



53473201120100100

HEALTH ANNUAL STATEMENT

FOR THE YEAR ENDING DECEMBER 31, 2011
OF THE CONDITION AND AFFAIRS OF THE

BLUE CROSS & BLUE SHIELD OF RHODE ISLAND

NAIC Group Code 0000 (Current Period) 0000 (Prior Period) NAIC Company Code 53473 Employer's ID Number 05-0158952

Organized under the Laws of STATE OF RHODE ISLAND, State of Domicile or Port of Entry RHODE ISLAND

Country of Domicile UNITED STATES

Licensed as business type: Life, Accident & Health Property/Casualty Hospital, Medical & Dental Service or Indemnity
 Dental Service Corporation Vision Service Corporation Health Maintenance Organization
 Other Is HMO Federally Qualified? Yes No

Incorporated/Organized February 27, 1939 Commenced Business: September 1, 1939

Statutory Home Office 500 EXCHANGE STREET, PROVIDENCE, RI 02903
 (Street and Number) (City or Town, State and Zip Code)

Main Administrative Office 500 EXCHANGE STREET (Street and Number)
PROVIDENCE, RI 02903 (City or Town, State and Zip Code)
401-459-1000 (Area Code) (Telephone Number)

Mail Address 500 EXCHANGE STREET, PROVIDENCE, RI 02903
 (Street and Number or P.O. Box) (City or Town, State and Zip Code)

Primary Location of Books and Records 500 EXCHANGE STREET, PROVIDENCE, RI 02903 401-459-1000
 (Street and Number) (City or Town, State and Zip Code) (Area Code) (Telephone Number)

Internet Website Address WWW.BCBSRI.COM

Statutory Statement Contact BRIAN M. O'MALLEY 401-459-1924
 (Name) (Area Code) (Telephone Number) (Extension)
BRIAN.O'MALLEY@BCBSRI.ORG 401-459-1198
 (E-Mail Address) (Fax Number)

OFFICERS

| | Name | Title |
|----|-----------------------------|---|
| 1. | <u>PETER ANDRUSZKIEWICZ</u> | <u>PRESIDENT & CEO</u> |
| 2. | <u>MICHELE B. LEDERBERG</u> | <u>GEN. COUNSEL & CHIEF ADMIN. OFF.</u> |
| 3. | <u>BRIAN M. O'MALLEY</u> | <u>CORPORATE TREASURER</u> |

VICE-PRESIDENTS

| Name | Title | Name | Title |
|------------------------------------|---|---------------------------|--|
| <u>CHRISTOPHER G. BUSH</u> | <u>V.P. - UNDERWRITING</u> | <u>THOMAS D. CAUTHORN</u> | <u>V.P. & CHIEF SALES OFFICER</u> |
| <u>ERIC E. GASBARRO</u> | <u>V.P.- HUMAN RESOURCES</u> | <u>PAUL HANLON</u> | <u>V.P.- INFORMATION TECHNOLOGY</u> |
| <u>AUGUSTINE A. MANOCCHIA M.D.</u> | <u>SR. V.P. & CHIEF MEDICAL OFFICER</u> | <u>SHANNA C. MARZILLI</u> | <u>CHIEF MARKETING OFFICER</u> |
| <u>MONICA A. NERONHA</u> | <u>V.P. - LEGAL SERVICES</u> | <u>MARK D. WAGGONER</u> | <u>SR. V.P. - NETWORK. & CONTRACTING</u> |
| <u>WILLIAM K. WRAY</u> | <u>CHIEF OPERATING OFFICER</u> | | |
| | | | |
| | | | |

DIRECTORS OR TRUSTEES

| | | | |
|-------------------------|-----------------------------------|----------------------------------|--------------------------------|
| <u>DENISE A. BARGE</u> | <u>FRANCIS X. BASILE JR. M.D.</u> | <u>FREDRIC V. CHRISTIAN M.D.</u> | <u>JUDGE EDWARD C. CLIFTON</u> |
| <u>MEREDITH CURREN</u> | <u>MICHAEL V. D'AMBRA</u> | <u>SCOTT DUHAMEL</u> | <u>CHRISTINE C. FERGUSON</u> |
| <u>SAMUEL H. HAVENS</u> | <u>PETER C. HAYES</u> | <u>JUANA I. HORTON</u> | <u>DEBORAH R. JACOBSON</u> |
| <u>CHUCK LOCURTO</u> | <u>JOHN P. MAGUIRE</u> | <u>CAROL A. MUMFORD</u> | <u>ROBERT G. NORTON</u> |
| <u>ANNE E. POWERS</u> | <u>RANDY A. WYROFSKY</u> | | |
| | | | |
| | | | |

State of RHODE ISLAND
 County of PROVIDENCE ss

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

| | | |
|--|---|--|
| <u>PETER ANDRUSZKIEWICZ</u> (Signature) PETER ANDRUSZKIEWICZ (Printed Name) 1. PRESIDENT & CEO (Title) | <u>MICHELE B. LEDERBERG</u> (Signature) MICHELE B. LEDERBERG (Printed Name) 2. GEN. COUNSEL & CHIEF ADMIN. OFF. (Title) | <u>BRIAN M. O'MALLEY</u> (Signature) BRIAN M. O'MALLEY (Printed Name) 3. CORPORATE TREASURER (Title) |
|--|---|--|

Subscribed and sworn to before me this _____ day of _____, 2012

a. Is this an original filing? Yes No
 b. If no: 1. State the amendment number _____
 2. Date filed _____
 3. Number of pages attached _____

EXHIBIT 2 – ACCIDENT AND HEALTH PREMIUMS DUE AND UNPAID

| 1 Name of Debtor | 2 1 - 30 Days | 3 31 - 60 Days | 4 61 - 90 Days | 5 Over 90 Days | 6 Nonadmitted | 7 Admitted |
|--|------------------|-------------------|-------------------|-------------------|------------------|---------------|
| 0199999 Total individuals | | | | | | |
| Group subscribers: | | | | | | |
| FEDERAL EMPLOYEES HEALTH BENEFIT PROGRAM | 3,017,661 | | | | | 3,017,661 |
| MEMORIAL HOSPITAL | 1,298,794 | 1,310,250 | | | | 2,609,044 |
| RI LABORERS HEALTH FUND | 1,421,849 | | | | | 1,421,849 |
| ROGER WILLIAMS MEDICAL CENTER | 763,110 | | | | | 763,110 |
| PROVIDENCE SCHOOL DEPT RE | 187,105 | 178,387 | 176,782 | 172,844 | 715,118 | |
| ST JOSEPH HEALTH SERVICES | 639,777 | | | | | 639,777 |
| WESTERLY HOSPITAL EMPLOYE | | 537,019 | | | | 537,019 |
| CAROUSEL INDUSTRIES | 525,073 | | | | | 525,073 |
| PROVIDENCE TEACHERS | 73,491 | 71,945 | 72,332 | 72,795 | 290,563 | |
| TECHNIC, INC. | 269,759 | | | | | 269,759 |
| ST JOSEPH HEALTH SERVICES | 265,919 | | | | | 265,919 |
| PROVIDENCE RETIREES - PLA | 84,308 | 80,952 | 82,119 | | | 247,379 |
| ROGER WILLIAMS UNIVERSITY | 239,546 | | | | | 239,546 |
| MEMORIAL HOSPITAL | 109,795 | 124,854 | | | | 234,649 |
| RI LABORERS SODEXHO | 230,879 | | | | | 230,879 |
| ROGER WILLIAMS UNIVERSITY | 228,304 | | | | | 228,304 |
| MEETING STREET | 227,375 | | | | | 227,375 |
| MATERION TECHNICAL MATERIALS | 108,818 | 117,885 | | | | 226,703 |
| Direct Pay Basic | 184,572 | 20,450 | 18,448 | | | 223,470 |
| BANNISTER HOUSE, INC | 76,885 | 71,250 | 72,553 | | | 220,688 |
| HOME & HOSPICE CARE OF RI | 201,063 | | | | | 201,063 |
| BANK RI | 188,653 | | | | | 188,653 |
| ST MARY'S HOME | 64,397 | 63,894 | 31,693 | | | 159,984 |
| REHABILITATION HOSPITAL O | 155,404 | | | | | 155,404 |
| STANDARD W/RX MONTHLY | 121,139 | 30,142 | | | | 151,281 |
| PARK SOUTH HOTEL | 50,372 | 54,090 | 44,493 | | | 148,955 |
| HOPKINS MANOR LTD | 142,761 | | | | | 142,761 |
| PREFERRED W/RX MONTHLY | 76,333 | 63,816 | | | | 140,149 |
| PLUS W RX MONTHLY | 77,743 | 62,051 | | | | 139,794 |
| RI LABORERS HEALTH FUND | 133,999 | | | | | 133,999 |
| A T CROSS COMPANY | 130,333 | | | | | 130,333 |
| ELMHURST EXTENDED CARE STAN | 125,080 | | | | | 125,080 |
| PAINTERS UNION NO 195 | 120,584 | | | | | 120,584 |
| UNIVERSITY MEDICAL GROUP INC | 119,221 | | | | | 119,221 |
| NRI COMMUNITY SERVICES, INC. | 115,464 | | | | | 115,464 |
| PROVIDENCE 1033 SCH AIDES | 28,043 | 28,314 | 28,680 | 28,760 | 113,797 | |
| LANDMARK MEDICAL CENTER W | 111,676 | | | | | 111,676 |
| CHARLESGATE NURSING CENTE | 110,077 | | | | | 110,077 |
| SUMMER INFANT INC | 107,743 | | | | | 107,743 |
| PARKVIEW NURSING HOME | 50,325 | 49,001 | | | | 99,326 |
| Direct Pay Preferred | 98,135 | 1,011 | | | | 99,146 |
| TWIN RIVER - MANAGEMENT | 98,644 | | | | | 98,644 |
| PROVIDENCE TEACHERS | 25,136 | 24,607 | 23,169 | 23,318 | 96,230 | |

EXHIBIT 2 – ACCIDENT AND HEALTH PREMIUMS DUE AND UNPAID

| 1 Name of Debtor | 2 1 - 30 Days | 3 31 - 60 Days | 4 61 - 90 Days | 5 Over 90 Days | 6 Nonadmitted | 7 Admitted |
|-------------------------------|------------------|-------------------|-------------------|-------------------|------------------|---------------|
| RI RESOURCE RECOVERY CORPOR | 93,814 | | | | | 93,814 |
| ALL AMERICAN FOODS, INC. | 47,083 | | 45,020 | | | 92,103 |
| NORTHEAST BEVERAGE CORP. | 47,675 | | 41,067 | | | 88,742 |
| BENEFIT CONCEPTS INC | 87,012 | | | | | 87,012 |
| NORTHEAST BEVERAGE CORP. OF | 86,008 | | | | | 86,008 |
| CROWNE PLAZA AT THE CROSS | 85,424 | | | | | 85,424 |
| CRANSTON PRINT WORKS | 83,360 | | | | | 83,360 |
| ROGER WILLIAMS UNIVERSITY | 82,093 | | | | | 82,093 |
| PROVIDENCE COMMUNITY LIBR | 40,260 | | 40,259 | | | 80,519 |
| PROVIDENCE COMMUNITY LIBRARY | 40,259 | | 40,260 | | | 80,519 |
| ROGER WILLIAMS UNIVERSITY | 79,731 | | | | | 79,731 |
| ROGER WILLIAMS UNIVERSITY | 79,641 | | | | | 79,641 |
| CITY OF PROVIDENCE 1033 | 26,643 | | 25,847 | 27,143 | | 79,633 |
| BELKNAP WHITE | 79,169 | | | | | 79,169 |
| JH LYNCH & SONS | 76,605 | | | | | 76,605 |
| CITY OF PROV LOCAL 1033 | 25,499 | | 25,098 | 25,773 | | 76,370 |
| PROV LEASING, LLC D/B/A R | 74,711 | | | | | 74,711 |
| PROVIDENCE SCHOOL DEPT MI | 17,677 | | 18,373 | 18,141 | 18,257 | 72,448 |
| MET-CAP MGMT LLC | 35,417 | | 33,001 | | | 68,418 |
| UNITED METHODIST ELDER CARE | 67,995 | | | | | 67,995 |
| AMERICAN WHOLESALE - LOCA | 67,919 | | | | | 67,919 |
| SAINT ELIZABETH COMMUNITY | 65,443 | | | | | 65,443 |
| X-RAY ASSOCIATES, INC DBA | 64,803 | | | | | 64,803 |
| PEOPLES CREDIT UNION | 63,729 | | | | | 63,729 |
| ORTHOPAEDIC ASSOCS. INC | 6,692 | | 55,560 | | | 62,252 |
| JAN CO, INC COBRA | | | | 61,169 | | 61,169 |
| Health and Wellness Institute | 60,840 | | | | | 60,840 |
| VITAL DIAGNOSTICS, INC. | 60,758 | | | | | 60,758 |
| GREENE PLASTICS CORPORATION | 18,151 | | 19,449 | 22,498 | | 60,098 |
| ROGER WILLIAMS UNIVERSITY | 57,754 | | | | | 57,754 |
| STEERE HOUSE INC DBA STEERE | 57,439 | | | | | 57,439 |
| SHECHTMAN HALPERIN SAVAGE | 56,963 | | | | | 56,963 |
| R J CARBONE COMPANY | 56,101 | | | | | 56,101 |
| PROVIDENCE POLICE DEPARTM | 18,055 | | 18,414 | 18,540 | | 55,009 |
| TRI- TOWN COMMUNITY ACTION AG | 54,564 | | | | | 54,564 |
| TRI- TOWN COMMUNITY ACTIO | 54,032 | | | | | 54,032 |
| VIBCO INC | 53,969 | | | | | 53,969 |
| MAGNETIC SEAL CORP | 53,671 | | | | | 53,671 |
| RHODE ISLAND DISTRIBUTING | 53,459 | | | | | 53,459 |
| RI TEXTILE | 53,359 | | | | | 53,359 |
| CROWNE PLAZA SILVER SPRIN | 27,895 | | 25,080 | | | 52,975 |
| ADVANCED RADIOLOGY | 52,136 | | | | | 52,136 |
| LOCKHEED WINDOW CORP | 51,172 | | | | | 51,172 |
| PROVIDENCE 1033, NON-BARG | 16,764 | | 17,341 | 16,789 | | 50,894 |
| ARKWRIGHT ADVANCED COATIN | 49,887 | | | | | 49,887 |
| LANDMARK MEDICAL CENTER W | 48,975 | | | | | 48,975 |

EXHIBIT 2 – ACCIDENT AND HEALTH PREMIUMS DUE AND UNPAID

| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
|------------------------------|-------------|--------------|--------------|--------------|-------------|----------|
| Name of Debtor | 1 - 30 Days | 31 - 60 Days | 61 - 90 Days | Over 90 Days | Nonadmitted | Admitted |
| SCANDINAVIAN HOME | 48,852 | | | | | 48,852 |
| PROVIDENCE FIRE DEPARTMEN | 15,590 | 15,272 | 15,801 | | | 46,663 |
| ADMIRAL PACKAGING, INC | 46,449 | | | | | 46,449 |
| MICROFIBRES INC | 46,203 | | | | | 46,203 |
| GATEWAY HEALTHCARE INC | 46,126 | | | | | 46,126 |
| RI Legal Services Inc | 45,120 | | | | | 45,120 |
| ADMIRAL PACKAGING, INC | 44,808 | | | | | 44,808 |
| ACCENT DISPLAY | 19,931 | 24,556 | | | | 44,487 |
| WINDMOELLER & HOELSCHER COR | 44,174 | | | | | 44,174 |
| ROCHESTER SILVER WORKS | 44,104 | | | | | 44,104 |
| MARINOSCI LAW GROUP, PC | 43,976 | | | | | 43,976 |
| SCALABRINI VILLA | 43,872 | | | | | 43,872 |
| Fineline Graphics Inc | 43,868 | | | | | 43,868 |
| Creative Computing Inc | 43,672 | | | | | 43,672 |
| MERIDIAN PRINTING, INC. | 43,436 | | | | | 43,436 |
| BRIGIDO'S IGA MARKETPLACE | 43,055 | | | | | 43,055 |
| RI ZOOLOGICAL SOCIETY | 42,480 | | | | | 42,480 |
| PRIMARY CARE OF NEW ENGLA | 10,798 | 10,798 | 10,798 | 9,540 | 41,934 | 41,908 |
| TRINITY HEALTH AND REHAB | 41,908 | | | | | 41,908 |
| Smithfield Peat Company Inc | 22,200 | 19,497 | | | | 41,697 |
| UNIVERSITY GASTROENTEROLO | 41,660 | | | | | 41,660 |
| ALLESKO INDUSTRIES, INC | 40,611 | | | | | 40,611 |
| The Compass School | 20,079 | 20,079 | | | | 40,158 |
| GENERAL TECH CORP DBA COM | 20,042 | 20,041 | | | | 40,083 |
| LIBERTY TITLE & ESCROW CO | 40,067 | | | | | 40,067 |
| NATIONAL INVESTMENTS, LTD | 39,955 | | | | | 39,955 |
| CITY OF NEWPORT - POLICE | 13,204 | 12,480 | 13,927 | | | 39,611 |
| WEINGEROFF ENTERPRISES IN | 39,051 | | | | | 39,051 |
| CUMBERLAND SCHOOL DEPT - | 19,770 | 19,125 | | | | 38,895 |
| COLONIAL PRINTING CO | 20,211 | 18,457 | | | | 38,668 |
| BLISS PROPERTIES INC | 38,523 | | | | | 38,523 |
| PROVIDENCE 1033 SCH AIDES | 9,593 | 9,688 | 12,390 | 6,009 | 37,680 | 37,401 |
| EAST GATE NURSING & RECOVERY | 37,401 | | | | | 37,401 |
| E.R. Smith Assoc.Inc. | 37,175 | | | | | 37,175 |
| ALPINE NURSING HOME DBA A | 37,082 | | | | | 37,082 |
| INDEPENDENCE BANK | 18,178 | 18,178 | | | | 36,356 |
| APPLE REHAB WATCH HILL | 35,779 | | | | | 35,779 |
| WESTERLY HOSPITAL EMPLOYE | | 35,289 | | | | 35,289 |
| KENT COUNTY MEMORIAL HOSP | 34,994 | | | | | 34,994 |
| TWIN RIVER UNION SEIU | 34,947 | | | | | 34,947 |
| ALLISON REED GROUP INC | 34,526 | | | | | 34,526 |
| QUARTERMOON INC | 34,487 | | | | | 34,487 |
| DELRAY MAZDA | 31,191 | 2,977 | | | | 34,168 |
| E.A. SHERMAN PUB. CO. DBA | 34,031 | | | | | 34,031 |
| Plan 65 Direct Pay | 32,353 | 987 | 345 | | | 33,685 |
| RESOLUTE RACING SHELLS | 16,260 | 17,132 | | | | 33,392 |

EXHIBIT 2 – ACCIDENT AND HEALTH PREMIUMS DUE AND UNPAID

| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
|-------------------------------------|-------------|--------------|--------------|--------------|-------------|----------|
| Name of Debtor | 1 - 30 Days | 31 - 60 Days | 61 - 90 Days | Over 90 Days | Nonadmitted | Admitted |
| KEY CONTAINER CORP (UNION | 33,316 | | | | | 33,316 |
| RI TRUCKING ASSOCIATION/D | 16,751 | 16,218 | | | | 32,969 |
| Homefront Health Care | 32,954 | | | | | 32,954 |
| Direct Pay Basic AccessBlue Level 1 | 26,014 | 3,714 | 3,054 | | | 32,782 |
| FULLER BOX CO INC. | 32,585 | | | | | 32,585 |
| Providence Metallizing | 32,203 | | | | | 32,203 |
| Laurelmead Cooperative Inc | 32,195 | | | | | 32,195 |
| CENTRAL FALLS POLICE DEPT | 32,007 | | | | | 32,007 |
| CLIPPER HOME | 31,895 | | | | | 31,895 |
| CITY OF CENTRAL FALLS FIR | 31,605 | | | | | 31,605 |
| WESTIN FORT LAUDERDALE, F | 15,776 | 15,776 | | | | 31,552 |
| REAL REEL CORP/MULTIWALL | 31,476 | | | | | 31,476 |
| ARDEN ENGINEERING | 31,472 | | | | | 31,472 |
| IBM-RETIREES | 15,580 | 15,770 | | | | 31,350 |
| MAJESTIC HOTEL CORP | 31,091 | | | | | 31,091 |
| Ginger's Service Station Inc | 15,233 | 14,956 | | | | 30,189 |
| Vantage Oncology | 30,088 | | | | | 30,088 |
| BERKSHIRE PLACE LTD | 30,049 | | | | | 30,049 |
| PROVIDENCE COMMUNITY ACTION | 29,365 | | | | | 29,365 |
| ODIS, INC | 29,351 | | | | | 29,351 |
| CITY OF NEWPORT - CITY RE | 9,586 | 9,405 | 10,129 | | | 29,120 |
| PINE GROVE HEALTH CENTER | 29,059 | | | | | 29,059 |
| CITY OF WOONSOCKET | 28,779 | | | | | 28,779 |
| PROVIDENCE 1339 SCHOOL CL | 7,155 | 7,156 | 7,156 | 7,156 | 28,623 | |
| Infusion Resource | 28,366 | | | | | 28,366 |
| CREATIVE COMPUTING INC | 28,258 | | | | | 28,258 |
| PREFERRED W/RX QUARTERLY | 7,140 | 11,581 | 9,534 | | | 28,255 |
| EDWARD ROWSE ARCHITECTS,INC | 14,112 | 14,112 | | | | 28,224 |
| SUPERIOR BAKERY | 9,697 | 8,106 | 10,229 | | | 28,032 |
| Carnegie Abbey Club | 24,096 | 3,450 | | | | 27,546 |
| University Internal Medicine | 13,478 | 14,020 | 25 | | | 27,523 |
| CARE NEW ENGLAND-C2C | 13,823 | 13,596 | | | | 27,419 |
| Rambone Disposal Services, Inc | 27,126 | | | | | 27,126 |
| FOUR POINTS SHERATON PA U | 6,467 | 6,994 | 6,994 | 6,123 | 26,578 | |
| WARWICK PUBLIC SCHOOLS | 26,563 | | | | | 26,563 |
| Performance Physical Therapy | 16,321 | 9,920 | | | | 26,241 |
| NARRAGANSETT JEWELRY CO, | 26,180 | | | | | 26,180 |
| CITY OF PROVIDENCE 1033 | 8,824 | 8,576 | 8,438 | | | 25,838 |
| New England Anesthesiologists | 25,666 | | | | | 25,666 |
| KENT COUNTY MEMORIAL HOSP | 25,641 | | | | | 25,641 |
| Direct Pay Basic AccessBlue Level 2 | 18,682 | 4,620 | 2,311 | | | 25,613 |
| PROVIDENCE FIRE RETIREES- | 8,550 | 8,789 | 8,258 | | | 25,597 |
| CITY OF WARWICK | 25,372 | | | | | 25,372 |
| CENTRAL FALLS FIRE DEPT R | 25,123 | | | | | 25,123 |
| URBAN LEAGUE OF RI | 25,091 | | | | | 25,091 |
| CITY OF WARWICK | 24,991 | | | | | 24,991 |

EXHIBIT 2 – ACCIDENT AND HEALTH PREMIUMS DUE AND UNPAID

| 1 Name of Debtor | 2 1 - 30 Days | 3 31 - 60 Days | 4 61 - 90 Days | 5 Over 90 Days | 6 Nonadmitted | 7 Admitted |
|---------------------------------------|------------------|-------------------|-------------------|-------------------|------------------|---------------|
| CRANSTON PUBLIC SCHOOLS | 24,987 | | | | | 24,987 |
| COVENTRY PUBLIC SCHOOLS | 24,905 | | | | | 24,905 |
| ICON INTERNATIONAL INC | 24,685 | | | | | 24,685 |
| Wood River Health Services | 24,601 | | | | | 24,601 |
| PROVIDENCE POLICE RETIREE | 8,153 | 8,153 | 8,099 | | | 24,405 |
| COVENTRY PUBLIC SCHOOLS | 24,078 | | | | | 24,078 |
| PROVIDENCE SCHOOL DEPT MI | 6,048 | 6,283 | 5,811 | 5,848 | 23,990 | |
| CITY OF CENTRAL FALLS POL | 23,879 | | | | | 23,879 |
| FAMILY RESOURCES COMMUNITY | 23,755 | | | | | 23,755 |
| GREATER PROVIDENCE WARWICK | 12,366 | 11,302 | | | | 23,668 |
| PROVIDENCE FIRE DEPT RETI | 7,756 | 7,836 | 7,782 | | | 23,374 |
| PROVIDENCE NON-UNION/NON- | 7,676 | 7,636 | 7,622 | | | 22,934 |
| MARRIOTT NORFOLK 100/80 H | 22,928 | | | | | 22,928 |
| METRO MOTOR GROUP | 22,875 | | | | | 22,875 |
| WOMEN & INFANTS HOSPITAL- | 22,873 | | | | | 22,873 |
| WESTIN FORT LAUDERDALE, F | 22,661 | | | | | 22,661 |
| PROVIDENCE SCHOOL DEPT MI | 5,377 | 5,028 | 5,918 | 6,305 | 22,628 | |
| RI Rehabilitation Institute | 22,523 | | | | | 22,523 |
| CITY OF WARWICK | 11,275 | 11,212 | | | | 22,487 |
| JEWISH FERERATION OF RI | | | | 22,084 | 22,084 | |
| COVENTRY FIRE DISTRICT | 21,910 | | | | | 21,910 |
| RI LABORERS SODEXHO | 21,902 | | | | | 21,902 |
| Al Forno Inc (restaurant)DBA Al Forno | 7,155 | 7,156 | 7,156 | 142 | 21,609 | |
| MARRIOTT NORFOLK 80/60 LO | 21,548 | | | | | 21,548 |
| RI TEXTILE SOUTH CAROLINA | 21,377 | | | | | 21,377 |
| Advanced Print Tech | 15,189 | 6,054 | | | | 21,243 |
| CENTREX DISTRIBUTORS | 21,024 | | | | | 21,024 |
| TOWN OF NARRAGANSETT | 5,137 | 5,021 | 5,196 | 5,563 | 20,917 | |
| Garofalo And Associates | 20,696 | | | | | 20,696 |
| GREEN & GREENBERG | 20,617 | | | | | 20,617 |
| CONCORDIA MFG. LLC | 20,575 | | | | | 20,575 |
| RHODE ISLAND PBS FOUNDATI | 20,461 | | | | | 20,461 |
| CARE NEW ENGLAND-C2C | 10,128 | 9,962 | | | | 20,090 |
| HOME & HOSPICE CARE OF RI | 19,928 | | | | | 19,928 |
| BELKNAP WHITE | 19,900 | | | | | 19,900 |
| Amos House | 19,865 | | | | | 19,865 |
| DESIGN FABRICATORS, INC | 19,860 | | | | | 19,860 |
| PROV SCH DEPT LOCAL 1033 | 4,819 | 5,330 | 4,830 | 4,830 | 19,809 | |
| Community Living of RI, Inc | 19,742 | | | | | 19,742 |
| PLUS W/RX QUARTERLY | 3,302 | 8,232 | 8,178 | | | 19,712 |
| Blackstone Caterers, Inc | 10,409 | 9,301 | | | | 19,710 |
| WOONSOCKET CITY EMPLOYEES | 19,265 | | | | | 19,265 |
| Bowerman Associates | 9,573 | 9,572 | | | | 19,145 |
| MANCINI GROUP CONSULTING | 8,944 | 9,850 | | | | 18,794 |
| George H Fuller & Son Co | 18,712 | | | | | 18,712 |
| Gordon Enterprises Inc | 18,593 | | | | | 18,593 |

EXHIBIT 2 – ACCIDENT AND HEALTH PREMIUMS DUE AND UNPAID

| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
|------------------------------|-------------|--------------|--------------|--------------|-------------|----------|
| Name of Debtor | 1 - 30 Days | 31 - 60 Days | 61 - 90 Days | Over 90 Days | Nonadmitted | Admitted |
| CONWAY'S BUS SERVICE INC | 9,652 | 8,883 | | | | 18,535 |
| PROVIDENCE SCHOOL ADMINIS | 4,680 | 4,564 | 4,526 | 4,680 | 18,450 | |
| DOUBLE TREE TULSA 100/80 | 18,310 | | | | | 18,310 |
| TACO INC | 18,269 | | | | | 18,269 |
| Custom Drywall | 8,423 | 8,423 | 1,363 | | | 18,209 |
| PROVIDENCE 1033 WATER | 5,957 | 6,034 | 6,150 | | | 18,141 |
| REAL REEL CORP/MULTIWALL | 18,042 | | | | | 18,042 |
| Providence Auto Eng Co Inc | 9,002 | 9,023 | | | | 18,025 |
| BCBSRI GROUP PLUS RETIREE | 8,991 | 8,968 | | | | 17,959 |
| PROVIDENCE POLICE DEPARTM | 6,009 | 6,127 | 5,786 | | | 17,922 |
| Diversified Products, Inc | 8,562 | 7,397 | 1,955 | | | 17,914 |
| LGLN CARDIOLOGY CONSULTAN | 17,707 | | | | | 17,707 |
| ATLANTIC BUILDING AND REA | 8,847 | 8,847 | | | | 17,694 |
| DIGREGORIO CORPORATION | 17,674 | | | | | 17,674 |
| TWIN RIVER - UNION VALET | 17,604 | | | | | 17,604 |
| MJ DALY, LLC C/O ARDEN ENGIN | 17,582 | | | | | 17,582 |
| CUMBERLAND SCHOOL DEPT - | 8,932 | 8,640 | | | | 17,572 |
| WARWICK PUBLIC SCHOOLS | 17,354 | | | | | 17,354 |
| KEY CONTAINER CORP (OFFIC | 17,346 | | | | | 17,346 |
| Dileonardo International,Inc | 17,244 | | | | | 17,244 |
| George Greer Co,Inc | 17,226 | | | | | 17,226 |
| PROVIDENCE POLICE RETIREE | 5,965 | 5,727 | 5,518 | | | 17,210 |
| ROGER WILLIAMS UNIVERSITY | 17,013 | | | | | 17,013 |
| TOWN OF NARRAGANSETT | 3,997 | 3,906 | 4,042 | 4,996 | 16,941 | |
| Northup's Services Ct Inc | 8,447 | 8,447 | | | | 16,894 |
| Sinel, Wilfand & Vinci | 16,869 | | | | | 16,869 |
| WOMEN & INFANTS HOSPITAL- | 16,760 | | | | | 16,760 |
| RUSSELL RASKIN AND PETER | 5,555 | 4,805 | 6,306 | | | 16,666 |
| PROVIDENCE 1033, NON-BARG | 5,581 | 5,774 | 5,241 | | | 16,596 |
| HILLSIDE FAMILY PRACTICE | 16,593 | | | | | 16,593 |
| CARELINK | 16,471 | | | | | 16,471 |
| CITY OF WARWICK | 8,214 | 8,169 | | | | 16,383 |
| COVENTRY PUBLIC SCHOOLS | 15,995 | | | | | 15,995 |
| STANDISH HEATING & AIR CO | 15,990 | | | | | 15,990 |
| SALK'S HARDWARE & MARINE | 7,716 | 8,164 | | | | 15,880 |
| CHURCHILL & BANKS COMPANI | 15,767 | | | | | 15,767 |
| PROVIDENCE 1033 SCHOOL DE | 3,907 | 3,868 | 3,945 | 3,791 | 15,511 | |
| RHODE ISLAND BAR ASSOCIAT | 15,505 | | | | | 15,505 |
| NEWPORT SCHOOL DEPARTMENT | 5,642 | 9,746 | | | | 15,388 |
| TOWN OF NARRAGANSETT | 15,335 | | | | | 15,335 |
| CRANSTON PUBLIC SCHOOLS | 15,305 | | | | | 15,305 |
| THE APEX TECHNOLOGY GROUP IN | 7,642 | 7,642 | | | | 15,284 |
| The Corporate Marketplace | 15,247 | | | | | 15,247 |
| PROVIDENCE FIRE DEPARTMEN | 5,187 | 5,085 | 4,931 | | | 15,203 |
| FOX ENTERPRISES DBA FOX T | 15,184 | | | | | 15,184 |
| Vega Food Industries, Inc | 3,191 | 4,361 | 4,361 | 3,190 | 15,103 | |

EXHIBIT 2 – ACCIDENT AND HEALTH PREMIUMS DUE AND UNPAID

| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
|---|-------------|--------------|--------------|--------------|-------------|----------|
| Name of Debtor | 1 - 30 Days | 31 - 60 Days | 61 - 90 Days | Over 90 Days | Nonadmitted | Admitted |
| Recycling Assoc | 15,069 | | | | | 15,069 |
| THE CHANLER AT CLIFF WALKD | 15,017 | | | | | 15,017 |
| SYQWEST INC | 14,860 | | | | | 14,860 |
| TRILITERAL LLC OUT OF ARE | 14,827 | | | | | 14,827 |
| Contractor's Supply Inc | 14,797 | | | | | 14,797 |
| Quantifacts, Inc | 7,398 | 7,397 | | | | 14,795 |
| UNIVERSITY CARDIOLOGY FOU | 14,763 | | | | | 14,763 |
| ROSS SIMONS INC | 14,737 | | | | | 14,737 |
| Direct Pay Preferred AccessBlue Level 1 | 14,722 | | | | | 14,722 |
| Perrino & Associates Inc | 8,385 | 6,233 | | | | 14,618 |
| Alliance of Artist's Communities | 1,608 | 1,607 | 1,607 | 9,643 | 14,465 | |
| Cacciola Provisions | 7,224 | 7,225 | | | | 14,449 |
| PROVIDENCE RETIREES CLASS | 4,613 | 4,257 | 5,558 | | | 14,428 |
| FOREIGN SOURCE LTD | 14,381 | | | | | 14,381 |
| AURORA TECHNOLOGIES, INC | 7,186 | 7,186 | | | | 14,372 |
| ROGER WILLIAMS UNIVERSITY | 14,321 | | | | | 14,321 |
| M H STALLMAN | 14,316 | | | | | 14,316 |
| MARRIOTT FORT LAUDERDALE | 14,293 | | | | | 14,293 |
| JEFFERSON HOTEL ASSOC - H | 14,249 | | | | | 14,249 |
| SHERATON SUITES ELK GROVE | 4,293 | 9,919 | | | | 14,212 |
| Newport County Propane | 6,871 | 6,933 | 199 | | | 14,003 |
| Russell S Chin, DDS Ltd | 3,829 | 3,828 | 3,828 | 2,442 | 13,927 | |
| Peninsula Environmental Grp LLC | 13,924 | | | | | 13,924 |
| Cranston Municipal Emp Credit Union | 6,950 | 6,949 | | | | 13,899 |
| VINCENT METALS CORP | 6,916 | 6,916 | | | | 13,832 |
| HOLIDAY INN LUBBOCK HOTEL | 8,003 | 5,772 | | | | 13,775 |
| Capstone Properties, Inc | 6,881 | 6,881 | 2 | | | 13,764 |
| PROVIDENCE FIRE DEPT RETI | 4,573 | 4,574 | 4,605 | | | 13,752 |
| Beacon Communications | 13,750 | | | | | 13,750 |
| CITY OF NEWPORT - FIRE RE | 13,746 | | | | | 13,746 |
| LONGS MEDICAL PLAN PPO | 13,740 | | | | | 13,740 |
| GREENE CONSTRUCTION | 13,659 | | | | | 13,659 |
| Marco P Dirks MD PC | 1,155 | 1,156 | 1,156 | 10,184 | 13,651 | |
| Navinvest Marine Services | 13,617 | | | | | 13,617 |
| Cumberland Fire District | 13,587 | | | | | 13,587 |
| WESTERLY POLICE DEPARTMEN | 13,556 | | | | | 13,556 |
| Caster's Inc/DBA Caster's, bicycle | 3,589 | 3,589 | 3,589 | 2,773 | 13,540 | |
| L R F Inc | 7,500 | 5,983 | | | | 13,483 |
| RACER'S EQUIPMENT WAREHO | 13,409 | | | | | 13,409 |
| Raymond H Welch, md, Inc | 5,714 | 5,715 | 1,971 | | | 13,400 |
| JAMMAT HOUSING AND COMMUN | 13,303 | | | | | 13,303 |
| Nesctc Security Agency LLC | 13,240 | | | | | 13,240 |
| Stanley's Boat Yard Inc | 13,199 | | | | | 13,199 |
| TOWN OF NORTH KINGSTOWN | 6,562 | 6,562 | | | | 13,124 |
| AVTECH SOFTWARE, INC | 13,105 | | | | | 13,105 |
| R J Cawley Contracting Inc | 6,185 | 6,184 | 687 | | | 13,056 |

EXHIBIT 2 – ACCIDENT AND HEALTH PREMIUMS DUE AND UNPAID

| 1 Name of Debtor | 2 1 - 30 Days | 3 31 - 60 Days | 4 61 - 90 Days | 5 Over 90 Days | 6 Nonadmitted | 7 Admitted |
|--|------------------|-------------------|-------------------|-------------------|------------------|---------------|
| Chef Services Group | 1,609 | 1,610 | 1,610 | 8,048 | 12,877 | |
| Andco Inc | 12,847 | | | | | 12,847 |
| TOMAUAG VALLEY FARM MILK | 12,780 | | | | | 12,780 |
| Woodmansee Insurance, Inc | 6,360 | 6,360 | | | | 12,720 |
| KENT COUNTY MEMORIAL HOSP | 12,671 | | | | | 12,671 |
| Boys & Girls Clubs of Providence | 12,639 | | | | | 12,639 |
| Yardworks, Inc | 12,615 | | | | | 12,615 |
| Times 2 Inc. | 12,580 | | | | | 12,580 |
| UNITED PRINTING INC | 12,567 | | | | | 12,567 |
| COURTLAND PLACE MANAGEMEN | 12,544 | | | | | 12,544 |
| Mineral Enterprise | 12,543 | | | | | 12,543 |
| SOUCY INSURANCE AGENCY | 6,232 | 6,232 | | | | 12,464 |
| NAIAD INFLATABLES OF NEWPORT | 7,140 | 5,230 | | | | 12,370 |
| BRENNAN RECUPERO CASCIONE | 12,325 | | | | | 12,325 |
| JACOB LICHT INC | 12,284 | | | | | 12,284 |
| CUMBERLAND SCHOOL DEPT NO | 6,138 | 6,073 | | | | 12,211 |
| RHODE ISLAND SEPTIC SERVI | 6,104 | 6,104 | | | | 12,208 |
| WARWICK FIRE DEPARTMENT | 6,066 | 6,128 | | | | 12,194 |
| AACONE INSULATION INC | 12,187 | | | | | 12,187 |
| CALVINO LAW ASSOCIATES | 12,174 | | | | | 12,174 |
| Kevin Bates/DBA Ocean State Lobster | 6,069 | 6,069 | | | | 12,138 |
| Stretch Products Corp | 12,088 | | | | | 12,088 |
| ADVANCED TELESYSTEMS GROU | 12,076 | | | | | 12,076 |
| Trask Petroleum | 12,058 | | | | | 12,058 |
| CITY OF PAWTUCKET PLAN 65 | 11,976 | | | | | 11,976 |
| K C ENTERPRISES, LLC | 8,686 | 3,236 | | | | 11,922 |
| DURASTONE CORPORATION | 11,866 | | | | | 11,866 |
| Modern Industries | 11,049 | 746 | | | | 11,795 |
| BENEFIT CONCEPTS FFS | 11,783 | | | | | 11,783 |
| Atlantic Control Systems Inc | 6,157 | 5,620 | | | | 11,777 |
| Cosco, Inc | 11,724 | | | | | 11,724 |
| Venturcap Investment Corporation | 11,619 | | | | | 11,619 |
| Peter F Deblasio Jr MD | 5,806 | 5,807 | | | | 11,613 |
| Data Corp, Ltd | 11,613 | | | | | 11,613 |
| H V Industries Inc DBA Hope Valley Ind | 11,598 | | | | | 11,598 |
| NEW ENGLAND PAPER TUBE | 11,582 | | | | | 11,582 |
| Capitol Home Care | 11,482 | | | | | 11,482 |
| PROVIDENCE FIRE RETIREES | 3,817 | 3,739 | 3,891 | | | 11,447 |
| DELUCA & WEISENBAUM, LTD | 11,423 | | | | | 11,423 |
| Precision Industries Inc | 11,396 | | | | | 11,396 |
| R & R Construction | 11,388 | | | | | 11,388 |
| Offshore Express Inc | 5,640 | 5,641 | | | | 11,281 |
| HILTON SUITES ATLANTA | 2,754 | 4,261 | 4,261 | | | 11,276 |
| BRISTOL WARREN REGIONAL | 11,270 | | | | | 11,270 |
| AMALGAMATED FINANCIAL EQU | 11,254 | | | | | 11,254 |
| Business Innovation Factory | 5,612 | 5,613 | | | | 11,225 |

EXHIBIT 2 – ACCIDENT AND HEALTH PREMIUMS DUE AND UNPAID

| 1 Name of Debtor | 2 1 - 30 Days | 3 31 - 60 Days | 4 61 - 90 Days | 5 Over 90 Days | 6 Nonadmitted | 7 Admitted |
|---|------------------|-------------------|-------------------|-------------------|------------------|---------------|
| Horizon Electrical | | | | 11,182 | 11,182 | |
| SOUTH KINGSTOWN SCHOOL | 11,180 | | | | | 11,180 |
| HOLIDAY INN SELECT- UCF O | 11,163 | | | | | 11,163 |
| Rhode Island Medical Society | 5,580 | 5,580 | | | | 11,160 |
| CUMBERLAND SCHOOL CERT-RE | 5,530 | 5,530 | | | | 11,060 |
| OXNARD PHARMACY | 5,524 | 5,524 | | | | 11,048 |
| CHARIHO REGIONAL SCHOOL D | 10,922 | | | | | 10,922 |
| VISCONTI & BOREN LTD | 10,900 | | | | | 10,900 |
| Fairlawn Oil Service | 5,433 | 5,432 | | | | 10,865 |
| American Development Institute LLC | 5,422 | 5,421 | | | | 10,843 |
| Angela Moore Inc | | | | 10,811 | 10,811 | |
| DIRECT PAY MONTHLY | | | | 10,777 | 10,777 | |
| R & B Services Inc | 10,760 | | | | | 10,760 |
| SOUTH STREAM SEAFOODS INC | 10,700 | | | | | 10,700 |
| Women's Internal Medicine | 5,317 | 5,318 | | | | 10,635 |
| METRO MOTORS VENTURES, IN | 10,568 | | | | | 10,568 |
| E PROVIDENCE SCHOOL DEPAR | 10,567 | | | | | 10,567 |
| PRIMARY CARE OF NEW ENGLA | 2,660 | 2,661 | 2,661 | 2,533 | 10,515 | |
| Bruin Holdings, Inc | 5,239 | 5,239 | | | | 10,478 |
| Tarnell Company LLC | 9,976 | 400 | | | | 10,376 |
| Agnes Street LLC | | | | 10,327 | 10,327 | |
| WARWICK PUBLIC SCHOOLS | 10,304 | | | | | 10,304 |
| CARPIONATO PROPERTIES, INC | 10,273 | | | | | 10,273 |
| Rims Insurance Brokerage Corporation | 5,121 | 5,120 | | | | 10,241 |
| IMONDI EGGS, INC | 5,116 | 5,115 | | | | 10,231 |
| American Tool Company | 10,213 | | | | | 10,213 |
| C & C Plastics | 5,044 | 5,045 | | | | 10,089 |
| ROBERT BAXTER ASSOCIATES INC | 5,024 | 5,024 | | | | 10,048 |
| Washington County Turf Farm, Inc | 5,015 | 5,014 | | | | 10,029 |
| 0299997 Group subscriber subtotal | 19,940,782 | 4,266,288 | 948,883 | 546,120 | 1,803,254 | 23,898,819 |
| 0299998 Premiums due and unpaid not individually listed | 828,997 | 1,707,371 | 71,407 | 345,949 | 462,879 | 2,490,845 |
| 0299999 Total group | 20,769,779 | 5,973,659 | 1,020,290 | 892,069 | 2,266,133 | 26,389,664 |
| 0399999 Premiums due and unpaid from Medicare entities | 1,206,833 | 1,206,833 | 1,206,833 | 10,861,501 | | 14,482,000 |
| 0499999 Premiums due and unpaid from Medicaid entities | | | | | | |
| 0599999 Accident and health premiums due and unpaid (Page 2, Line 15) | 21,976,612 | 7,180,492 | 2,227,123 | 11,753,570 | 2,266,133 | 40,871,664 |

EXHIBIT 3 – HEALTH CARE RECEIVABLES

| 1 Name of Debtor | 2 1 - 30 Days | 3 31 - 60 Days | 4 61 - 90 Days | 5 Over 90 Days | 6 Nonadmitted | 7 Admitted |
|---|------------------|-------------------|-------------------|-------------------|------------------|---------------|
| PHARMACY BENEFIT MANAGER | 2,000,080 | 2,000,079 | 2,000,079 | 8,270,873 | 2,270,634 | 12,000,477 |
| 0199998 Pharmaceutical Rebate Receivables Not Individually Listed | | | | | | |
| 0199999 Pharmaceutical Rebate Receivables | 2,000,080 | 2,000,079 | 2,000,079 | 8,270,873 | 2,270,634 | 12,000,477 |
| DR. C. FLETCHER | | | | 20,398 | 20,398 | |
| RHODE ISLAND HOSPITAL | 36,201 | | | | | 36,201 |
| ST JOSEPH'S HOSPITAL | 17,939 | | | | | 17,939 |
| GASTROENTEROLOGY ASSOCIATES | | | | 10,181 | 10,181 | |
| CONCENTRA | | | | 156,192 | 156,192 | |
| PRATT RADISON | | | | 23,075 | 23,075 | |
| ST ANNE'S HOSPITAL | | | | 113,029 | | 113,029 |
| HALPREN RUDD | | | | 12,372 | | 12,372 |
| ROGER WILLIAMS HOSPITAL | | | | 13,340 | | 13,340 |
| DR. JOSEPH PLAKYIL | | | | 10,736 | | 10,736 |
| WEST BAY ORTHOPEDICS | | | | 16,760 | | 16,760 |
| KCI USA | 11,386 | | | | | 11,386 |
| BAYBERRY COMM | | | | 48,696 | | 48,696 |
| IAN BARLOW | | | | 381,476 | | 381,476 |
| 0299998 Claim Overpayment Receivables Not Individually Listed | 170,843 | 81,136 | 75,426 | 179,566 | 88,361 | 418,610 |
| 0299999 Claim Overpayment Receivables | 236,369 | 81,136 | 75,426 | 985,821 | 298,207 | 1,080,545 |
| RHODE ISLAND DEPARTMENT OF HEALTH AND HUMAN SERVICES | | | | 158,213 | | 158,213 |
| 0599998 Risk sharing Receivables Not Individually Listed | | | | | | |
| 0599999 Risk sharing Receivables | | | | 158,213 | | 158,213 |
| MEDICARE ADVANTAGE COVERAGE GAP DISCOUNT PROGRAM | 1,764,207 | | | | | 1,764,207 |
| FEDERAL EMPLOYEES PROGRAM | 2,576,757 | | | | | 2,576,757 |
| FEDERAL DEPARTMENT OF HEALTH AND HUMAN SERVICES | 602,067 | | | | | 602,067 |
| LIFESPAN HOSPITAL NETWORK | 700,000 | | | 235,000 | | 935,000 |
| ST JOSEPH'S HOSPITAL | 13,000 | | | | | 13,000 |
| KENT COUNTY HOSPITAL | 136,800 | | | | | 136,800 |
| ROGER WILLIAM HOSPITAL | 238,875 | | | | | 238,875 |
| 0699998 Other Receivables Not Individually Listed | | | | | | |
| 0699999 Other Receivables | 6,031,706 | | | 235,000 | | 6,266,706 |
| 0799999 Gross Health Care Receivables | 8,268,155 | 2,081,215 | 2,075,505 | 9,649,907 | 2,568,841 | 19,505,941 |

EXHIBIT 6 – AMOUNTS DUE TO PARENT, SUBSIDIARIES AND AFFILIATES

| 1 Affiliate | 2 Description | 3 Amount | 4 Current | 5 Non-Current |
|--|--------------------|-------------|--------------|------------------|
| HEALTH & WELLNESS INSTITUTE | PURCHASED SERVICES | 561,502 | 561,502 | |
| 0199999 Individually listed payable | | 561,502 | 561,502 | |
| 0299999 Payables not individually listed | | | | |
| | | | | |
| 0399999 Total gross payables | | 561,502 | 561,502 | |

EXHIBIT 8 – FURNITURE, EQUIPMENT AND SUPPLIES OWNED

| Description | 1 Cost | 2 Improvements | 3 Accumulated Depreciation | 4 Book Value Less Encumbrances | 5 Assets Not Admitted | 6 Net Admitted Assets |
|--|-----------|-------------------|----------------------------------|---|--------------------------------|--------------------------------|
| 1. Administrative furniture and equipment | 9,047,475 | | 2,029,916 | | 7,017,559 | |
| 2. Medical furniture, equipment and fixtures | | | | | | |
| 3. Pharmaceuticals and surgical supplies | | | | | | |
| 4. Durable medical equipment | | | | | | |
| 5. Other property and equipment | 382,933 | | | | 382,933 | |
| 6. Total | 9,430,408 | | 2,029,916 | | 7,400,492 | |



53473201143040100

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION (a)

Report for: 1. Corporation BLUE CROSS & BLUE SHIELD OF RHODE ISLAND

2. PROVIDENCE, RHODE ISLAND

(LOCATION)

NAIC Group Code 0000

BUSINESS IN THE STATE OF RHODE ISLAND DURING THE YEAR 2011

NAIC Company Code 53473

29 RI

| | 1 Total | Comprehensive (Hospital & Medical) | | 4 Medicare Supplement | 5 Vision Only | 6 Dental Only | 7 Federal Employees Health Benefit Plan | 8 Title XVIII Medicare | 9 Title XIX Medicaid | 10 Other |
|---|---------------|------------------------------------|-------------|--------------------------|------------------|------------------|--|---------------------------|-------------------------|-------------|
| | | 2 Individual | 3 Group | | | | | | | |
| Total Members at end of: | | | | | | | | | | |
| 1. Prior Year | 353,931 | 14,633 | 196,780 | 24,905 | | 29,195 | 22,734 | 32,854 | | 32,830 |
| 2. First Quarter | 348,651 | 14,629 | 191,358 | 24,887 | | 31,502 | 23,004 | 31,989 | | 31,282 |
| 3. Second Quarter | 347,240 | 14,833 | 190,670 | 24,253 | | 31,574 | 22,982 | 31,869 | | 31,059 |
| 4. Third Quarter | 351,521 | 15,320 | 190,157 | 24,320 | | 27,690 | 22,968 | 31,967 | | 39,099 |
| 5. Current Year | 351,804 | 15,042 | 190,280 | 24,374 | | 28,035 | 22,960 | 31,973 | | 39,140 |
| 6. Current Year Member Months | 4,202,517 | 179,576 | 2,289,371 | 294,547 | | 356,034 | 274,150 | 383,787 | | 425,052 |
| Total Member Ambulatory Encounters For Year: | | | | | | | | | | |
| 7. Physician | 1,310,491 | 48,842 | 815,788 | | | | | 445,861 | | |
| 8. Non-Physician | 687,725 | 30,329 | 542,522 | | | | | 114,874 | | |
| 9. Total | 1,998,216 | 79,171 | 1,358,310 | | | | | 560,735 | | |
| 10. Hospital Patient Days Incurred | 104,686 | 4,372 | 47,243 | | | | | 53,071 | | |
| 11. Number of Inpatient Admissions | 20,797 | 692 | 10,830 | | | | | 9,275 | | |
| 12. Health Premiums Written (b) | 1,575,510,557 | 65,879,516 | 922,144,728 | 52,710,056 | | 27,946,531 | 98,931,536 | 382,919,074 | (228,145) | 25,207,261 |
| 13. Life Premiums Direct | | | | | | | | | | |
| 14. Property/Casualty Premiums Written | | | | | | | | | | |
| 15. Health Premiums Earned | 1,568,919,402 | 65,879,516 | 919,023,736 | 52,710,056 | | 27,946,531 | 101,949,197 | 382,919,074 | (228,145) | 18,719,437 |
| 16. Property/Casualty Premiums Earned | | | | | | | | | | |
| 17. Amount Paid for Provision of Health Care Services | 1,352,687,781 | 52,491,837 | 771,815,631 | 40,936,292 | | 22,635,399 | 94,075,186 | 353,673,627 | 3,580,489 | 13,479,320 |
| 18. Amount Incurred for Provision of Health Care Services | 1,339,095,989 | 54,535,546 | 769,056,873 | 42,067,495 | | 22,648,399 | 95,745,806 | 341,701,408 | (305,252) | 13,645,714 |

(a) For health business: number of persons insured under PPO managed care products 254,921 and number of persons insured under indemnity only products 5,197.

(b) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$ 0.



53473201143059100

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION (a)

Report for: 1. Corporation BLUE CROSS & BLUE SHIELD OF RHODE ISLAND

2. PROVIDENCE, RHODE ISLAND

(LOCATION)

NAIC Group Code 0000

BUSINESS IN THE STATE OF TOTAL DURING THE YEAR 2011

NAIC Company Code 53473

29,GT

| | 1 Total | Comprehensive (Hospital & Medical) | | 4 Medicare Supplement | 5 Vision Only | 6 Dental Only | 7 Federal Employees Health Benefit Plan | 8 Title XVIII Medicare | 9 Title XIX Medicaid | 10 Other |
|---|---------------|------------------------------------|-------------|--------------------------|------------------|------------------|--|---------------------------|-------------------------|-------------|
| | | 2 Individual | 3 Group | | | | | | | |
| Total Members at end of: | | | | | | | | | | |
| 1. Prior Year | 353,931 | 14,633 | 196,780 | 24,905 | | 29,195 | 22,734 | 32,854 | | 32,830 |
| 2. First Quarter | 348,651 | 14,629 | 191,358 | 24,887 | | 31,502 | 23,004 | 31,989 | | 31,282 |
| 3. Second Quarter | 347,240 | 14,833 | 190,670 | 24,253 | | 31,574 | 22,982 | 31,869 | | 31,059 |
| 4. Third Quarter | 351,521 | 15,320 | 190,157 | 24,320 | | 27,690 | 22,968 | 31,967 | | 39,099 |
| 5. Current Year | 351,804 | 15,042 | 190,280 | 24,374 | | 28,035 | 22,960 | 31,973 | | 39,140 |
| 6. Current Year Member Months | 4,202,517 | 179,576 | 2,289,371 | 294,547 | | 356,034 | 274,150 | 383,787 | | 425,052 |
| Total Member Ambulatory Encounters For Year: | | | | | | | | | | |
| 7. Physician | 1,310,491 | 48,842 | 815,788 | | | | | 445,861 | | |
| 8. Non-Physician | 687,725 | 30,329 | 542,522 | | | | | 114,874 | | |
| 9. Total | 1,998,216 | 79,171 | 1,358,310 | | | | | 560,735 | | |
| 10. Hospital Patient Days Incurred | 104,686 | 4,372 | 47,243 | | | | | 53,071 | | |
| 11. Number of Inpatient Admissions | 20,797 | 692 | 10,830 | | | | | 9,275 | | |
| 12. Health Premiums Written (b) | 1,575,510,557 | 65,879,516 | 922,144,728 | 52,710,056 | | 27,946,531 | 98,931,536 | 382,919,074 | (228,145) | 25,207,261 |
| 13. Life Premiums Direct | | | | | | | | | | |
| 14. Property/Casualty Premiums Written | | | | | | | | | | |
| 15. Health Premiums Earned | 1,568,919,402 | 65,879,516 | 919,023,736 | 52,710,056 | | 27,946,531 | 101,949,197 | 382,919,074 | (228,145) | 18,719,437 |
| 16. Property/Casualty Premiums Earned | | | | | | | | | | |
| 17. Amount Paid for Provision of Health Care Services | 1,352,687,781 | 52,491,837 | 771,815,631 | 40,936,292 | | 22,635,399 | 94,075,186 | 353,673,627 | 3,580,489 | 13,479,320 |
| 18. Amount Incurred for Provision of Health Care Services | 1,339,095,989 | 54,535,546 | 769,056,873 | 42,067,495 | | 22,648,399 | 95,745,806 | 341,701,408 | (305,252) | 13,645,714 |

(a) For health business: number of persons insured under PPO managed care products 254,921 and number of persons insured under indemnity only products 5,197.

(b) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$ 0.

SCHEDULE S - PART 1 - SECTION 2

Reinsurance Assumed Accident and Health Insurance Listed by Reinsured Company as of December 31, Current Year

| 1 NAIC Company Code | 2 Federal ID Number | 3 Effective Date | 4 Name of Reinsured | 5 Domiciliary Jurisdiction | 6 Type of Reinsurance Assumed | 7 Premiums | 8 Unearned Premiums | 9 Reserve Liability Other Than For Unearned Premiums | 10 Reinsurance Payable on Paid and Unpaid Losses | 11 Modified Coinsurance Reserve | 12 Funds Withheld Under Coinsurance |
|------------------------------|------------------------------|------------------------|------------------------------|----------------------------------|--|---------------|---------------------------|---|---|--|---|
| NONE | | | | | | | | | | | |
| 0999999 | Totals | | | | | | | | | | |

SCHEDULE S - PART 3 - SECTION 2

Reinsurance Ceded Accident and Health Insurance Listed by Reinsuring Company as of December 31, Current Year

| 1 NAIC Company Code | 2 Federal ID Number | 3 Effective Date | 4 Name of Company | 5 Domiciliary Jurisdiction | 6 Type | 7 Premiums | 8 Unearned Premiums (Estimated) | 9 Reserve Credit Taken Other than for Unearned Premiums | Outstanding Surplus Relief | | 12 Modified Coinsurance Reserve | 13 Funds Withheld Under Coinsurance |
|------------------------------|---|--------------------------|--|----------------------------------|---------------------|----------------------|--|--|-------------------------------|---------------------|--|---|
| | | | | | | | | | 10 Current Year | 11 Prior Year | | |
| 38245 80985 | 36-6033921 36-2149353 | 07/01/2006 05/01/2010 | BCS INSURANCE COMPANY 4 EVER LIFE INSURANCE COMPANY | IL IL | SSL/QA/G OTH/A/G | 6,487,823 103,331 | | | | | | |
| 0199999 | Authorized General Account – Affiliates - U.S. Affiliates | | | | | 6,591,154 | | | | | | |
| 0399999 | Total Authorized Affiliates | | | | | 6,591,154 | | | | | | |
| 0799999 | Total General Account Authorized | | | | | 6,591,154 | | | | | | |
| 3199999 | Total U.S. | | | | | 6,591,154 | | | | | | |
| 3399999 | Totals | | | | | 6,591,154 | | | | | | |

SCHEDULE S – PART 5Five-Year Exhibit of Reinsurance Ceded Business
(000 OMITTED)

| | 1 | 2 | 3 | 4 | 5 |
|--|-------|-------|-------|-------|------|
| | 2011 | 2010 | 2009 | 2008 | 2007 |
| A. OPERATIONS ITEMS | | | | | |
| 1. Premiums | 6,591 | 6,621 | 4,515 | 5,684 | 325 |
| 2. Title XVIII-Medicare | | | | | |
| 3. Title XIX-Medicaid | | 207 | 280 | 279 | 288 |
| 4. Commissions and reinsurance expense allowance | | | | | |
| 5. Total hospital and medical expenses | | | | | |
| B. BALANCE SHEET ITEMS | | | | | |
| 6. Premiums receivable | | | | | |
| 7. Claims payable | | | | | |
| 8. Reinsurance recoverable on paid losses | 617 | 162 | 228 | 733 | 89 |
| 9. Experience rating refunds due or unpaid | | | | | |
| 10. Commissions and reinsurance expense allowances unpaid | | | | | |
| 11. Unauthorized reinsurance offset | | | | | |
| C. UNAUTHORIZED REINSURANCE (DEPOSITS BY AND FUNDS WITHHELD FROM) | | | | | |
| 12. Funds deposited by and withheld from (F) | | | | | |
| 13. Letters of credit (L) | | | | | |
| 14. Trust agreements (T) | | | | | |
| 15. Other (O) | | | | | |

SCHEDULE S – PART 6

Restatement of Balance Sheet to Identify Net Credit For Ceded Reinsurance

| | 1 | 2 | 3 |
|--|-------------------------------|----------------------------|------------------------------|
| | As Reported (net of ceded) | Restatement Adjustments | Restated (gross of ceded) |
| ASSETS (Page 2, Col. 3) | | | |
| 1. Cash and invested assets (Line 12) | 473,494,218 | | 473,494,218 |
| 2. Accident and health premiums due and unpaid (Line 15) | 41,454,834 | | 41,454,834 |
| 3. Amounts recoverable from reinsurers (Line 16.1) | 617,081 | | 617,081 |
| 4. Net credit for ceded reinsurance | X X X | | |
| 5. All other admitted assets (Balance) | 89,893,486 | | 89,893,486 |
| 6. Total assets (Line 28) | 605,459,619 | | 605,459,619 |
| LIABILITIES, CAPITAL AND SURPLUS (Page 3) | | | |
| 7. Claims unpaid (Line 1) | 110,695,947 | | 110,695,947 |
| 8. Accrued medical incentive pool and bonus payments (Line 2) | 1,154,604 | | 1,154,604 |
| 9. Premiums received in advance (Line 8) | 23,849,592 | | 23,849,592 |
| 10. Funds held under reinsurance treaties with authorized and unauthorized reinsurers (Line 19) | | | |
| 11. Reinsurance in unauthorized companies (Line 20) | | | |
| 12. All other liabilities (Balance) | 146,357,517 | | 146,357,517 |
| 13. Total liabilities (Line 24) | 282,057,660 | | 282,057,660 |
| 14. Total capital and surplus (Line 33) | 323,401,959 | X X X | 323,401,959 |
| 15. Total liabilities, capital and surplus (Line 34) | 605,459,619 | | 605,459,619 |
| NET CREDIT FOR CEDED REINSURANCE | | | |
| 16. Claims unpaid | | | |
| 17. Accrued medical incentive pool | | | |
| 18. Premiums received in advance | | | |
| 19. Reinsurance recoverable on paid losses | | | |
| 20. Other ceded reinsurance recoverables | | | |
| 21. Total ceded reinsurance recoverables | | | |
| 22. Premiums receivable | | | |
| 23. Funds held under reinsurance treaties with authorized and unauthorized reinsurers | | | |
| 24. Unauthorized reinsurance | | | |
| 25. Other ceded reinsurance payables/offsets | | | |
| 26. Total ceded reinsurance payables/offsets | | | |
| 27. Total net credit for ceded reinsurance | | | |

SCHEDULE T - PART 2
INTERSTATE COMPACT – EXHIBIT OF PREMIUMS WRITTEN
Allocated By States and Territories

| | | Direct Business Only | | | | | |
|------------------------------|----|--|---|---|--|--------------------------------|-------------|
| | | 1 Life (Group and Individual) | 2 Annuities (Group and Individual) | 3 Disability Income (Group and Individual) | 4 Long-Term Care (Group and Individual) | 5 Deposit-Type Contracts | 6 Totals |
| States, Etc. | | | | | | | |
| 1. Alabama | AL | | | | | | |
| 2. Alaska | AK | | | | | | |
| 3. Arizona | AZ | | | | | | |
| 4. Arkansas | AR | | | | | | |
| 5. California | CA | | | | | | |
| 6. Colorado | CO | | | | | | |
| 7. Connecticut | CT | | | | | | |
| 8. Delaware | DE | | | | | | |
| 9. District of Columbia | DC | | | | | | |
| 10. Florida | FL | | | | | | |
| 11. Georgia | GA | | | | | | |
| 12. Hawaii | HI | | | | | | |
| 13. Idaho | ID | | | | | | |
| 14. Illinois | IL | | | | | | |
| 15. Indiana | IN | | | | | | |
| 16. Iowa | IA | | | | | | |
| 17. Kansas | KS | | | | | | |
| 18. Kentucky | KY | | | | | | |
| 19. Louisiana | LA | | | | | | |
| 20. Maine | ME | | | | | | |
| 21. Maryland | MD | | | | | | |
| 22. Massachusetts | MA | | | | | | |
| 23. Michigan | MI | | | | | | |
| 24. Minnesota | MN | | | | | | |
| 25. Mississippi | MS | | | | | | |
| 26. Missouri | MO | | | | | | |
| 27. Montana | MT | | | | | | |
| 28. Nebraska | NE | | | | | | |
| 29. Nevada | NV | | | | | | |
| 30. New Hampshire | NH | | | | | | |
| 31. New Jersey | NJ | | | | | | |
| 32. New Mexico | NM | | | | | | |
| 33. New York | NY | | | | | | |
| 34. North Carolina | NC | | | | | | |
| 35. North Dakota | ND | | | | | | |
| 36. Ohio | OH | | | | | | |
| 37. Oklahoma | OK | | | | | | |
| 38. Oregon | OR | | | | | | |
| 39. Pennsylvania | PA | | | | | | |
| 40. Rhode Island | RI | | | | | | |
| 41. South Carolina | SC | | | | | | |
| 42. South Dakota | SD | | | | | | |
| 43. Tennessee | TN | | | | | | |
| 44. Texas | TX | | | | | | |
| 45. Utah | UT | | | | | | |
| 46. Vermont | VT | | | | | | |
| 47. Virginia | VA | | | | | | |
| 48. Washington | WA | | | | | | |
| 49. West Virginia | WV | | | | | | |
| 50. Wisconsin | WI | | | | | | |
| 51. Wyoming | WY | | | | | | |
| 52. American Samoa | AS | | | | | | |
| 53. Guam | GU | | | | | | |
| 54. Puerto Rico | PR | | | | | | |
| 55. U.S. Virgin Islands | VI | | | | | | |
| 56. Northern Mariana Islands | MP | | | | | | |
| 57. Canada | CN | | | | | | |
| 58. Aggregate Other Alien | OT | | | | | | |
| 59. Totals | | | | | | | |

NONE

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 |
|------------|--|-------------------|----------------------|--------------|-----|--|--|----------------------|----------------------------------|--|--|--|--|----|
| Group Code | Group Name | NAIC Company Code | Federal ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity / Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | * |
| 00 | BLUE CROSS & BLUE SHIELD OF RHODE ISLAND HEALTH & WELLNESS INSTITUTE | 5347300000 | 05-015895220-4336322 | 00 | 00 | | BLUE CROSS & BLUE SHIELD OF RI HEALTH & WELLNESS INSTITUTE | RI RI | PARENT SUBSIDIARY | BLUE CROSS & BLUE SHIELD OF RI BLUE CROSS & BLUE SHIELD OF RI | BOARD OF DIRECTORS OWNERSHIP | 100.0 | BOARD OF DIRECTORS BLUE CROSS & BLUE SHIELD OF RI | 00 |

39

| Asterik | Explanation |
|---------|-------------|
| | NONE |

SCHEDULE Y

PART 2 – SUMMARY OF INSURER'S TRANSACTIONS WITH ANY AFFILIATES

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 |
|-------------------------|--------------------------|--|--------------------------|--------------------------|--|---|---|---|-----|---|--------------------------|---|
| NAIC Company Code | Federal ID Number | Names of Insurers and Parents, Subsidiaries or Affiliates | Shareholder Dividends | Capital Contributions | Purchases, Sales or Exchanges of Loans, Securities, Real Estate, Mortgage Loans or Other Investments | Income/ (Disbursements) Incurred in Connection with Guarantees or Undertakings for the Benefit of any Affiliate(s) | Management Agreements and Service Contracts | Income/ (Disbursements) Incurred Under Reinsurance Agreements | * | Any Other Material Activity Not in the Ordinary Course of the Insurer's Business | Totals | Reinsurance Recoverable/ (Payable) on Losses and/or Reserve Credit Taken/ (Liability) |
| 53473 00000 | 05-0158952 20-4336322 | BLUE CROSS & BLUE SHIELD OF RI HEALTH & WELLNESS INSTITUTE | | | | | 6,358,803 (6,358,803) | | | | 6,358,803 (6,358,803) | |
| 9999999 | Control Totals | | | | | | | | XXX | | | |

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing unless specifically waived by the domiciliary state. However, in the event that your domiciliary state waives the filing requirement, your response of WAIVED to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

| | Responses |
|--|-----------|
| MARCH FILING | |
| 1. Will the Supplemental Compensation Exhibit be filed with the state of domicile by March 1? | YES |
| 2. Will an actuarial opinion be filed by March 1? | YES |
| 3. Will the confidential Risk-based Capital Report be filed with the NAIC by March 1? | YES |
| 4. Will the confidential Risk-based Capital Report be filed with the state of domicile, if required, by March 1? | YES |
| APRIL FILING | |
| 5. Will Management's Discussion and Analysis be filed by April 1? | YES |
| 6. Will the Supplemental Investment Risks Interrogatories be filed by April 1? | YES |
| 7. Will the Accident and Health Policy Experience Exhibit be filed by April 1? | YES |
| JUNE FILING | |
| 8. Will an audited financial report be filed by June 1? | YES |
| 9. Will Accountants Letter of Qualifications be filed with the state of domicile and electronically with the NAIC by June 1? | YES |
| AUGUST FILING | |
| 10. Will Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile by August 1? | YES |

The following supplemental reports are required to be filed as part of your annual statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

| | |
|--|-------|
| MARCH FILING | |
| 11. Will the Medicare Supplement Insurance Experience Exhibit be filed with the state of domicile and the NAIC by March 1? | YES |
| 12. Will the Supplemental Life data due March 1 be filed with the state of domicile and the NAIC? | |
| 13. Will the Supplemental Property/Casualty data due March 1 be filed with the state of domicile and the NAIC? | |
| 14. Will Schedule SIS (Stockholder Information Supplement) be filed with the state of domicile by March 1? | |
| 15. Will the actuarial opinion on participating and non-participating policies as required in Interrogatories 1 and 2 on Exhibit 5 to Life Supplement be filed with the state of domicile and electronically with the NAIC by March 1? | |
| 16. Will the actuarial opinion on non-guaranteed elements as required in Interrogatory 3 to Exhibit 5 to Life Supplement be filed with the state of domicile and electronically with the NAIC by March 1? | |
| 17. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC by March 1? | YES |
| 18. Will an approval from the reporting entity's state of domicile for relief related to the five-year rotation requirement for lead audit partner be filed electronically with the NAIC by March 1? | |
| 19. Will an approval from the reporting entity's state of domicile for relief related to the one-year cooling off period for independent CPA be filed with the NAIC by March 1? | |
| 20. Will an approval from the reporting entity's state of domicile for relief related to the Requirements for Audit Committees be filed electronically with the NAIC by March 1? | |
| APRIL FILING | |
| 21. Will the Long-Term Care Experience Reporting Forms be filed with the state of domicile and the NAIC by April 1? | |
| 22. Will the Supplemental Life data due April 1 be filed with the state of domicile and the NAIC? | |
| 23. Will the Supplemental Property/Casualty Insurance Expense Exhibit due April 1 be filed with any state that requires it, and, if so, the NAIC? | |
| 24. Will the Supplemental Health Care Exhibit be filed with the state of domicile and the NAIC by April 1? | YES |
| 25. Will the regulator only (non-public) Supplemental Health Care Exhibit's Expense Allocation Report be filed with the state of domicile and the NAIC by April 1? | YES |
| AUGUST FILING | |
| 26. Will Management's Report of Internal Control Over Financial Reporting be filed with the state of domicile by August 1? | YES |

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

Explanation:

Bar Code:

OVERFLOW PAGE FOR WRITE-INS



53473201136500100

MEDICARE PART D COVERAGE SUPPLEMENT

(Net of Reinsurance)

(To Be Filed By March 1)

NAIC Group Code 0000

NAIC Company Code 53473

| | Individual Coverage | | Group Coverage | | 5 Total Cash |
|--|---------------------|----------------|----------------|----------------|--------------------|
| | 1 Insured | 2 Uninsured | 3 Insured | 4 Uninsured | |
| 1. Premiums Collected | | | | | |
| 1.1 Standard Coverage | | | | | |
| 1.11 With Reinsurance Coverage | 12,421,934 | X X X | 2,580,141 | X X X | 15,002,075 |
| 1.12 Without Reinsurance Coverage | | X X X | | X X X | |
| 1.13 Risk-Corridor Payment Adjustments | | X X X | | X X X | |
| 1.2 Supplemental Benefits | 2,295,993 | X X X | 512,812 | X X X | 2,808,805 |
| 2. Premiums Due and Uncollected-change | | | | | |
| 2.1 Standard Coverage | | | | | |
| 2.11 With Reinsurance Coverage | (4,176,050) | X X X | 1,116,026 | X X X | X X X |
| 2.12 Without Reinsurance Coverage | | X X X | | X X X | X X X |
| 2.2 Supplemental Benefits | (771,876) | X X X | | X X X | X X X |
| 3. Unearned Premium and Advance Premium-change | | | | | |
| 3.1 Standard Coverage | | | | | |
| 3.11 With Reinsurance Coverage | | X X X | | X X X | X X X |
| 3.12 Without Reinsurance Coverage | | X X X | | X X X | X X X |
| 3.2 Supplemental Benefits | | X X X | | X X X | X X X |
| 4. Risk-Corridor Payment Adjustments-change | | | | | |
| 4.1 Receivable | | X X X | | X X X | X X X |
| 4.2 Payable | 205,010 | X X X | | X X X | X X X |
| 5. Earned Premiums | | | | | |
| 5.1 Standard Coverage | | | | | |
| 5.11 With Reinsurance Coverage | 8,245,881 | X X X | 3,696,167 | X X X | X X X |
| 5.12 Without Reinsurance Coverage | | X X X | | X X X | X X X |
| 5.13 Risk-Corridor Payment Adjustments | 205,010 | X X X | | X X X | X X X |
| 5.2 Supplemental Benefits | 1,319,110 | X X X | 734,625 | X X X | X X X |
| 6. Total Premiums | 9,770,001 | X X X | 4,430,792 | X X X | 17,810,880 |
| 7. Claims Paid | | | | | |
| 7.1 Standard Coverage | | | | | |
| 7.11 With Reinsurance Coverage | 9,021,985 | X X X | 3,807,883 | X X X | 12,829,868 |
| 7.12 Without Reinsurance Coverage | | X X X | | X X X | |
| 7.2 Supplemental Benefits | 1,667,581 | X X X | 756,829 | X X X | 2,424,410 |
| 8. Claim Reserves and Liabilities-change | | | | | |
| 8.1 Standard Coverage | | | | | |
| 8.11 With Reinsurance Coverage | (2,718,146) | X X X | | X X X | X X X |
| 8.12 Without Reinsurance Coverage | | X X X | | X X X | X X X |
| 8.2 Supplemental Benefits | | X X X | | X X X | X X X |
| 9. Health Care Receivables-change | | | | | |
| 9.1 Standard Coverage | | | | | |
| 9.11 With Reinsurance Coverage | | X X X | | X X X | X X X |
| 9.12 Without Reinsurance Coverage | | X X X | | X X X | X X X |
| 9.2 Supplemental Benefits | | X X X | | X X X | X X X |
| 10. Claims Incurred | | | | | |
| 10.1 Standard Coverage | | | | | |
| 10.11 With Reinsurance Coverage | 6,303,839 | X X X | 3,638,211 | X X X | X X X |
| 10.12 Without Reinsurance Coverage | | X X X | | X X X | X X X |
| 10.2 Supplemental Benefits | 1,165,164 | X X X | 723,107 | X X X | X X X |
| 11. Total Claims | 7,469,003 | X X X | 4,361,318 | X X X | 15,254,278 |
| 12. Reinsurance Coverage and Low Income Cost Sharing | | | | | |
| 12.1 Claims Paid – Net To Reimbursements Applied | X X X | | X X X | | |
| 12.2 Reimbursements Received but Not Applied-change | X X X | | X X X | | |
| 12.3 Reimbursements Receivable-change | X X X | | X X X | | X X X |
| 12.4 Health Care Receivables-change | X X X | | X X X | | X X X |
| 13. Aggregate Policy Reserves-change | | | | | X X X |
| 14. Expenses Paid | 763,098 | X X X | 179,831 | X X X | 942,929 |
| 15. Expenses Incurred | 880,213 | X X X | 207,430 | X X X | X X X |
| 16. Underwriting Gain/Loss | 1,420,785 | X X X | (137,956) | X X X | X X X |
| 17. Cash Flow Result | X X X | X X X | X X X | X X X | 1,613,673 |

ALPHABETICAL INDEX TO HEALTH ANNUAL STATEMENT

| | | | |
|--|------|---|------|
| Analysis of Operations By Lines of Business | 7 | Schedule D – Part 6 – Section 2 | E16 |
| Assets | 2 | Schedule D – Summary By Country | SI04 |
| Cash Flow | 6 | Schedule D – Verification Between Years | SI03 |
| Exhibit 1 – Enrollment By Product Type for Health Business Only | 17 | Schedule DA – Part 1 | E17 |
| Exhibit 2 – Accident and Health Premiums Due and Unpaid | 18 | Schedule DA – Verification Between Years | SI10 |
| Exhibit 3 – Health Care Receivables | 19 | Schedule DB – Part A – Section 1 | E18 |
| Exhibit 4 – Claims Unpaid and Incentive Pool, Withhold and Bonus | 20 | Schedule DB – Part A – Section 2 | E19 |
| Exhibit 5 – Amounts Due From Parent, Subsidiaries and Affiliates | 21 | Schedule DB – Part A – Verification Between Years | SI11 |
| Exhibit 6 – Amounts Due To Parent, Subsidiaries and Affiliates | 22 | Schedule DB – Part B – Section 1 | E20 |
| Exhibit 7 – Part 1 – Summary of Transactions With Providers | 23 | Schedule DB – Part B – Section 2 | E21 |
| Exhibit 7 – Part 2 – Summary of Transactions With Intermediaries | 23 | Schedule DB – Part B – Verification Between Years | SI11 |
| Exhibit 8 – Furniture, Equipment and Supplies Owned | 24 | Schedule DB – Part C – Section 1 | SI12 |
| Exhibit of Capital Gains (Losses) | 15 | Schedule DB – Part C – Section 2 | SI13 |
| Exhibit of Net Investment Income | 15 | Schedule DB - Part D | E22 |
| Exhibit of Nonadmitted Assets | 16 | Schedule DB - Verification | SI14 |
| Exhibit of Premiums, Enrollment and Utilization (State Page) | 29 | Schedule DL - Part 1 | E23 |
| Five-Year Historical Data | 28 | Schedule DL - Part 2 | E24 |
| General Interrogatories | 26 | Schedule E – Part 1 – Cash | E25 |
| Jurat Page | 1 | Schedule E – Part 2 – Cash Equivalents | E26 |
| Liabilities, Capital and Surplus | 3 | Schedule E – Part 3 – Special Deposits | E27 |
| Notes To Financial Statements | 25 | Schedule E – Verification Between Years | SI15 |
| Overflow Page For Write-ins | 42 | Schedule S – Part 1 – Section 2 | 30 |
| Schedule A – Part 1 | E01 | Schedule S – Part 2 | 31 |
| Schedule A – Part 2 | E02 | Schedule S – Part 3 – Section 2 | 32 |
| Schedule A – Part 3 | E03 | Schedule S – Part 4 | 33 |
| Schedule A – Verification Between Years | SI02 | Schedule S – Part 5 | 34 |
| Schedule B – Part 1 | E04 | Schedule S – Part 6 | 35 |
| Schedule B – Part 2 | E05 | Schedule T – Part 2 – Interstate Compact | 37 |
| Schedule B – Part 3 | E06 | Schedule T – Premiums and Other Considerations | 36 |
| Schedule B – Verification Between Years | SI02 | Schedule Y – Information Concerning Activities of Insurer Members of a Holding Company Group | 38 |
| Schedule BA – Part 1 | E07 | Schedule Y - Part 1A - Detail of Insurance Holding Company System | 39 |
| Schedule BA – Part 2 | E08 | Schedule Y - Part 2 – Summary of Insurer's Transactions With Any Affiliates | 40 |
| Schedule BA – Part 3 | E09 | Statement of Revenue and Expenses | 4 |
| Schedule BA – Verification Between Years | SI03 | Summary Investment Schedule | SI01 |
| Schedule D – Part 1 | E10 | Supplemental Exhibits and Schedules Interrogatories | 41 |
| Schedule D – Part 1A – Section 1 | SI05 | Underwriting and Investment Exhibit – Part 1 | 8 |
| Schedule D – Part 1A – Section 2 | SI08 | Underwriting and Investment Exhibit – Part 2 | 9 |
| Schedule D – Part 2 – Section 1 | E11 | Underwriting and Investment Exhibit – Part 2A | 10 |
| Schedule D – Part 2 – Section 2 | E12 | Underwriting and Investment Exhibit – Part 2B | 11 |
| Schedule D – Part 3 | E13 | Underwriting and Investment Exhibit – Part 2C | 12 |
| Schedule D – Part 4 | E14 | Underwriting and Investment Exhibit – Part 2D | 13 |
| Schedule D – Part 5 | E15 | Underwriting and Investment Exhibit – Part 3 | 14 |
| Schedule D – Part 6 – Section 1 | E16 | | |