



## Department of Business Regulation

233 Richmond Street  
Providence, RI 02903

# Insurance Bulletin Number 2002-13

### Form and Rate Filing Fees

R.I. Gen. Laws § 42-14-18 mandates a **forty dollar (\$40) filing fee for each policy or certificate form in a filing package and a twenty five dollar (\$25) filing fee for each policy, with which a package of related forms or revised rates in connection with a policy that has been previously approved, may be used.** The fee must be submitted with each filing package by means of a check payable to: *General Treasurer, State of Rhode Island*. There is no fee to withdraw forms that a company no longer intends to use in Rhode Island.

#### HOW TO FIGURE YOUR FEE

**When a master contract and certificates are filed in one package,** count each policy *and* each certificate and multiply by forty dollars (\$40). (Do not count riders, endorsements, application, rates or alternative paragraphs.)

**When riders, endorsements, applications, alternative paragraphs or rates are filed singly or in a package without policy or certificate forms,** count the number of policies to which the forms will be attached, in which the paragraphs will be used, or with which the rates will be used and multiply by twenty five dollars (\$25). (Do not count the forms, paragraphs and/or rates, themselves)

**If the retaliatory fee for your company's domicile is more, pay the retaliatory fee.**

THE TRANSMITTAL LETTER MUST LIST ALL POLICY FORMS WITH WHICH ANY RIDERS, ENDORSEMENTS, APPLICATION, ALTERNATIVE PARAGRAPHS OR RATES WILL BE USED. REFERENCE TO "ALL POLICY FORMS APPROVED IN RHODE ISLAND" IS NOT ACCEPTABLE. **FILINGS RECEIVED WITHOUT THE CORRECT FEE OR WITHOUT A LIST OF ALL POLICY FORMS WILL BE CONSIDERED INCOMPLETE AND NOT SUBJECT TO ANY "DEEMER" PROVISIONS IN LAW OR REGULATIONS.**

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