 28 from overflow page	XXX	XXX	0	0
2899. Totals (Lines 2801 thru 2803 plus 2898) (Line 28 above)	XXX	XXX	0	0

STATEMENT OF REVENUE AND EXPENSES

	Current Year To Date		Prior Year To Date
	1 Uncovered	2 Total	3 Total
1. Member Months.....	XXX	1,084,464	1,235,776
2. Net premium income (including \$ non-health premium income).....	XXX	271,776,017	257,540,250
3. Change in unearned premium reserves and reserve for rate credits	XXX	1,571,123	70,347
4. Fee-for-service (net of \$ medical expenses)	XXX		0
5. Risk revenue	XXX		0
6. Aggregate write-ins for other health care related revenues	XXX	0	0
7. Aggregate write-ins for other non-health revenues	XXX	0	0
8. Total revenues (Lines 2 to 7)	XXX	273,347,140	257,610,597
Hospital and Medical:			
9. Hospital/medical benefits		261,619,819	281,799,326
10. Other professional services		351,738	116,097
11. Outside referrals			0
12. Emergency room and out-of-area			0
13. Prescription drugs		40,790,252	25,201,437
14. Aggregate write-ins for other hospital and medical.....	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts.....		337,414	82,968
16. Subtotal (Lines 9 to 15)	0	303,099,223	307,199,828
Less:			
17. Net reinsurance recoveries		84,638,536	100,532,110
18. Total hospital and medical (Lines 16 minus 17)	0	218,460,687	206,667,718
19. Non-health claims (net).....			0
20. Claims adjustment expenses, including \$ 1,136,793 cost containment expenses.....		5,135,031	9,917,873
21. General administrative expenses.....		32,589,266	26,951,543
22. Increase in reserves for life and accident and health contracts including \$ increase in reserves for life only).....		341,450	0
23. Total underwriting deductions (Lines 18 through 22)	0	256,526,434	243,537,134
24. Net underwriting gain or (loss) (Lines 8 minus 23)	XXX	16,820,706	14,073,463
25. Net investment income earned		6,013,757	4,461,153
26. Net realized capital gains (losses) less capital gains tax of \$		(58,860)	(152,525)
27. Net investment gains (losses) (Lines 25 plus 26)	0	5,954,897	4,308,628
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$) (amount charged off \$)]			0
29. Aggregate write-ins for other income or expenses	0	0	398,848
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	XXX	22,775,603	18,780,939
31. Federal and foreign income taxes incurred	XXX	7,468,236	6,136,957
32. Net income (loss) (Lines 30 minus 31)	XXX	15,307,367	12,643,982
DETAILS OF WRITE-INS			
0601.	XXX		
0602.	XXX		
0603.	XXX		
0698. Summary of remaining write-ins for Line 6 from overflow page	XXX	0	0
0699. Totals (Lines 0601 thru 0603 plus 0698) (Line 6 above)	XXX	0	0
0701.	XXX		
0702.	XXX		
0703.	XXX		
0798. Summary of remaining write-ins for Line 7 from overflow page	XXX	0	0
0799. Totals (Lines 0701 thru 0703 plus 0798) (Line 7 above)	XXX	0	0
1401.			
1402.			
1403.			
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0
1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above)	0	0	0
2901. Other Revenue.....			412,848
2902. Fines and Penalties.....			(14,000)
2903.			
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0	0
2999. Totals (Lines 2901 thru 2903 plus 2998) (Line 29 above)	0	0	398,848

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year
CAPITAL AND SURPLUS ACCOUNT:			
33. Capital and surplus prior reporting year.....	91,186,107	87,915,618	87,915,618
34. Net income or (loss) from Line 32.....	15,307,367	12,643,982	17,077,911
35. Change in valuation basis of aggregate policy and claim reserves.....		0	0
36. Change in net unrealized capital gains (losses) less capital gains tax of \$.....		0	0
37. Change in net unrealized foreign exchange capital gain or (loss).....		0	0
38. Change in net deferred income tax.....	335,491	(71,673)	(749,847)
39. Change in nonadmitted assets.....	(849,062)	113,394	378,557
40. Change in unauthorized reinsurance.....	0	0	0
41. Change in treasury stock.....		0	0
42. Change in surplus notes.....	0	0	0
43. Cumulative effect of changes in accounting principles.....		0	0
44. Capital Changes:			
44.1 Paid in.....		0	0
44.2 Transferred from surplus (Stock Dividend).....		0	0
44.3 Transferred to surplus.....		0	0
45. Surplus adjustments:			
45.1 Paid in.....		0	0
45.2 Transferred to capital (Stock Dividend).....	0	0	0
45.3 Transferred from capital.....		0	0
46. Dividends to stockholders.....		(13,436,132)	(13,436,132)
47. Aggregate write-ins for gains or (losses) in surplus.....	0	0	0
48. Net change in capital & surplus (Lines 34 to 47).....	14,793,796	(750,429)	3,270,489
49. Capital and surplus end of reporting period (Line 33 plus 48)	105,979,903	87,165,189	91,186,107
DETAILS OF WRITE-INS			
4701.			
4702.			
4703.			
4798. Summary of remaining write-ins for Line 47 from overflow page.....	0	0	0
4799. Totals (Lines 4701 thru 4703 plus 4798) (Line 47 above)	0	0	0

CASH FLOW

	1 Current Year To Date	2 Prior Year Ended December 31
Cash from Operations		
1. Premiums collected net of reinsurance.....	270,940,351	332,313,714
2. Net investment income.....	6,402,419	6,646,294
3. Miscellaneous income.....	0	0
4. Total (Lines 1 to 3).....	277,342,770	338,960,008
5. Benefits and loss related payments.....	220,966,189	275,847,172
6. Net transfers to Separate, Segregated Accounts and Protected Cell Accounts.....	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions.....	32,350,541	44,424,024
8. Dividends paid to policyholders.....	0	0
9. Federal and foreign income taxes paid (recovered) \$ net of tax on capital gains (losses)	9,757,998	7,859,706
10. Total (Lines 5 through 9).....	263,074,728	328,130,902
11. Net cash from operations (Line 4 minus Line 10).....	14,268,042	10,829,106
Cash from Investments		
12. Proceeds from investments sold, matured or repaid:		
12.1 Bonds.....	17,124,084	18,263,076
12.2 Stocks.....	242,510,347	210,349,936
12.3 Mortgage loans.....	0	0
12.4 Real estate.....	0	0
12.5 Other invested assets.....	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....	0	0
12.7 Miscellaneous proceeds.....	0	0
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	259,634,431	228,613,012
13. Cost of investments acquired (long-term only):		
13.1 Bonds.....	18,544,552	26,033,340
13.2 Stocks.....	210,441,257	207,710,088
13.3 Mortgage loans.....	0	0
13.4 Real estate.....	0	0
13.5 Other invested assets.....	0	0
13.6 Miscellaneous applications.....	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6).....	228,985,809	233,743,428
14. Net increase (or decrease) in contract loans and premium notes.....	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	30,648,622	(5,130,416)
Cash from Financing and Miscellaneous Sources		
16. Cash provided (applied):		
16.1 Surplus notes, capital notes.....	0	0
16.2 Capital and paid in surplus, less treasury stock.....	0	0
16.3 Borrowed funds.....	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....	0	0
16.5 Dividends to stockholders.....	0	13,436,132
16.6 Other cash provided (applied).....	3,120,424	1,742,989
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6).....	3,120,424	(11,693,143)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS		
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Lines 15 and 17).....	48,037,088	(5,994,453)
19. Cash, cash equivalents and short-term investments:		
19.1 Beginning of year.....	(3,795,271)	2,199,182
19.2 End of period (Line 18 plus Line 19.1).....	44,241,817	(3,795,271)

STATEMENT AS OF SEPTEMBER 30, 2006 OF THE UnitedHealthcare of New England, Inc.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefit Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Stop Loss	11 Disability Income	12 Long-Term Care	13 Other
		2 Individual	3 Group										
Total Members at end of:													
1. Prior Year	131,112	383	77,476	.0	.0	.0	.0	17,251	36,002	.0	.0	.0	.0
2. First Quarter	121,408	372	69,711	.0	.0	.0	.0	17,835	33,490	.0	.0	.0	.0
3. Second Quarter	118,790	360	66,367	.0	.0	.0	.0	18,508	33,555	.0	.0	.0	.0
4. Third Quarter	117,000	.70	64,385					18,666	33,879				
5. Current Year	0												
6. Current Year Member Months	1,084,464	2,721	607,714					164,635	309,394				
Total Member Ambulatory Encounters for Period:													
7. Physician	1,200,728	3,154	722,384					273,642	201,548				
8. Non-Physician	268,562	130	29,970					146,865	91,597				
9. Total	1,469,290	3,284	752,354	0	0	0	0	420,507	293,145	0	0	0	0
10. Hospital Patient Days Incurred	66,336	60	13,380					46,839	6,057				
11. Number of Inpatient Admissions	10,344	14	3,080					5,428	1,822				
12. Health Premiums Written	388,698,840	708,764	190,013,986					130,059,370	67,916,720				
13. Life Premiums Direct0												
14. Property/Casualty Premiums Written0												
15. Health Premiums Earned	388,681,157	708,764	189,996,303					130,059,370	67,916,720				
16. Property/Casualty Premiums Earned0												
17. Amount Paid for Provision of Health Care Services	313,066,203	241,667	146,657,306					108,133,120	58,034,110				
18. Amount Incurred for Provision of Health Care Services	303,099,223	230,544	140,284,915					106,797,190	55,786,574				

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STATEMENT AS OF SEPTEMBER 30, 2006 OF THE UnitedHealthcare of New England, Inc.

UNDERWRITING AND INVESTMENT EXHIBIT
ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5 Claims Incurred in Prior Years (Columns 1 + 3)	6 Estimated Claim Reserve and Claim Liability Dec. 31 of Prior Year
	1	2	3	4		
	On Claims Incurred Prior to January 1 of Current Year	On Claims Incurred During the Year	On Claims Unpaid Dec. 31 of Prior Year	On Claims Incurred During the Year		
1. Comprehensive (hospital & medical)	9,649,871	48,905,869	575,363	8,287,049	10,225,234	11,607,726
2. Medicare Supplement0	.0
3. Dental Only0	.0
4. Vision Only0	.0
5. Federal Employees Health Benefits Plan0	.0
6. Title XVIII - Medicare	17,656,207	90,476,913	142,995	20,004,011	17,799,202	20,303,468
7. Title XIX - Medicaid	10,108,832	47,377,593	1,073,578	8,886,408	11,182,410	12,321,836
8. Other Health0	.0
9. Health Subtotal (Lines 1 to 8).....	37,414,910	186,760,375	1,791,936	37,177,468	39,206,846	44,233,030
10. Healthcare receivables (a)	19,834	1,032,286		198,119	19,834	460,585
11. Other non-health0	.0
12. Medical incentive pools and bonus amounts	423,561	(267)	1	294,114	423,562	378,728
13. Totals	37,818,637	185,727,822	1,791,937	37,273,463	39,610,574	44,151,173

(a) Excludes \$ loans and advances to providers not yet expensed.

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NOTES TO FINANCIAL STATEMENTS

Note 1 – Summary of Significant Accounting Policies

No significant change

Note 2 - Accounting Changes and Corrections of Errors

No significant change

Note 3 – Business Combinations and Goodwill

No significant change

Note 4 – Discontinued Operations

No significant change

Note 5 – Investments

No significant change

Note 6 – Joint Ventures, Partnerships, and Limited Liability Companies

No significant change

Note 7 – Investment Income

No significant change

Note 8 – Derivative Instruments

No significant change

Note 9 – Income Taxes

No significant change

Note 10 – Information Concerning Parent, Subsidiaries and Affiliates

No significant change

Note 11 - Debt

No significant change

Note 12 – Retirement Plans, Deferred Compensation, Post employment Benefits and Compensated Absences and Other Postretirement Benefit Plans

No significant change

Note 13 – Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

No significant change

Note 14 - Contingencies

NOTES TO FINANCIAL STATEMENTS

No significant change

Note 15 – Leases

No significant change

Note 16 – Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

No significant change

Note 17 – Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

No significant change

Note 18 – Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

No significant change

Note 19 – Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant change

Note 20 – September 11 Events

No significant change

Note 21 – Other Items

No significant change

Note 22 – Events Subsequent

No significant change

Note 23 – Reinsurance

No significant change

Note 24 – Retrospectively Rated Contracts & Contracts Subject to Redetermination

No significant change

Note 25 – Change in Incurred Claims and Claim Adjustment Expenses

No significant change

Note 26 – Intercompany Pooling Arrangements

No significant change

Note 27 – Structured Settlements

No significant change

Note 28 – Health Care Receivables

NOTES TO FINANCIAL STATEMENTS

No significant change

Note 29 – Participating Policies

No significant change

Note 30 – Premium Deficiency Reserves

No significant change

Note 31– Anticipated Salvage and Subrogation

No significant change

GENERAL INTERROGATORIES

(Responses to these interrogatories should be based on changes that have occurred since the prior year end unless otherwise noted.)

PART 1 - COMMON INTERROGATORIES GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]
- 2.2 If yes, date of change:
If not previously filed, furnish herewith a certified copy of the instrument as amended.
3. Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [] No [X]
If yes, complete the Schedule Y - Part 1 - organizational chart.
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]
- 4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [X] NA []
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2000
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2000
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 01/19/2003
- 6.4 By what department or departments?
Rhode Island Department of Business Regulation.....
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]
- 7.2 If yes, give full information:
.....
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
.....
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [X] No []
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Office of Thrift Supervision (OTS), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.]

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 OTS	6 FDIC	7 SEC
Exante Bank.....	Salt Lake City, Utah.....				Yes.....	

GENERAL INTERROGATORIES

FINANCIAL

- 9.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [] No [X]
- 9.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$

INVESTMENT

- 10.1 Has there been any change in the reporting entity's own preferred or common stock? Yes [] No [X]
- 10.2 If yes, explain:

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [] No [X]

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$

13. Amount of real estate and mortgages held in short-term investments: \$

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [] No [X]

14.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Statement Value
14.21 Bonds	\$	\$
14.22 Preferred Stock	\$	\$
14.23 Common Stock	\$	\$
14.24 Short-Term Investments	\$	\$
14.25 Mortgage Loans on Real Estate	\$	\$
14.26 All Other	\$	\$
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26).....	\$ 0	\$ 0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$	\$

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [] No [X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [] No [X]

If no, attach a description with this statement.

16. Excluding items in Schedule E, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Part 1 - General, Section IV.H - Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No []

16.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
State Street Bank.....	801 Pennsylvania, Kansas City, MO 64105.....

16.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

16.3 Have there been any changes, including name changes in the custodian(s) identified in 16.1 during the current quarter? Yes [] No [X]

16.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

16.5 Identify all investment advisors, brokers/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
104518.....	Deutsche Investment Management Americas, Inc.....	345 Park Avenue, New York, NY 10154.....

17.1 Have all the filing requirements of the *Purposes and Procedures Manual* of the NAIC Securities Valuation Office been followed? Yes [X] No []

17.2 If no, list exceptions:

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
NONE		
1. Book/adjusted carrying value, December 31 of prior year	0	0
2. Increase (decrease) by adjustment		0
3. Cost of acquired		0
4. Cost of additions to and permanent improvements		0
5. Total profit (loss) on sales		0
6. Increase (decrease) by foreign exchange adjustment		0
7. Amount received on sales		0
8. Book/adjusted carrying value at end of current period	0	0
9. Total valuation allowance		0
10. Subtotal (Lines 8 plus 9)	0	0
11. Total nonadmitted amounts		0
12. Statement value, current period (Page 2, real estate lines, Net Admitted Assets column)	0	0

SCHEDULE B – VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
NONE		
1. Book value/recorded investment excluding accrued interest on mortgages owned, December 31 of prior year	0	0
2. Amount loaned during period:		
2.1. Actual cost at time of acquisitions		0
2.2. Additional investment made after acquisitions		0
3. Accrual of discount and mortgage interest points and commitment fees		0
4. Increase (decrease) by adjustment		0
5. Total profit (loss) on sale		0
6. Amounts paid on account or in full during the period		0
7. Amortization of premium		0
8. Increase (decrease) by foreign exchange adjustment		0
9. Book value/recorded investment excluding accrued interest on mortgages owned at end of current period	0	0
10. Total valuation allowance		0
11. Subtotal (Lines 9 plus 10)	0	0
12. Total nonadmitted amounts		0
13. Statement value of mortgages owned at end of current period (Page 2, mortgage lines, Net Admitted Assets column)	0	0

SCHEDULE BA – VERIFICATION

Other Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
NONE		
1. Book/adjusted carrying value of long-term invested assets owned, December 31 of prior year	0	0
2. Cost of acquisitions during period:		
2.1. Actual cost at time of acquisitions		0
2.2. Additional investment made after acquisitions		0
3. Accrual of discount		0
4. Increase (decrease) by adjustment		0
5. Total profit (loss) on sale		0
6. Amounts paid on account or in full during the period		0
7. Amortization of premium		0
8. Increase (decrease) by foreign exchange adjustment		0
9. Book/adjusted carrying value of long-term invested assets at end of current period	0	0
10. Total valuation allowance		0
11. Subtotal (Lines 9 plus 10)	0	0
12. Total nonadmitted amounts		0
13. Statement value of long-term invested assets at end of current period (Page 2, Line 7, Column 3)	0	0

SCHEDULE D – VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	151,486,852	146,933,166
2. Cost of bonds and stocks acquired	227,430,419	233,743,427
3. Accrual of discount	36,027	24,822
4. Increase (decrease) by adjustment		0
5. Increase (decrease) by foreign exchange adjustment		0
6. Total profit (loss) on disposal	(58,860)	(152,525)
7. Consideration for bonds and stocks disposed of	258,079,042	228,613,011
8. Amortization of premium	304,541	449,027
9. Book/adjusted carrying value, current period	120,510,855	151,486,852
10. Total valuation allowance		0
11. Subtotal (Lines 9 plus 10)	120,510,855	151,486,852
12. Total nonadmitted amounts		0
13. Statement value	120,510,855	151,486,852

STATEMENT AS OF SEPTEMBER 30, 2006 OF THE UnitedHealthcare of New England, Inc.

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by Rating Class

	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. Class 1	131,892,812	28,163,449	27,295,192	(61,044)	129,370,718	131,892,812	132,700,024	83,376,828
2. Class 2	406,767	0	0	(1,462)	408,209	406,767	405,305	409,630
3. Class 3	0	0	0	0	0	0	0	0
4. Class 4	0	0	0	0	0	0	0	0
5. Class 5	0	0	0	0	0	0	0	0
6. Class 6	0	0	0	0	0	0	0	0
7. Total Bonds	132,299,579	28,163,449	27,295,192	(62,506)	129,778,927	132,299,579	133,105,329	83,786,458
PREFERRED STOCK								
8. Class 1	0	0	0	0	0	0	0	0
9. Class 2	0	0	0	0	0	0	0	0
10. Class 3	0	0	0	0	0	0	0	0
11. Class 4	0	0	0	0	0	0	0	0
12. Class 5	0	0	0	0	0	0	0	0
13. Class 6	0	0	0	0	0	0	0	0
14. Total Preferred Stock	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	132,299,579	28,163,449	27,295,192	(62,506)	129,778,927	132,299,579	133,105,329	83,786,458

SCHEDULE DA - PART 1

Short-Term Investments Owned End of Current Quarter

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year To Date	Paid for Accrued Interest Year To Date
8299999 Totals	46,939,547	XXX	46,939,547	938,889	0

SCHEDULE DA - PART 2- VERIFICATION

Short-Term Investments Owned

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	213,203	600,875
2. Cost of short-term investments acquired	89,900,544	20,860,237
3. Increase (decrease) by adjustment		0
4. Increase (decrease) by foreign exchange adjustment		0
5. Total profit (loss) on disposal of short-term investments		0
6. Consideration received on disposal of short-term investments	43,174,200	21,247,909
7. Book/adjusted carrying value, current period	46,939,547	213,203
8. Total valuation allowance		0
9. Subtotal (Lines 7 plus 8)	46,939,547	213,203
10. Total nonadmitted amounts		0
11. Statement value (Lines 9 minus 10)	46,939,547	213,203
12. Income collected during period	1,222,274	54,415
13. Income earned during period	1,222,274	54,415

Schedule DB - Part F - Section 1

NONE

Schedule DB - Part F - Section 2

NONE

Schedule S

NONE

SCHEDULE T PREMIUMS AND OTHER CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

States, Etc.	1	2	Direct Business Only Year-to-Date					
			3	4	5	6	7	8
	Guaranty Fund (Yes or No)	Is Insurer Licensed? (Yes or No)	Accident and Health Premiums	Medicare Title XVIII	Medicaid Title XIX	Federal Employees Health Benefit Program Premiums	Life and Annuity Premiums and Deposit-Type Contract Funds	Property/Casualty Premiums
1. Alabama	AL	No						
2. Alaska	AK	No						
3. Arizona	AZ	No						
4. Arkansas	AR	No						
5. California	CA	No						
6. Colorado	CO	No						
7. Connecticut	CT	No						
8. Delaware	DE	No						
9. District of Columbia	DC	No						
10. Florida	FL	No						
11. Georgia	GA	No						
12. Hawaii	HI	No						
13. Idaho	ID	No						
14. Illinois	IL	No						
15. Indiana	IN	No						
16. Iowa	IA	No						
17. Kansas	KS	No						
18. Kentucky	KY	No						
19. Louisiana	LA	No						
20. Maine	ME	No						
21. Maryland	MD	No						
22. Massachusetts	MA	No	Yes	48,446,393				
23. Michigan	MI	No	No					
24. Minnesota	MN	No	No					
25. Mississippi	MS	No	No					
26. Missouri	MO	No	No					
27. Montana	MT	No	No					
28. Nebraska	NE	No	No					
29. Nevada	NV	No	No					
30. New Hampshire	NH	No	No					
31. New Jersey	NJ	No	No					
32. New Mexico	NM	No	No					
33. New York	NY	No	No					
34. North Carolina	NC	No	No					
35. North Dakota	ND	No	No					
36. Ohio	OH	No	No					
37. Oklahoma	OK	No	No					
38. Oregon	OR	No	No					
39. Pennsylvania	PA	No	No					
40. Rhode Island	RI	No	Yes	142,276,357	130,059,370	67,916,720		
41. South Carolina	SC	No	No					
42. South Dakota	SD	No	No					
43. Tennessee	TN	No	No					
44. Texas	TX	No	No					
45. Utah	UT	No	No					
46. Vermont	VT	No	No					
47. Virginia	VA	No	No					
48. Washington	WA	No	No					
49. West Virginia	WV	No	No					
50. Wisconsin	WI	No	No					
51. Wyoming	WY	No	No					
52. American Samoa	AS	No	No					
53. Guam	GU	No	No					
54. Puerto Rico	PR	No	No					
55. U.S. Virgin Islands	VI	No	No					
56. Northern Mariana Islands	MP							
57. Canada	CN	No						
58. Aggregate Other Alien	OT	XXX	XXX	0	0	0	0	0
59. Subtotal		XXX	XXX	190,722,750	130,059,370	67,916,720	0	0
60. Reporting entity contributions for Employee Benefit Plans		XXX	XXX					
61. Total (Direct Business)		XXX	(a) 2	190,722,750	130,059,370	67,916,720	0	0
DETAILS OF WRITE-INS								
5801.								
5802.								
5803.								
5898. Summary of remaining write-ins for Line 58 from overflow page				0	0	0	0	0
5899. Totals (Lines 5801 through 5803 plus 5898) (Line 58 above)				0	0	0	0	0

(a) Insert the number of yes responses except for Canada and other Alien.

Schedule Y - Part 1

NONE

OVERFLOW PAGE FOR WRITE-INS

Schedule A - Part 2

NONE

Schedule A - Part 3

NONE

Schedule B - Part 1

NONE

Schedule B - Part 2

NONE

Schedule BA - Part 1

NONE

Schedule BA - Part 2

NONE

STATEMENT AS OF SEPTEMBER 30, 2006 OF THE UnitedHealthcare of New England, Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired by the Company During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
912827-5Z-1	US Treasury Note 6.500% 02/15/10		07/17/2006	Mellon Bank		1,046,563	1,000,000	27,472	1
0399999 - Total	Bonds - U.S. Government					1,046,563	1,000,000	27,472	XXX
31336W-CP-2	FHLMC Pool 611879 (MBS) 5.000% 10/01/2		08/07/2006	CS First Boston Corp.		1,066,115	1,095,207	2,434	1
31371M-CA-3	FNMA Pool 255765 MBS 5.000% 07/01/20		09/27/2006	Bank of New York		707,367	717,115	2,789	1
31396L-AB-6	FNMA 2006-99 PC CMO PAC 5.500% 12/25/3		09/27/2006	CS First Boston Corp.		1,692,828	1,700,000	7,272	1
31407N-FK-4	FNMA Pool 835470 (MBS) 5.000% 09/01/20		09/27/2006	Bank of America Sec.		786,639	798,365	3,105	1
880443-BF-2	TN Energy Acqui Gas Revenue Bond Cont Cal		07/13/2006	Goldman Sachs		463,957	445,000	0	1FE
3199999 - Total	Bonds - Special Revenue					4,716,906	4,755,686	15,600	XXX
76113F-BB-5	Residential Asset Sec 2006-A6 A212 (CMO)		06/08/2006	JP Morgan Chase		1,555,390	1,552,237	3,104	1FE
4599999 - Total	Bonds - Industrial, Misc.					1,555,390	1,552,237	3,104	XXX
6099997 - Total	Bonds - Part 3					7,318,858	7,307,923	46,176	XXX
6099999 - Total	Bonds					7,318,858	7,307,923	46,176	XXX
6599999 - Total	Preferred Stocks					0	XXX	0	XXX
	UHC HealthCare Liquidity Pool Pro Rata S		07/03/2006	Bank One - POOL	23,288,000.000	23,288,000	0	0	L
	UHC HealthCare Liquidity Pool Pro Rata S		07/17/2006	Bank One - POOL	877,000.000	877,000	0	0	L
	UHC HealthCare Liquidity Pool Pro Rata S		07/18/2006	Bank One - POOL	2,747,415.990	2,747,416	0	0	L
	UHC HealthCare Liquidity Pool Pro Rata S		07/26/2006	Bank One - POOL	1,364,692.120	1,364,692	0	0	L
	UHC HealthCare Liquidity Pool Pro Rata S		07/28/2006	Bank One - POOL	2,944,000.000	2,944,000	0	0	L
	UHC HealthCare Liquidity Pool Pro Rata S		07/30/2006	Bank One - POOL	164,876.360	164,876	0	0	L
	UHC HealthCare Liquidity Pool Pro Rata S		07/31/2006	Bank One - POOL	1,225,000.000	1,225,000	0	0	L
	UHC HealthCare Liquidity Pool Pro Rata S		08/02/2006	Bank One - POOL	12,791,000.000	12,791,000	0	0	L
	UHC HealthCare Liquidity Pool Pro Rata S		08/07/2006	Bank One - POOL	79,000.000	79,000	0	0	L
	UHC HealthCare Liquidity Pool Pro Rata S		08/10/2006	Bank One - POOL	64,000.000	64,000	0	0	L
	UHC HealthCare Liquidity Pool Pro Rata S		08/15/2006	Bank One - POOL	4,454,738.240	4,454,738	0	0	L
	UHC HealthCare Liquidity Pool Pro Rata S		08/23/2006	Bank One - POOL	1,376,292.000	1,376,292	0	0	L
	UHC HealthCare Liquidity Pool Pro Rata S		08/30/2006	Bank One - POOL	31,000.000	31,000	0	0	L
	UHC HealthCare Liquidity Pool Pro Rata S		08/31/2006	Bank One - POOL	162,380.270	162,380	0	0	L
	UHC HealthCare Liquidity Pool Pro Rata S		09/01/2006	Bank One - POOL	6,712,000.000	6,712,000	0	0	L
	UHC HealthCare Liquidity Pool Pro Rata S		09/05/2006	Bank One - POOL	14,416,000.000	14,416,000	0	0	L
	UHC HealthCare Liquidity Pool Pro Rata S		09/08/2006	Bank One - POOL	93,000.000	93,000	0	0	L
	UHC HealthCare Liquidity Pool Pro Rata S		09/15/2006	Bank One - POOL	160,000.000	160,000	0	0	L
	UHC HealthCare Liquidity Pool Pro Rata S		09/18/2006	Bank One - POOL	853,000.000	853,000	0	0	L
	UHC HealthCare Liquidity Pool Pro Rata S		09/29/2006	Bank One - POOL	6,474,380.620	6,474,381	0	0	L
6899999 - Total	Common Stocks - Industrial, Misc.					80,277,776	XXX	0	XXX
7299997 - Total	Common Stocks - Part 3					80,277,776	XXX	0	XXX
7299999 - Total	Common Stocks					80,277,776	XXX	0	XXX
7399999 - Total	Preferred and Common Stocks					80,277,776	XXX	0	XXX
7499999 - Totals						87,596,634	XXX	46,176	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

E04

STATEMENT AS OF SEPTEMBER 30, 2006 OF THE UnitedHealthcare of New England, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of by the Company During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B/A. C.V. (11 + 12 - 13)	Total Foreign Exchange Change in B/A. C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Maturity Date	NAIC Designation or Market Indicator (a)
36206V-7G-6..	GNMA Pool 423095 (MBS) 7.000% 08/15/12		07/01/2006	Paydown		522	522	528	528	.0	(6)	.0	(6)	.0	522	.0	.0	.0	.21	08/15/2012	1
36206V-7G-6..	GNMA Pool 423095 (MBS) 7.000% 08/15/12		08/01/2006	Paydown		2,057	2,057	2,079	2,082	.0	(25)	.0	(25)	.0	2,057	.0	.0	.0	.96	08/15/2012	1
36206V-7G-6..	GNMA Pool 423095 (MBS) 7.000% 08/15/12		09/01/2006	Paydown		46	46	47	47	.0	(1)	.0	(1)	.0	46	.0	.0	.0	.2	08/15/2012	1
36206X-PL-1..	GNMA Pool 424427 (MBS) 7.000% 08/15/12		07/01/2006	Paydown		7,730	7,730	7,829	7,809	.0	(79)	.0	(79)	.0	7,730	.0	.0	.0	.316	08/15/2012	1
36206X-PL-1..	GNMA Pool 424427 (MBS) 7.000% 08/15/12		08/01/2006	Paydown		443	443	449	448	.0	(5)	.0	(5)	.0	443	.0	.0	.0	.21	08/15/2012	1
36206X-PL-1..	GNMA Pool 424427 (MBS) 7.000% 08/15/12		09/01/2006	Paydown		446	446	452	450	.0	(5)	.0	(5)	.0	446	.0	.0	.0	.23	08/15/2012	1
36211C-F9-8..	GNMA Pool 508792 (MBS) 8.000% 04/15/15		07/01/2006	Paydown		59	59	59	59	.0	.0	.0	.0	.0	59	.0	.0	.0	.3	04/15/2015	1
36211C-F9-8..	GNMA Pool 508792 (MBS) 8.000% 04/15/15		08/01/2006	Paydown		59	59	60	59	.0	.0	.0	.0	.0	59	.0	.0	.0	.3	04/15/2015	1
36211C-F9-8..	GNMA Pool 508792 (MBS) 8.000% 04/15/15		09/01/2006	Paydown		60	60	60	60	.0	.0	.0	.0	.0	60	.0	.0	.0	.4	04/15/2015	1
36225A-5U-0..	GNMA Pool 780859 (MBS) 7.500% 09/15/13		07/01/2006	Paydown		1,332	1,332	1,356	1,350	.0	(18)	.0	(18)	.0	1,332	.0	.0	.0	.58	09/15/2013	1
36225A-5U-0..	GNMA Pool 780859 (MBS) 7.500% 09/15/13		08/01/2006	Paydown		1,494	1,494	1,521	1,514	.0	(20)	.0	(20)	.0	1,494	.0	.0	.0	.75	09/15/2013	1
36225A-5U-0..	GNMA Pool 780859 (MBS) 7.500% 09/15/13		09/01/2006	Paydown		1,373	1,373	1,398	1,391	.0	(19)	.0	(19)	.0	1,373	.0	.0	.0	.77	09/15/2013	1
912827-Y5-5..	US Treasury Note 7.000% 07/15/06		07/15/2006	Maturity		1,000,000	1,000,000	1,072,402	1,021,922	.0	(21,922)	.0	(21,922)	.0	1,000,000	.0	.0	.0	.70,000	07/15/2006	1
039999	Bonds - U.S. Governments					1,015,620	1,015,620	1,088,238	1,037,719	0	(22,100)	0	(22,100)	0	1,015,620	0	0	0	70,699	XXX	XXX
762223-AK-1..	Rhode Island State GO Non Callable 6.0		08/01/2006	Maturity		1,000,000	1,000,000	1,107,410	1,008,359	.0	(8,359)	.0	(8,359)	.0	1,000,000	.0	.0	.0	60,000	08/01/2006	1FE
179999	Bonds - States, Territories and Possessions					1,000,000	1,000,000	1,107,410	1,008,359	0	(8,359)	0	(8,359)	0	1,000,000	0	0	0	60,000	XXX	XXX
158843-MM-1..	Chandler Arizona GO Callable 5.000% 07		07/01/2006	Call	101.0000	505,000	500,000	485,090	492,898	.0	12,102	.0	12,102	.0	505,000	.0	.0	.0	25,000	07/01/2011	1FE
249999	Bonds - Political Subdivisions					505,000	500,000	485,090	492,898	0	12,102	0	12,102	0	505,000	0	0	0	25,000	XXX	XXX
31283J-3V-1..	FHLMC Pool G10812 (MBS) 7.500% 04/01/1		07/01/2006	Paydown		1,192	1,192	1,213	1,208	.0	(16)	.0	(16)	.0	1,192	.0	.0	.0	.52	04/01/2013	1
31283J-3V-1..	FHLMC Pool G10812 (MBS) 7.500% 04/01/1		08/01/2006	Paydown		1,172	1,172	1,193	1,189	.0	(16)	.0	(16)	.0	1,172	.0	.0	.0	.59	04/01/2013	1
31283J-3V-1..	FHLMC Pool G10812 (MBS) 7.500% 04/01/1		09/01/2006	Paydown		947	947	964	960	.0	(13)	.0	(13)	.0	947	.0	.0	.0	.53	04/01/2013	1
31283J-TM-3..	FHLMC Pool G10556 (MBS) 7.000% 07/01/1		07/01/2006	Paydown		1,081	1,081	1,104	1,096	.0	(15)	.0	(15)	.0	1,081	.0	.0	.0	.44	07/01/2011	1
31283J-TM-3..	FHLMC Pool G10556 (MBS) 7.000% 07/01/1		08/01/2006	Paydown		686	686	700	695	.0	(10)	.0	(10)	.0	686	.0	.0	.0	.32	07/01/2011	1
31283J-TM-3..	FHLMC Pool G10556 (MBS) 7.000% 07/01/1		09/01/2006	Paydown		974	974	995	988	.0	(14)	.0	(14)	.0	974	.0	.0	.0	.51	07/01/2011	1
31283J-WR-8..	FHLMC Pool G10656 (MBS) 7.500% 01/01/1		07/01/2006	Paydown		950	950	960	957	.0	(7)	.0	(7)	.0	950	.0	.0	.0	.42	01/01/2012	1
31283J-WR-8..	FHLMC Pool G10656 (MBS) 7.500% 01/01/1		08/01/2006	Paydown		1,614	1,614	1,631	1,626	.0	(12)	.0	(12)	.0	1,614	.0	.0	.0	.81	01/01/2012	1
31283J-WR-8..	FHLMC Pool G10656 (MBS) 7.500% 01/01/1		09/01/2006	Paydown		1,021	1,021	1,031	1,028	.0	(7)	.0	(7)	.0	1,021	.0	.0	.0	.57	01/01/2012	1
31283K-4T-2..	FHLMC Pool G11734 (MBS) 5.000% 05/01/2		07/01/2006	Paydown		14,871	14,871	14,899	14,899	.0	(28)	.0	(28)	.0	14,871	.0	.0	.0	.434	05/01/2020	1
31283K-4T-2..	FHLMC Pool G11734 (MBS) 5.000% 05/01/2		08/01/2006	Paydown		10,347	10,347	10,366	10,366	.0	(19)	.0	(19)	.0	10,347	.0	.0	.0	.345	05/01/2020	1
31283K-4T-2..	FHLMC Pool G11734 (MBS) 5.000% 05/01/2		09/01/2006	Paydown		11,245	11,245	11,266	11,266	.0	(21)	.0	(21)	.0	11,245	.0	.0	.0	.422	05/01/2020	1
31283K-6J-2..	FHLMC Pool G11773 (MBS) 5.000% 10/01/2		07/01/2006	Paydown		10,140	10,140	10,069	10,069	.0	.71	.0	.71	.0	10,140	.0	.0	.0	.296	10/01/2020	1
31283K-6J-2..	FHLMC Pool G11773 (MBS) 5.000% 10/01/2		08/01/2006	Paydown		11,421	11,421	11,342	11,341	.0	.80	.0	.80	.0	11,421	.0	.0	.0	.381	10/01/2020	1
31283K-6J-2..	FHLMC Pool G11773 (MBS) 5.000% 10/01/2		09/01/2006	Paydown		11,520	11,520	11,440	11,439	.0	.80	.0	.80	.0	11,520	.0	.0	.0	.432	10/01/2020	1
31286D-RZ-5..	FHLMC Pool E78604 (MBS) 7.000% 09/01/1		07/01/2006	Paydown		181	181	181	181	.0	.0	.0	.0	.0	181	.0	.0	.0	.7	09/01/2014	1

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STATEMENT AS OF SEPTEMBER 30, 2006 OF THE UnitedHealthcare of New England, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of by the Company During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B/A. C.V. (11 + 12 - 13)	Total Foreign Exchange Change in B/A. C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Maturity Date	NAIC Designation or Market Indicator (a)
31286D-RZ-5.	FHLMC Pool E78604 (MBS) 7.000% 09/01/1.		08/01/2006	Paydown		182	182	182	182	0	0	0	0	0	182	0	0	0	8	09/01/2014	1
31286D-RZ-5.	FHLMC Pool E78604 (MBS) 7.000% 09/01/1.		09/01/2006	Paydown		1,532	1,532	1,531	1,530	0	2	0	2	0	1,532	0	0	0	80	09/01/2014	1
31286D-UB-4.	FHLMC Pool E78678 (MBS) 8.000% 08/01/1.		07/01/2006	Paydown		205	205	205	205	0	0	0	0	0	205	0	0	0	10	08/01/2014	1
31286D-UB-4.	FHLMC Pool E78678 (MBS) 8.000% 08/01/1.		08/01/2006	Paydown		200	200	200	200	0	0	0	0	0	200	0	0	0	11	08/01/2014	1
31286D-UB-4.	FHLMC Pool E78678 (MBS) 8.000% 08/01/1.		09/01/2006	Paydown		201	201	201	201	0	0	0	0	0	201	0	0	0	12	08/01/2014	1
31286E-3V-8.	FHLMC Pool E79812 (MBS) 8.000% 11/01/1.		07/01/2006	Paydown		1,341	1,341	1,356	1,353	0	(12)	0	(12)	0	1,341	0	0	0	63	11/01/2014	1
31286E-3V-8.	FHLMC Pool E79812 (MBS) 8.000% 11/01/1.		08/01/2006	Paydown		194	194	196	196	0	(2)	0	(2)	0	194	0	0	0	10	11/01/2014	1
31286E-3V-8.	FHLMC Pool E79812 (MBS) 8.000% 11/01/1.		09/01/2006	Paydown		1,225	1,225	1,238	1,235	0	(11)	0	(11)	0	1,225	0	0	0	73	11/01/2014	1
31286L-GA-4.	FHLMC Pool E83793 (MBS) 6.000% 05/01/1.		07/01/2006	Paydown		1,612	1,612	1,597	1,599	0	13	0	13	0	1,612	0	0	0	56	05/01/2016	1
31286L-GA-4.	FHLMC Pool E83793 (MBS) 6.000% 05/01/1.		08/01/2006	Paydown		1,605	1,605	1,590	1,592	0	13	0	13	0	1,605	0	0	0	64	05/01/2016	1
31286L-GA-4.	FHLMC Pool E83793 (MBS) 6.000% 05/01/1.		09/01/2006	Paydown		1,612	1,612	1,597	1,599	0	13	0	13	0	1,612	0	0	0	73	05/01/2016	1
3128MM-AT-0.	FHLMC Pool G18017 (MBS) 5.500% 10/01/1.		07/01/2006	Paydown		6,007	6,007	6,210	6,204	0	(197)	0	(197)	0	6,007	0	0	0	193	10/01/2019	1
3128MM-AT-0.	FHLMC Pool G18017 (MBS) 5.500% 10/01/1.		08/01/2006	Paydown		6,617	6,617	6,841	6,834	0	(218)	0	(218)	0	6,617	0	0	0	243	10/01/2019	1
3128MM-AT-0.	FHLMC Pool G18017 (MBS) 5.500% 10/01/1.		09/01/2006	Paydown		6,684	6,684	6,910	6,904	0	(220)	0	(220)	0	6,684	0	0	0	276	10/01/2019	1
3128PD-V9-8.	FHLMC Pool J02440 (MBS) 5.000% 08/01/2.		07/01/2006	Paydown		26,753	26,753	26,803	26,802	0	(49)	0	(49)	0	26,753	0	0	0	780	08/01/2020	1
3128PD-V9-8.	FHLMC Pool J02440 (MBS) 5.000% 08/01/2.		08/01/2006	Paydown		26,699	26,699	26,750	26,749	0	(49)	0	(49)	0	26,699	0	0	0	890	08/01/2020	1
3128PD-V9-8.	FHLMC Pool J02440 (MBS) 5.000% 08/01/2.		09/01/2006	Paydown		43,408	43,408	43,490	43,488	0	(80)	0	(80)	0	43,408	0	0	0	1,628	08/01/2020	1
31294J-3L-0.	FHLMC Pool E00803 (MBS) 8.000% 12/01/1.		07/01/2006	Paydown		1,282	1,282	1,286	1,285	0	(2)	0	(2)	0	1,282	0	0	0	60	12/01/2014	1
31294J-3L-0.	FHLMC Pool E00803 (MBS) 8.000% 12/01/1.		08/01/2006	Paydown		121	121	122	122	0	0	0	0	0	121	0	0	0	6	12/01/2014	1
31294J-3L-0.	FHLMC Pool E00803 (MBS) 8.000% 12/01/1.		09/01/2006	Paydown		245	245	246	246	0	0	0	0	0	245	0	0	0	15	12/01/2014	1
312972-CL-4.	FHLMC Pool B19075 (MBS) 5.000% 04/01/2.		07/01/2006	Paydown		9,322	9,322	9,251	9,251	0	71	0	71	0	9,322	0	0	0	272	04/01/2020	1
312972-CL-4.	FHLMC Pool B19075 (MBS) 5.000% 04/01/2.		08/01/2006	Paydown		21,337	21,337	21,174	21,174	0	163	0	163	0	21,337	0	0	0	711	04/01/2020	1
312972-CL-4.	FHLMC Pool B19075 (MBS) 5.000% 04/01/2.		09/01/2006	Paydown		8,731	8,731	8,664	8,665	0	67	0	67	0	8,731	0	0	0	327	04/01/2020	1
312972-NM-0.	FHLMC Pool B19396 (MBS) 5.000% 05/01/2.		07/01/2006	Paydown		11,307	11,307	11,328	11,328	0	(21)	0	(21)	0	11,307	0	0	0	330	05/01/2020	1
312972-NM-0.	FHLMC Pool B19396 (MBS) 5.000% 05/01/2.		08/01/2006	Paydown		11,027	11,027	11,047	11,047	0	(20)	0	(20)	0	11,027	0	0	0	368	05/01/2020	1
312972-NM-0.	FHLMC Pool B19396 (MBS) 5.000% 05/01/2.		09/01/2006	Paydown		31,697	31,697	31,757	31,756	0	(59)	0	(59)	0	31,697	0	0	0	1,189	05/01/2020	1
31336W-CP-2.	FHLMC Pool G11879 (MBS) 5.000% 10/01/2.		09/01/2006	Paydown		13,633	13,633	13,271	0	0	362	0	362	0	13,633	0	0	0	57	10/01/2020	1
31365E-J4-6.	FNMA Pool 125483 (MBS) 6.500% 04/01/12.		07/01/2006	Paydown		383	383	379	380	0	3	0	3	0	383	0	0	0	15	04/01/2012	1
31365E-J4-6.	FNMA Pool 125483 (MBS) 6.500% 04/01/12.		08/01/2006	Paydown		902	902	893	894	0	8	0	8	0	902	0	0	0	39	04/01/2012	1
31365E-J4-6.	FNMA Pool 125483 (MBS) 6.500% 04/01/12.		09/01/2006	Paydown		390	390	386	386	0	4	0	4	0	390	0	0	0	19	04/01/2012	1
31371F-VK-5.	FNMA Pool 250918 (MBS) 6.500% 05/01/12.		07/01/2006	Paydown		1,037	1,037	1,026	1,028	0	9	0	9	0	1,037	0	0	0	39	05/01/2012	1
31371F-VK-5.	FNMA Pool 250918 (MBS) 6.500% 05/01/12.		08/01/2006	Paydown		876	876	867	869	0	7	0	7	0	876	0	0	0	38	05/01/2012	1
31371F-VK-5.	FNMA Pool 250918 (MBS) 6.500% 05/01/12.		09/01/2006	Paydown		915	915	906	908	0	8	0	8	0	915	0	0	0	45	05/01/2012	1

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STATEMENT AS OF SEPTEMBER 30, 2006 OF THE UnitedHealthcare of New England, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of by the Company During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B/A. C.V. (11 + 12 - 13)	Total Foreign Exchange Change in B/A. C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Maturity Date	NAIC Designation or Market Indicator (a)
31371F-VW-1.	FNMA Pool 250920 (MBS) 7.500% 04/01/12		07/01/2006	Paydown		.964	.964	.980	.976	.0	(11)	.0	(11)	.0	.964	.0	.0	.0	.42	.04/01/2012	1
31371F-VW-1.	FNMA Pool 250920 (MBS) 7.500% 04/01/12		08/01/2006	Paydown		1,095	1,095	1,113	1,108	.0	(13)	.0	(13)	.0	1,095	.0	.0	.0	.55	.04/01/2012	1
31371F-VW-1.	FNMA Pool 250920 (MBS) 7.500% 04/01/12		09/01/2006	Paydown		.515	.515	.523	.521	.0	(6)	.0	(6)	.0	.515	.0	.0	.0	.29	.04/01/2012	1
31371J-F9-0.	FNMA Pool 253192 (MBS) 7.000% 04/01/15		07/01/2006	Paydown		.138	.138	.134	.135	.0	.3	.0	.3	.0	.138	.0	.0	.0	.6	.04/01/2015	1
31371J-F9-0.	FNMA Pool 253192 (MBS) 7.000% 04/01/15		08/01/2006	Paydown		.706	.706	.688	.690	.0	.16	.0	.16	.0	.706	.0	.0	.0	.33	.04/01/2015	1
31371J-F9-0.	FNMA Pool 253192 (MBS) 7.000% 04/01/15		09/01/2006	Paydown		.367	.367	.357	.359	.0	.8	.0	.8	.0	.367	.0	.0	.0	.19	.04/01/2015	1
31371J-N4-2.	FNMA Pool 253411 (MBS) 6.500% 07/01/15		07/01/2006	Paydown		.76	.76	.73	.73	.0	.3	.0	.3	.0	.76	.0	.0	.0	.3	.07/01/2015	1
31371J-N4-2.	FNMA Pool 253411 (MBS) 6.500% 07/01/15		08/01/2006	Paydown		.75	.75	.72	.72	.0	.3	.0	.3	.0	.75	.0	.0	.0	.3	.07/01/2015	1
31371J-N4-2.	FNMA Pool 253411 (MBS) 6.500% 07/01/15		09/01/2006	Paydown		.599	.599	.574	.573	.0	.26	.0	.26	.0	.599	.0	.0	.0	.29	.07/01/2015	1
31377Y-3A-1.	FNMA Pool 391493 (MBS) 7.500% 06/01/12		07/01/2006	Paydown		1,554	1,554	1,586	1,582	.0	(28)	.0	(28)	.0	1,554	.0	.0	.0	.68	.06/01/2012	1
31377Y-3A-1.	FNMA Pool 391493 (MBS) 7.500% 06/01/12		08/01/2006	Paydown		.162	.162	.165	.165	.0	(3)	.0	(3)	.0	.162	.0	.0	.0	.8	.06/01/2012	1
31377Y-3A-1.	FNMA Pool 391493 (MBS) 7.500% 06/01/12		09/01/2006	Paydown		.142	.142	.145	.145	.0	(3)	.0	(3)	.0	.142	.0	.0	.0	.8	.06/01/2012	1
31378C-E5-7.	FNMA Pool 394456 (MBS) 7.000% 07/01/12		07/01/2006	Paydown		.494	.494	.496	.494	.0	.0	.0	.0	.0	.494	.0	.0	.0	.20	.07/01/2012	1
31378C-E5-7.	FNMA Pool 394456 (MBS) 7.000% 07/01/12		08/01/2006	Paydown		.497	.497	.499	.497	.0	.0	.0	.0	.0	.497	.0	.0	.0	.23	.07/01/2012	1
31378C-E5-7.	FNMA Pool 394456 (MBS) 7.000% 07/01/12		09/01/2006	Paydown		.500	.500	.503	.500	.0	.0	.0	.0	.0	.500	.0	.0	.0	.26	.07/01/2012	1
31378C-EE-8.	FNMA Pool 394433 (MBS) 7.000% 07/01/12		07/01/2006	Paydown		.693	.693	.697	.694	.0	.0	.0	.0	.0	.693	.0	.0	.0	.28	.07/01/2012	1
31378C-EE-8.	FNMA Pool 394433 (MBS) 7.000% 07/01/12		08/01/2006	Paydown		.715	.715	.719	.716	.0	.0	.0	.0	.0	.715	.0	.0	.0	.33	.07/01/2012	1
31378C-EE-8.	FNMA Pool 394433 (MBS) 7.000% 07/01/12		09/01/2006	Paydown		.702	.702	.706	.702	.0	.0	.0	.0	.0	.702	.0	.0	.0	.37	.07/01/2012	1
31384V-K7-6.	FNMA Pool 535018 (MBS) 7.500% 04/01/13		07/01/2006	Paydown		1,588	1,588	1,604	1,599	.0	(11)	.0	(11)	.0	1,588	.0	.0	.0	.69	.04/01/2013	1
31384V-K7-6.	FNMA Pool 535018 (MBS) 7.500% 04/01/13		08/01/2006	Paydown		.831	.831	.840	.837	.0	(6)	.0	(6)	.0	.831	.0	.0	.0	.42	.04/01/2013	1
31384V-K7-6.	FNMA Pool 535018 (MBS) 7.500% 04/01/13		09/01/2006	Paydown		1,217	1,217	1,230	1,226	.0	(9)	.0	(9)	.0	1,217	.0	.0	.0	.68	.04/01/2013	1
31384X-4C-9.	FNMA Pool 537319 (MBS) 7.500% 06/01/15		07/01/2006	Paydown		1,318	1,318	1,310	1,310	.0	.8	.0	.8	.0	1,318	.0	.0	.0	.58	.06/01/2015	1
31384X-4C-9.	FNMA Pool 537319 (MBS) 7.500% 06/01/15		08/01/2006	Paydown		.99	.99	.98	.98	.0	.1	.0	.1	.0	.99	.0	.0	.0	.5	.06/01/2015	1
31384X-4C-9.	FNMA Pool 537319 (MBS) 7.500% 06/01/15		09/01/2006	Paydown		.74	.74	.74	.74	.0	.0	.0	.0	.0	.74	.0	.0	.0	.4	.06/01/2015	1
31385N-AG-4.	FNMA Pool 547307 (MBS) 7.500% 08/01/15		07/01/2006	Paydown		.180	.180	.180	.179	.0	.0	.0	.0	.0	.180	.0	.0	.0	.8	.08/01/2015	1
31385N-AG-4.	FNMA Pool 547307 (MBS) 7.500% 08/01/15		08/01/2006	Paydown		.181	.181	.181	.181	.0	.0	.0	.0	.0	.181	.0	.0	.0	.9	.08/01/2015	1
31385N-AG-4.	FNMA Pool 547307 (MBS) 7.500% 08/01/15		09/01/2006	Paydown		.195	.195	.195	.194	.0	.0	.0	.0	.0	.195	.0	.0	.0	.11	.08/01/2015	1
31387E-PK-7.	FNMA Pool 581926 (MBS) 6.000% 07/01/16		07/01/2006	Paydown		1,108	1,108	1,104	1,104	.0	.4	.0	.4	.0	1,108	.0	.0	.0	.39	.07/01/2016	1
31387E-PK-7.	FNMA Pool 581926 (MBS) 6.000% 07/01/16		08/01/2006	Paydown		1,139	1,139	1,135	1,135	.0	.4	.0	.4	.0	1,139	.0	.0	.0	.46	.07/01/2016	1
31387E-PK-7.	FNMA Pool 581926 (MBS) 6.000% 07/01/16		09/01/2006	Paydown		1,227	1,227	1,223	1,223	.0	.4	.0	.4	.0	1,227	.0	.0	.0	.55	.07/01/2016	1
31388R-ZG-5.	FNMA Pool 612843 (MBS) 5.500% 01/01/17		07/01/2006	Paydown		2,314	2,314	2,275	2,278	.0	.35	.0	.35	.0	2,314	.0	.0	.0	.74	.01/01/2017	1
31388R-ZG-5.	FNMA Pool 612843 (MBS) 5.500% 01/01/17		08/01/2006	Paydown		6,359	6,359	6,251	6,262	.0	.97	.0	.97	.0	6,359	.0	.0	.0	.233	.01/01/2017	1
31388R-ZG-5.	FNMA Pool 612843 (MBS) 5.500% 01/01/17		09/01/2006	Paydown		2,191	2,191	2,154	2,157	.0	.33	.0	.33	.0	2,191	.0	.0	.0	.90	.01/01/2017	1

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STATEMENT AS OF SEPTEMBER 30, 2006 OF THE UnitedHealthcare of New England, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of by the Company During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B/A. C.V. (11 + 12 - 13)	Total Foreign Exchange Change in B/A. C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Maturity Date	NAIC Designation or Market Indicator (a)
31392H-SC-8.	FNMA 2003-4 PG (CWO) 4.500% 07/25/07		07/01/2006	Paydown		11,404	11,404	11,760	11,493	.0	(89)	.0	(89)	.0	11,404	.0	.0	.0	299	07/25/2007	1
31392H-SC-8.	FNMA 2003-4 PG (CWO) 4.500% 07/25/07		08/01/2006	Paydown		10,232	10,232	10,551	10,311	.0	(80)	.0	(80)	.0	10,232	.0	.0	.0	307	07/25/2007	1
31392H-SC-8.	FNMA 2003-4 PG (CWO) 4.500% 07/25/07		09/01/2006	Paydown		9,222	9,222	9,510	9,294	.0	(72)	.0	(72)	.0	9,222	.0	.0	.0	311	07/25/2007	1
31394V-KB-5.	FNMA 2005-120 VT (CWO) 5.500% 12/25/16		07/01/2006	Paydown		5,787	5,787	5,710	.0	.0	.76	.0	.76	.0	5,787	.0	.0	.0	27	12/25/2016	1
31394V-KB-5.	FNMA 2005-120 VT (CWO) 5.500% 12/25/16		08/01/2006	Paydown		5,813	5,813	5,736	.0	.0	.77	.0	.77	.0	5,813	.0	.0	.0	53	12/25/2016	1
31394V-KB-5.	FNMA 2005-120 VT (CWO) 5.500% 12/25/16		09/01/2006	Paydown		5,840	5,840	5,763	.0	.0	.77	.0	.77	.0	5,840	.0	.0	.0	80	12/25/2016	1
31405K-BZ-3.	FNMA Pool 791256 (MBS) 5.500% 09/01/19		07/01/2006	Paydown		18,461	18,461	18,911	18,908	.0	(447)	.0	(447)	.0	18,461	.0	.0	.0	592	09/01/2019	1
31405K-BZ-3.	FNMA Pool 791256 (MBS) 5.500% 09/01/19		08/01/2006	Paydown		5,761	5,761	5,901	5,901	.0	(140)	.0	(140)	.0	5,761	.0	.0	.0	211	09/01/2019	1
31405K-BZ-3.	FNMA Pool 791256 (MBS) 5.500% 09/01/19		09/01/2006	Paydown		5,575	5,575	5,711	5,711	.0	(135)	.0	(135)	.0	5,575	.0	.0	.0	230	09/01/2019	1
76223P-AF-2.	Corp Revenue Bo. Small Business Admin		07/01/2006	Maturity		1,255,000	1,255,000	1,284,116	1,257,153	.0	(2,153)	.0	(2,153)	.0	1,255,000	.0	.0	.0	62,750	07/01/2006	1FE
831641-EA-9.	2006-PT0A 1 (CWO) Washington State Publ Pwr Sup Revenue Bo.		08/01/2006	Paydown		4,156	4,156	4,156	.0	.0	.0	.0	.0	4,156	.0	.0	.0	99	02/10/2016	1	
939828-YE-3.	Washington State Publ Pwr Sup Revenue Bo.		07/01/2006	Maturity		1,000,000	1,000,000	1,081,240	1,005,605	.0	(5,605)	.0	(5,605)	.0	1,000,000	.0	.0	.0	60,000	07/01/2006	1FE
3199999	Bonds - Special Revenues					2,685,909	2,685,909	2,797,803	2,659,752	.0	(8,480)	.0	(8,480)	.0	2,685,909	.0	.0	.0	137,028	XXX	XXX
337367-AB-2.	First Union-Lehman 1998-C2 A2 (CWO) COMM		07/01/2006	Paydown		7,204	7,204	7,477	7,288	.0	(84)	.0	(84)	.0	7,204	.0	.0	.0	285	11/18/2008	1FE
337367-AB-2.	First Union-Lehman 1998-C2 A2 (CWO) COMM		08/01/2006	Paydown		14,002	14,002	14,533	14,166	.0	(164)	.0	(164)	.0	14,002	.0	.0	.0	635	11/18/2008	1FE
337367-AB-2.	First Union-Lehman 1998-C2 A2 (CWO) COMM		09/01/2006	Paydown		7,790	7,790	8,086	7,881	.0	(91)	.0	(91)	.0	7,790	.0	.0	.0	396	11/18/2008	1FE
361849-DY-5.	GMAC Comm Mtg 1998-C2 A2 (CWO) COMM 6		07/01/2006	Paydown		3,724	3,724	3,864	3,781	.0	(57)	.0	(57)	.0	3,724	.0	.0	.0	142	08/15/2008	1FE
361849-DY-5.	GMAC Comm Mtg 1998-C2 A2 (CWO) COMM 6		08/01/2006	Paydown		4,301	4,301	4,463	4,367	.0	(66)	.0	(66)	.0	4,301	.0	.0	.0	187	08/15/2008	1FE
361849-DY-5.	GMAC Comm Mtg 1998-C2 A2 (CWO) COMM 6		09/01/2006	Paydown		14,927	14,927	15,490	15,158	.0	(230)	.0	(230)	.0	14,927	.0	.0	.0	730	08/15/2008	1FE
705220-AF-8.	Peco Energy Trans 1999-A A6 (ABS) 6.05		09/01/2006	Paydown		140,039	140,039	150,668	141,984	.0	(1,946)	.0	(1,946)	.0	140,039	.0	.0	.0	8,472	03/01/2007	1FE
76113F-BB-5.	Residential Asset Sec 2006-A6 A212 (CWO)		07/01/2006	JP Morgan Chase		1,555,390	1,552,237	1,555,390	.0	.0	.0	.0	.0	1,555,390	.0	.0	.0	3,104	07/25/2036	1FE	
76113F-BB-5.	Residential Asset Sec 2006-A6 A212 (CWO)		07/01/2006	Paydown		18,995	18,995	19,034	.0	.0	(39)	.0	(39)	.0	18,995	.0	.0	.0	95	03/25/2011	1FE
76113F-BB-5.	Residential Asset Sec 2006-A6 A212 (CWO)		08/01/2006	Paydown		2,621	2,621	2,627	.0	.0	(5)	.0	(5)	.0	2,621	.0	.0	.0	26	03/25/2011	1FE
76113F-BB-5.	Residential Asset Sec 2006-A6 A212 (CWO)		09/01/2006	Paydown		18,996	18,996	19,034	.0	.0	(39)	.0	(39)	.0	18,996	.0	.0	.0	285	03/25/2011	1FE
4599999	Bonds - Industrial and Miscellaneous					1,787,989	1,784,836	1,800,666	194,625	.0	(2,721)	.0	(2,721)	.0	1,787,989	.0	.0	.0	14,359	XXX	XXX
6099997	Bonds - Part 4					6,994,518	6,986,365	7,279,207	5,393,353	.0	(29,557)	.0	(29,557)	.0	6,994,518	.0	.0	.0	307,086	XXX	XXX
6099999	Total - Bonds					6,994,518	6,986,365	7,279,207	5,393,353	.0	(29,557)	.0	(29,557)	.0	6,994,518	.0	.0	.0	307,086	XXX	XXX
6599999	Total - Preferred Stocks					0	XXX	0	0	.0	0	.0	0	.0	0	.0	.0	.0	0	XXX	XXX
	UHC HealthCare Liquidity Pool Pro Rata S.		07/03/2006	Bank One - POOL		6,793,874.800	6,793,875	6,793,875	.0	.0	.0	.0	.0	6,793,875	.0	.0	.0	.0	46,003		L
	UHC HealthCare Liquidity Pool Pro Rata S.		07/05/2006	Bank One - POOL		954,000.000	954,000	954,000	.0	.0	.0	.0	.0	954,000	.0	.0	.0	.0	5,134		L
	UHC HealthCare Liquidity Pool Pro Rata S.		07/06/2006	Bank One - POOL		1,148,000.000	1,148,000	1,148,000	.0	.0	.0	.0	.0	1,148,000	.0	.0	.0	.0	6,178		L
	UHC HealthCare Liquidity Pool Pro Rata S.		07/07/2006	Bank One - POOL		413,000.000	413,000	413,000	.0	.0	.0	.0	.0	413,000	.0	.0	.0	.0	2,223		L
	UHC HealthCare Liquidity Pool Pro Rata S.		07/10/2006	Bank One - POOL		319,000.000	319,000	319,000	.0	.0	.0	.0	.0	319,000	.0	.0	.0	.0	1,717		L
	UHC HealthCare Liquidity Pool Pro Rata S.		07/10/2006	Bank One - POOL		1,797,899.320	1,797,899	1,797,899	.0	.0	.0	.0	.0	1,797,899	.0	.0	.0	.0	9,676		L

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STATEMENT AS OF SEPTEMBER 30, 2006 OF THE UnitedHealthcare of New England, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of by the Company During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B/A. C.V. (11 + 12 - 13)	Total Foreign Exchange Change in B/A. C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Maturity Date	NAIC Designation or Market Indicator (a)
	UHC HealthCare Liquidity Pool Pro Rata S.....		07/11/2006	Bank One - POOL.....	692,000.000	692,000	692,000	692,000	.0	.0	.0	.0	.0	.0	692,000	.0	.0	.0	3,724		L
	UHC HealthCare Liquidity Pool Pro Rata S.....		07/12/2006	Bank One - POOL.....	793,000.000	793,000	793,000	793,000	.0	.0	.0	.0	.0	.0	793,000	.0	.0	.0	4,268		L
	UHC HealthCare Liquidity Pool Pro Rata S.....		07/12/2006	Bank One - POOL.....	183,099.220	183,099	183,099	183,099	.0	.0	.0	.0	.0	.0	183,099	.0	.0	.0	985		L
	UHC HealthCare Liquidity Pool Pro Rata S.....		07/13/2006	Bank One - POOL.....	764,000.000	764,000	764,000	764,000	.0	.0	.0	.0	.0	.0	764,000	.0	.0	.0	4,112		L
	UHC HealthCare Liquidity Pool Pro Rata S.....		07/14/2006	Bank One - POOL.....	406,000.000	406,000	406,000	406,000	.0	.0	.0	.0	.0	.0	406,000	.0	.0	.0	2,185		L
	UHC HealthCare Liquidity Pool Pro Rata S.....		07/18/2006	Bank One - POOL.....	2,785,000.000	2,785,000	2,785,000	2,785,000	.0	.0	.0	.0	.0	.0	2,785,000	.0	.0	.0	14,988		L
	UHC HealthCare Liquidity Pool Pro Rata S.....		07/19/2006	Bank One - POOL.....	581,000.000	581,000	581,000	581,000	.0	.0	.0	.0	.0	.0	581,000	.0	.0	.0	3,127		L
	UHC HealthCare Liquidity Pool Pro Rata S.....		07/20/2006	Bank One - POOL.....	316,000.000	316,000	316,000	316,000	.0	.0	.0	.0	.0	.0	316,000	.0	.0	.0	1,701		L
	UHC HealthCare Liquidity Pool Pro Rata S.....		07/21/2006	Bank One - POOL.....	399,000.000	399,000	399,000	399,000	.0	.0	.0	.0	.0	.0	399,000	.0	.0	.0	2,147		L
	UHC HealthCare Liquidity Pool Pro Rata S.....		07/24/2006	Bank One - POOL.....	798,000.000	798,000	798,000	798,000	.0	.0	.0	.0	.0	.0	798,000	.0	.0	.0	4,295		L
	UHC HealthCare Liquidity Pool Pro Rata S.....		07/24/2006	Bank One - POOL.....	1,764,686.240	1,764,686	1,764,686	1,764,686	.0	.0	.0	.0	.0	.0	1,764,686	.0	.0	.0	9,497		L
	UHC HealthCare Liquidity Pool Pro Rata S.....		07/25/2006	Bank One - POOL.....	1,653,000.000	1,653,000	1,653,000	1,653,000	.0	.0	.0	.0	.0	.0	1,653,000	.0	.0	.0	8,896		L
	UHC HealthCare Liquidity Pool Pro Rata S.....		07/26/2006	Bank One - POOL.....	1,026,000.000	1,026,000	1,026,000	1,026,000	.0	.0	.0	.0	.0	.0	1,026,000	.0	.0	.0	5,522		L
	UHC HealthCare Liquidity Pool Pro Rata S.....		07/27/2006	Bank One - POOL.....	391,000.000	391,000	391,000	391,000	.0	.0	.0	.0	.0	.0	391,000	.0	.0	.0	2,104		L
	UHC HealthCare Liquidity Pool Pro Rata S.....		07/31/2006	Bank One - POOL.....	1,531,716.640	1,531,717	1,531,717	1,531,717	.0	.0	.0	.0	.0	.0	1,531,717	.0	.0	.0	15,421		L
	UHC HealthCare Liquidity Pool Pro Rata S.....		08/01/2006	Bank One - POOL.....	1,233,000.000	1,233,000	1,233,000	1,233,000	.0	.0	.0	.0	.0	.0	1,233,000	.0	.0	.0	12,414		L
	UHC HealthCare Liquidity Pool Pro Rata S.....		08/01/2006	Bank One - POOL.....	5,537,636.750	5,537,637	5,537,637	5,537,637	.0	.0	.0	.0	.0	.0	5,537,637	.0	.0	.0	30,799		L
	UHC HealthCare Liquidity Pool Pro Rata S.....		08/03/2006	Bank One - POOL.....	422,000.000	422,000	422,000	422,000	.0	.0	.0	.0	.0	.0	422,000	.0	.0	.0	1,978		L
	UHC HealthCare Liquidity Pool Pro Rata S.....		08/04/2006	Bank One - POOL.....	402,000.000	402,000	402,000	402,000	.0	.0	.0	.0	.0	.0	402,000	.0	.0	.0	1,884		L
	UHC HealthCare Liquidity Pool Pro Rata S.....		08/07/2006	Bank One - POOL.....	1,764,686.240	1,764,686	1,764,686	1,764,686	.0	.0	.0	.0	.0	.0	1,764,686	.0	.0	.0	8,269		L
	UHC HealthCare Liquidity Pool Pro Rata S.....		08/08/2006	Bank One - POOL.....	1,563,000.000	1,563,000	1,563,000	1,563,000	.0	.0	.0	.0	.0	.0	1,563,000	.0	.0	.0	7,324		L
	UHC HealthCare Liquidity Pool Pro Rata S.....		08/09/2006	Bank One - POOL.....	1,062,000.000	1,062,000	1,062,000	1,062,000	.0	.0	.0	.0	.0	.0	1,062,000	.0	.0	.0	4,977		L
	UHC HealthCare Liquidity Pool Pro Rata S.....		08/09/2006	Bank One - POOL.....	329,220.970	329,221	329,221	329,221	.0	.0	.0	.0	.0	.0	329,221	.0	.0	.0	1,543		L
	UHC HealthCare Liquidity Pool Pro Rata S.....		08/11/2006	Bank One - POOL.....	399,000.000	399,000	399,000	399,000	.0	.0	.0	.0	.0	.0	399,000	.0	.0	.0	1,870		L
	UHC HealthCare Liquidity Pool Pro Rata S.....		08/14/2006	Bank One - POOL.....	192,000.000	192,000	192,000	192,000	.0	.0	.0	.0	.0	.0	192,000	.0	.0	.0	900		L
	UHC HealthCare Liquidity Pool Pro Rata S.....		08/15/2006	Bank One - POOL.....	1,062,000.000	1,062,000	1,062,000	1,062,000	.0	.0	.0	.0	.0	.0	1,062,000	.0	.0	.0	4,977		L
	UHC HealthCare Liquidity Pool Pro Rata S.....		08/16/2006	Bank One - POOL.....	42,000.000	42,000	42,000	42,000	.0	.0	.0	.0	.0	.0	42,000	.0	.0	.0	197		L
	UHC HealthCare Liquidity Pool Pro Rata S.....		08/17/2006	Bank One - POOL.....	563,000.000	563,000	563,000	563,000	.0	.0	.0	.0	.0	.0	563,000	.0	.0	.0	2,638		L
	UHC HealthCare Liquidity Pool Pro Rata S.....		08/18/2006	Bank One - POOL.....	121,000.000	121,000	121,000	121,000	.0	.0	.0	.0	.0	.0	121,000	.0	.0	.0	567		L
	UHC HealthCare Liquidity Pool Pro Rata S.....		08/21/2006	Bank One - POOL.....	300,000.000	300,000	300,000	300,000	.0	.0	.0	.0	.0	.0	300,000	.0	.0	.0	1,406		L
	UHC HealthCare Liquidity Pool Pro Rata S.....		08/21/2006	Bank One - POOL.....	1,680,775.720	1,680,776	1,680,776	1,680,776	.0	.0	.0	.0	.0	.0	1,680,776	.0	.0	.0	7,876		L
	UHC HealthCare Liquidity Pool Pro Rata S.....		08/22/2006	Bank One - POOL.....	1,775,000.000	1,775,000	1,775,000	1,775,000	.0	.0	.0	.0	.0	.0	1,775,000	.0	.0	.0	8,318		L

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STATEMENT AS OF SEPTEMBER 30, 2006 OF THE UnitedHealthcare of New England, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of by the Company During the Current Quarter

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										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B/A. C.V. (11 + 12 - 13)	Total Foreign Exchange Change in B/A. C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Maturity Date	NAIC Designation or Market Indicator (a)
	UHC HealthCare Liquidity Pool Pro Rata S.		08/23/2006	Bank One - P00L	681,000.000	681,000		681,000	0	0	0	0	0	0	681,000	0	0	0	3,191		L
	UHC HealthCare Liquidity Pool Pro Rata S.		08/24/2006	Bank One - P00L	450,000.000	450,000		450,000	0	0	0	0	0	0	450,000	0	0	0	2,109		L
	UHC HealthCare Liquidity Pool Pro Rata S.		08/25/2006	Bank One - P00L	343,000.000	343,000		343,000	0	0	0	0	0	0	343,000	0	0	0	1,607		L
	UHC HealthCare Liquidity Pool Pro Rata S.		08/28/2006	Bank One - P00L	404,000.000	404,000		404,000	0	0	0	0	0	0	404,000	0	0	0	1,893		L
	UHC HealthCare Liquidity Pool Pro Rata S.		08/29/2006	Bank One - P00L	1,442,000.000	1,442,000		1,442,000	0	0	0	0	0	0	1,442,000	0	0	0	6,757		L
	UHC HealthCare Liquidity Pool Pro Rata S.		08/31/2006	Bank One - P00L	1,245,000.000	1,245,000		1,245,000	0	0	0	0	0	0	1,245,000	0	0	0	12,521		L
	UHC HealthCare Liquidity Pool Pro Rata S.		08/31/2006	Bank One - P00L	895,138.160	895,138		895,138	0	0	0	0	0	0	895,138	0	0	0	9,002		L
	UHC HealthCare Liquidity Pool Pro Rata S.		09/01/2006	Bank One - P00L	3,543,594.310	3,543,594		3,543,594	0	0	0	0	0	0	3,543,594	0	0	0	35,637		L
	UHC HealthCare Liquidity Pool Pro Rata S.		09/05/2006	Bank One - P00L	1,688,911.650	1,688,912		1,688,912	0	0	0	0	0	0	1,688,912	0	0	0	16,985		L
	UHC HealthCare Liquidity Pool Pro Rata S.		09/06/2006	Bank One - P00L	1,201,000.000	1,201,000		1,201,000	0	0	0	0	0	0	1,201,000	0	0	0	12,078		L
	UHC HealthCare Liquidity Pool Pro Rata S.		09/07/2006	Bank One - P00L	820,000.000	820,000		820,000	0	0	0	0	0	0	820,000	0	0	0	8,246		L
	UHC HealthCare Liquidity Pool Pro Rata S.		09/11/2006	Bank One - P00L	431,000.000	431,000		431,000	0	0	0	0	0	0	431,000	0	0	0	4,334		L
	UHC HealthCare Liquidity Pool Pro Rata S.		09/12/2006	Bank One - P00L	1,378,000.000	1,378,000		1,378,000	0	0	0	0	0	0	1,378,000	0	0	0	13,858		L
	UHC HealthCare Liquidity Pool Pro Rata S.		09/12/2006	Bank One - P00L	307,031.980	307,032		307,032	0	0	0	0	0	0	307,032	0	0	0	3,088		L
	UHC HealthCare Liquidity Pool Pro Rata S.		09/13/2006	Bank One - P00L	739,000.000	739,000		739,000	0	0	0	0	0	0	739,000	0	0	0	5,727		L
	UHC HealthCare Liquidity Pool Pro Rata S.		09/14/2006	Bank One - P00L	553,000.000	553,000		553,000	0	0	0	0	0	0	553,000	0	0	0	2,970		L
	UHC HealthCare Liquidity Pool Pro Rata S.		09/15/2006	Bank One - P00L	3,547,800.840	3,547,801		3,547,801	0	0	0	0	0	0	3,547,801	0	0	0	19,054		L
	UHC HealthCare Liquidity Pool Pro Rata S.		09/18/2006	Bank One - P00L	1,706,948.390	1,706,948		1,706,948	0	0	0	0	0	0	1,706,948	0	0	0	9,167		L
	UHC HealthCare Liquidity Pool Pro Rata S.		09/19/2006	Bank One - P00L	1,392,000.000	1,392,000		1,392,000	0	0	0	0	0	0	1,392,000	0	0	0	7,476		L
	UHC HealthCare Liquidity Pool Pro Rata S.		09/20/2006	Bank One - P00L	957,000.000	957,000		957,000	0	0	0	0	0	0	957,000	0	0	0	5,140		L
	UHC HealthCare Liquidity Pool Pro Rata S.		09/21/2006	Bank One - P00L	552,000.000	552,000		552,000	0	0	0	0	0	0	552,000	0	0	0	2,965		L
	UHC HealthCare Liquidity Pool Pro Rata S.		09/22/2006	Bank One - P00L	261,000.000	261,000		261,000	0	0	0	0	0	0	261,000	0	0	0	1,402		L
	UHC HealthCare Liquidity Pool Pro Rata S.		09/25/2006	Bank One - P00L	123,000.000	123,000		123,000	0	0	0	0	0	0	123,000	0	0	0	661		L
	UHC HealthCare Liquidity Pool Pro Rata S.		09/26/2006	Bank One - P00L	1,564,000.000	1,564,000		1,564,000	0	0	0	0	0	0	1,564,000	0	0	0	8,400		L
	UHC HealthCare Liquidity Pool Pro Rata S.		09/26/2006	Bank One - P00L	6,473.990	6,474		6,474	0	0	0	0	0	0	6,474	0	0	0	35		L
	UHC HealthCare Liquidity Pool Pro Rata S.		09/27/2006	Bank One - P00L	581,000.000	581,000		581,000	0	0	0	0	0	0	581,000	0	0	0	3,120		L
	UHC HealthCare Liquidity Pool Pro Rata S.		09/28/2006	Bank One - P00L	317,000.000	317,000		317,000	0	0	0	0	0	0	317,000	0	0	0	1,702		L
	UHC HealthCare Liquidity Pool Pro Rata S.		09/29/2006	Bank One - P00L	1,428,881.790	1,428,882		1,428,882	0	0	0	0	0	0	1,428,882	0	0	0	7,674		L
689999	- Common Stocks - Industrial and Miscellaneous				72,516,377	72,516,377	XXX	72,516,377	0	0	0	0	0	0	72,516,377	0	0	0	458,634	XXX	XXX
729999	- Common Stocks - Part 4				72,516,377	72,516,377	XXX	72,516,377	0	0	0	0	0	0	72,516,377	0	0	0	458,634	XXX	XXX
729999	- Total - Common Stocks				72,516,377	72,516,377	XXX	72,516,377	0	0	0	0	0	0	72,516,377	0	0	0	458,634	XXX	XXX
739999	- Total - Preferred and Common Stocks				72,516,377	72,516,377	XXX	72,516,377	0	0	0	0	0	0	72,516,377	0	0	0	458,634	XXX	XXX
749999	Totals				79,510,895	79,510,895	XXX	79,510,895	5,393,353	0	(29,557)	0	(29,557)	0	79,510,895	0	0	0	765,721	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

E05.5

Schedule DB - Part A - Section 1

NONE

Schedule DB - Part B - Section 1

NONE

Schedule DB - Part C - Section 1

NONE

Schedule DB - Part D - Section 1

NONE

