



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
Department of Business Regulation
INSURANCE DIVISION
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**RHODE ISLAND NON-RESIDENT INSTRUCTIONS AND APPLICATION FOR-
CONTINUING EDUCATION COURSE APPROVAL
(RECIPROCAL)**

****PLEASE NOTE THAT INCOMPLETE COURSE SUBMISSIONS WILL BE
REJECTED****

In order to obtain course approval on reciprocal basis non-resident continuing education course providers should:

- Complete the Application attached.
- Scan the application and upload to https://sbs-ri.naic.org/Lion-Web/jsp/login/ext_provider_login.jsp. Scan and upload the home state approval certificate or letter. Rhode Island only accepts electronic submissions.
- The fee is \$60 for each course submitted. Payment will be made at the time of submission online using credit card.
- Note:** You will be given the same number of credits as granted in your home state. Please do not submit the course for a different credit amount.

****If you are requesting that the course be certified for compliance in any of the following please include a specific request (including the specific statutory reference)****

- 8 hour LTC care course required prior to sale of LTC policy (RIGL 27-34.2-21)
- 4 hour LTC follow up course
- Annuity suitability (Regulation 12)



UNIFORM CONTINUING EDUCATION RECIPROCIITY COURSE FILING FORM

Please clearly print or type information on this form. Thank you for helping us promptly process your application.

Provider Information

| | | | | | |
|----------------------------|---------------------|----------------------------------|-----------------------|------------------|-----------------------------|
| Provider Name | | FEIN # (if applicable) | | | |
| Contact Person | | E-mail Address of Contact Person | | | |
| Phone Number () - ext. | Fax Number () - | Home State | Home State Provider # | Reciprocal State | Reciprocal State Provider # |
| Mailing Address | | City | State | Zip | |

Course Information

| | | |
|---|--|--|
| Course Title | | |
| Date of Course Offering (if applicable) | | |
| Method of Instruction | | National Course |
| Self – Study (non-contact) <input type="checkbox"/> Correspondence <input type="checkbox"/> On-Line Training (Self-Study) <input type="checkbox"/> Video/Audio/CD/DVD Word Count _____ Difficulty (Circle) Basic Intermediate Advanced | Classroom (contact) <input type="checkbox"/> Seminar/Workshop <input type="checkbox"/> Webinar <input type="checkbox"/> Teleconference <input type="checkbox"/> Other _____ | National Insurance Designation? <input type="checkbox"/> Yes <input type="checkbox"/> No Designation Type: _____ Is this Course Open to the Public? <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Examination Required? <input type="checkbox"/> Yes <input type="checkbox"/> No | | |

Credit Hours Requested and Course/Hours Decision

| Course Concentration | Hrs Requested by Provider | | Hrs Approved by Home State | | Hrs Approved by Reciprocal State | |
|--|---------------------------|-----------|----------------------------|-----------|----------------------------------|-----------|
| | Sales/Mktg | Insurance | Sales/Mktg | Insurance | Sales /Mktg | Insurance |
| A. Insurance Topics: (Circle Appropriate Course Concentration) | | | | | | |
| Life / Health | | | | | | |
| Property / Casualty/Personal Lines | | | | | | |
| Ethics | | | | | | |

| | | | | | | |
|--|--|--|--|--|--|--|
| General (Applies to all lines) | | | | | | |
| Insurance Laws | | | | | | |
| Other (LTC, NFIP, Viatical, Annuities, _____) | | | | | | |
| Total Hours | | | | | | |
| B. Adjuster Topics (Total Hours) | | | | | | |
| <i>Information Below is for Regulator Use Only</i> | | | | | | |
| Approval Date | | | | | | |
| Course Number assigned | | | | | | |
| Course approval expiration date | | | | | | |
| Signature of Home State Regulator/Representative OR <u>ATTACH</u> Provider Home State Approval Form | | | | | | |
| Signature of Reciprocal State Regulator/Representative OR <u>ATTACH</u> Reciprocal State Approval Form | | | | | | |

See State Matrix for Instruction Sheet and State Specific Fee Schedule

INSTRUCTION SHEET

NOTE: This course may NOT be advertised or offered as approved in the state to which application has been made until approval has been received from the Insurance Department.

1. If you are a PROVIDER filing for approval from the Home State:

- 1.1 Complete all the fields in the “Provider Information” section except “Reciprocal State” and the adjacent “Provider #” fields.
- 1.2 Complete the Course Information Section.
- 1.3 In the “Credit Hours Requested and Course/Hours Decision” section, complete the “Hrs. Requested by Provider” columns, detailing in the respective columns the number of hours for sales – and marketing-related instruction and the number of hours for other insurance-related instruction. Please note the following:

1.3.1 When using this application, which is governed by the NAIC CE Reciprocity Agreement in conjunction with ‘states’ laws, only whole numbers of credit hours will be approved – partial hours will be eliminated.

1.3.2 States that approve sales/marketing topics will consider the hours in the “sales/Mktg” column and the hours in the “Insurance” column when deciding the number of hours to approve. States that do not permit sales/marketing topics as part of continuing education credit hours will only consider the hours shown in the “Insurance” column when making their credit-hour approval decisions.

1.3.3 Contact the individual state to determine whether there are any specific requirements for submitting insurance adjuster courses.

1.4 Submit the application form along with required course materials, a detailed course outline, instructor information, if required, and the required course application fee. Refer to website below for instructor information (www.naic.org/documents/urtt_cer_CE_Matrix.xls).

2. If you are a PROVIDER filing for approval from a Reciprocal State:

- 2.1 Make a sufficient number of photocopies of the Home State approval form to enable you to submit a copy of this application to each of the Reciprocal States where you are seeking credit.
- 2.2 On each application, write the Reciprocal State and the provider number assigned to you by that state in the “Reciprocal State” and adjacent “Provider #” fields.
- 2.3 Send the CER application, home state approval, if home state issues one, a detailed course outline, and the required fee to the reciprocal state. If this is a National Course *, the Providers will be allowed to submit an agenda which must include date, time, each topic and event location in lieu of a detailed course outline.
- 2.4 Subsequent national course offerings should only be reported for events that are conducted in the “home” state.

* **National Course** is defined as an approved program of instruction in insurance related topics, offered by an approved provider, and leads to a national professional designation or is a course offered to individuals who must update their designation once it is earned.

3. If you are a HOME STATE or the designated Representative of the Home State:

- 3.1 After reviewing the course materials, complete the “Hrs Approved by Home State” column.
- 3.2 Enter the date of approval, course # assigned, course approval expiration date. Sign the CER Form OR attach the home state approval form.

3.3 If the class is not approved, note it on the bottom of the CER Form.

4. If you are the RECIPROCAL STATE or designated representative of the Reciprocal State:

4.1 After reviewing “Hrs approved by Home State” complete the “Hrs Approved by Reciprocal State”.

4.2 Enter the date of approval, course number assigned, course approval expiration date. Sign the CER Form OR attach the reciprocal state approval form.

4.3 If the class is not approved, note it on the bottom of the CER Form.