



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Department of Business Regulation
INSURANCE DIVISION
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INSURANCE SURPLUS LINE BROKER INSTRUCTIONS

REQUIREMENT CHECKLIST FOR ALL RHODE ISLAND RESIDENTS:

- Completed Application.
- A **copy** of the R.I. Background report from the Attorney General's office.
- Completed Surplus Line Broker Bond
- Must already hold an active R.I. Property & Casualty Producer license.
- \$100.00 license fee.

REQUIREMENT CHECKLIST FOR ALL RESIDENTS OF CALIFORNIA, AND WASHINGTON:

- Completed Application.
- Completed Surplus Line Broker Bond
- \$100.00 license fee.

REQUIREMENT CHECKLIST FOR ALL OTHER STATE RESIDENTS NOT MENTIONED ABOVE:

- Completed Application.
- \$100.00 license fee.

SURPLUS LINE BROKER INSTRUCTIONS FOR CHECKLISTS ABOVE

1. **FEES:** \$100.00 License fee.
2. **PRODUCER PROPERTY & CASUALTY LICENSE FOR RI RESIDENTS ONLY:** Prior to applying for a Surplus Line Broker license, the applicant **must hold an active** Property and Casualty **RESIDENT** Producer License. The **Resident** Producer license number should be included on the application. **This requirement is not for Non-Residents.**
3. **BOND INFORMATION:** Applicants that are residents of *Rhode Island, California, and Washington* are required to hold a \$25,000 Surplus Line Broker Bond. This bond must be completed and attached to the application. Please see the Department's website at <http://www.dbr.ri.gov/divisions/insurance/producersadjusters.php> for the bond form.
4. **CRIMINAL BACKGROUND REPORTS FOR RI RESIDENTS ONLY:** Criminal background report from the RI Attorney General's office. For questions relating to obtaining this report please call the Attorney General's office at 401-274-4400.

When you are ready to apply please visit
www.nipr.com

*If you require a paper application please contact the department or request one via email dbr.insurance@dbr.ri.gov