

PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION

## **QUARTERLY STATEMENT**

AS OF SEPTEMBER 30, 2022 OF THE CONDITION AND AFFAIRS OF THE

Medical Malpractice Joint Underwriting Association of Rhode Island

| NAIC Gro  |  | Company Code 13101 Emplo   | yer's ID Number  | 51-0140354   |
|---|--|--|--|--|
| Organized under the Laws of   | (Current) (Prior)<br>Rhode Island  | , State of Domicile or Po  | ort of Entry   | RI   |
| Country of Domicile   | L  | nited States of America  |  |  |
| Incorporated/Organized  | 06/16/1975   | Commenced Busine   | ess  | 07/01/1975   |
| Statutory Home Office   | One Turks Head Place   | ,  | Providence, RI,  | US 02903   |
|   | (Street and Number)  | ((   | City or Town, State, Co  | untry and Zip Code)  |
| Main Administrative Office  |  | One Turks Head Place   |  |  |
| Provi   | dence, RI, US 02903  | (Street and Number)  | 401-369-   | 8245   |
|   | State, Country and Zip Code)   |  | (Area Code) (Telep   |  |
| Mail Address  | One Turks Head Place   | ,  | Providence, RI,  | US 02903   |
|   | (Street and Number or P.O. Box)  | (0   | City or Town, State, Co  | untry and Zip Code)  |
| Primary Location of Books and Reco  | rds  | One Turks Head Place   |  |  |
| Provi   | dence, RI, US 02903  | (Street and Number)  | 401-369-   | 8245   |
|   | State, Country and Zip Code)   | ,  | (Area Code) (Telep   |  |
| Internet Website Address  | ŀ  | nttp://rhodeislandjua.com  |  |  |
| Statutory Statement Contact   | Melissa Menard   |  | 401-   | 369-8240   |
| Statutory Statement Contact   | (Name)   | , ,  | (Area Code) (  | Telephone Number)  |
|   | .menard@bbrown.com<br>E-mail Address)  | ·  | 401-369-<br>(FAX Nur   |  |
| · ·   | _ mai/ (da/000)  |  | (1701116   |  |
| Vice Chair  | Don Baldini #  | OFFICERS   |  | Maliana Manard   |
| Vice Chair<br>Chair   |  |  | aryJ   | Melissa Menard  James Pascalides DPM   |
|   |  | OTHER  |  |  |
|   | Dire   | ECTORS OR TRUSTEES   |  |  |
| Adam Robitail   |  | James Pascalides DPM  Don Baldini  |  | Earl Cottam Jr.<br>Barbara M Cavicchio DDS   |
|   |  | Jennifer Morrison  |  | Virginia Burke   |
| Eric Payntor  |  | Laurie Kuiper  |  |  |
| State of Rh   | ode Island   |  |  |  |
| County of   | Kent SS:   |  |  |  |
|   |  |  |  |  |
| all of the herein described assets w<br>statement, together with related exhi<br>condition and affairs of the said repo<br>in accordance with the NAIC Annual<br>rules or regulations require differer<br>respectively. Furthermore, the scop | ere the absolute property of the said repolits, schedules and explanations therein orting entity as of the reporting period state Statement Instructions and Accounting lose in reporting not related to account of this attestation by the described officerences due to electronic filing) of the en | orting entity, free and clear from any contained, annexed or referred to, is a did above, and of its income and dedurer manual exiting practices and Procedures manual exiting practices and procedures, accorders also includes the related corresp | r liens or claims thereon full and true statement ctions therefrom for the cept to the extent that: ording to the best of onding electronic filing | that on the reporting period stated above, on, except as herein stated, and that this it of all the assets and liabilities and of the period ended, and have been completed (1) state law may differ; or, (2) that state heir information, knowledge and belief, with the NAIC, when required, that is an various regulators in lieu of or in addition |
| Don Baldini   |  | Earl Cottam Jr.  |  | Melissa Menard   |
| Vice Chair  |  | Chair  |  | Assistant Secretary  |
| Subscribed and sworn to before me t   | his  | b. If no,<br>1. State the am   | al filing?   | Yes[X]No[]   |



PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION

#### **QUARTERLY STATEMENT**

AS OF SEPTEMBER 30, 2022 OF THE CONDITION AND AFFAIRS OF THE

# Medical Malpractice Joint Underwriting Association of Rhode Island NAIC Group Code \_\_\_\_\_ NAIC Company Code 13101 Employer's ID Number 151-0140354

|                                    | (Current) (Prior)  | —  |  |   |
|------------------------------------|--|--|--|---|
| Organized under the Laws of        | Rhode Island   | , State o                                    | of Domicile or Port of Ent             | ry <u>Rl</u>  |
| Country of Domicile                | U  | nited States of Ameri                        | са                                     |   |
| Incorporated/Organized             | Cor  | nmenced Business                             | 07/01/1975                             |   |
| Statutory Home Office              | One Turks Head Place   |  | F                                      | Providence, RI, US 02903  |
|                                    | (Street and Number)  |  |  | own, State, Country and Zip Code)   |
| Main Administrative Office         | ,  | One Turks Head Plac                          | 20                                     |   |
| Main Administrative Office         |  | (Street and Number                           |  |   |
|                                    | Providence, RI, US 02903   | <u>`                                    </u> | ,                                      | 401-369-8245  |
| (City or T                         | own, State, Country and Zip Code)  |  | (Area                                  | a Code) (Telephone Number)  |
| Mail Address                       | One Turks Head Place   |  | F                                      | Providence, RI, US 02903  |
|                                    | (Street and Number or P.O. Box)  |  |  | own, State, Country and Zip Code)   |
| Primary Location of Books and      | Pagarda  | One Turke Head Die                           | 00                                     |   |
| Filliary Location of Books and I   |  | One Turks Head Pla<br>(Street and Number     |  |   |
|                                    | Providence, RI, US 02903   |  | ,                                      | 401-369-8245  |
| (City or T                         | own, State, Country and Zip Code)  |  | (Area                                  | a Code) (Telephone Number)  |
| Internet Website Address           | h  | nttp://rhodeislandjua.c                      | om                                     |   |
|                                    |  | <u>apinnio aciolania jaano</u>               | <b>5</b>                               |   |
| Statutory Statement Contact        | Melissa Menard   |  | . ,                                    | 401-369-8240  |
| m                                  | (Name)<br>elissa.menard@bbrown.com   |  |  | (Area Code) (Telephone Number)<br>401-369-8241  |
|                                    | (E-mail Address)   | ,  |  | (FAX Number)  |
|                                    | ,  |  |  | ,   |
|                                    |  | OFFICERS                                     |  |   |
|                                    | Don Baldini #  |  | Assistant Secretary                    |   |
| Chair                              | Earl Cottam Jr.  |  | Secretary                              | James Pascalides DPM  |
|                                    |  | OTHER  |  |   |
|                                    |  |  |  |   |
|                                    | DIRE   | CTORS OR TRUS                                | STEES                                  |   |
|                                    |  | James Pascalides D                           |  | Earl Cottam Jr.   |
| Adam Ro                            | bitaille   | Don Baldini                                  |  | Barbara M Cavicchio DDS   |
| Eric Pa                            | yntor  | Jennifer Morrison<br>Laurie Kuiper           |  | Virginia Burke  |
|                                    |  |  |  |   |
| State of                           | Rhode Island   |  |  |   |
| County of                          | Providence SS:   |  |  |   |
|                                    |  |  |  |   |
| The officers of the money time and | the least on all the comments of the state o | A 41   | - d - # # i d                          |   |
|                                    |  |  |  | ing entity, and that on the reporting period stated above<br>claims thereon, except as herein stated, and that thi  |
| statement, together with related   | exhibits, schedules and explanations therein c   | contained, annexed of                        | r referred to, is a full and           | true statement of all the assets and liabilities and of th  |
|                                    |  |  |  | erefrom for the period ended, and have been complete<br>ne extent that: (1) state law may differ; or, (2) that stat |
|                                    |  |  |  | the best of their information, knowledge and belie  |
|                                    |  |  |  | electronic filing with the NAIC, when required, that is a   |
| to the enclosed statement.         | g differences due to electronic filling) of the end  | dosed statement. The                         | e electronic filing may be             | e requested by various regulators in lieu of or in additio  |
|                                    |  |  |  | AAUA ()   |
|                                    |  |  |  | MANUEL  |
|                                    |  |  |  |   |
| Don Baldini                        |  | Earl Cottam Jr.                              |  | Melissa Menard  |
| Vice Chair                         |  | Chair  |  | Assistant Secretary   |
|                                    |  | 2  | Is this an original filing?            | Yes [ X ] No [ ]  |
| Subscribed and sworn to before     | me this  |  | If no,                                 | 169[ \ \ ] 100[ ]   |
| day of                             |  |  | State the amendment                    | number  |
|                                    |  |  | 2. Date filed                          |   |
|                                    |  |  | <ol><li>Number of pages atta</li></ol> | ched  |

# **ASSETS**

|       |  |             |                         |   | 4  |
|-------|--|-------------|-------------------------|---|--|
|       |  | 1<br>Assets | 2<br>Nonadmitted Assets | 3<br>Net Admitted Assets<br>(Cols. 1 - 2) | December 31 Prior Year Net Admitted Assets |
| 1.    | Bonds  | 86,945,838  | Nonaumilleu Assets      |   | 88,797,087                                 |
| 2.    | Stocks:  | ,,,,,       |                         | , , , ,                                   | , , ,                                      |
|       | 2.1 Preferred stocks   |             |                         | 0   | 0  |
|       |  |             |                         |   | 0  |
| 3.    | Mortgage loans on real estate:   |             |                         |   |  |
|       | 3.1 First liens  |             |                         | 0   | 0  |
|       |  |             |                         | •   | 0  |
| 4.    | Real estate:   |             |                         |   | -  |
|       | 4.1 Properties occupied by the company (less \$                            |             |                         |   |  |
|       | encumbrances)  |             |                         | 0   | 0  |
|       | 4.2 Properties held for the production of income (less                     |             |                         |   | -  |
|       | \$encumbrances)  |             |                         | 0   | 0  |
|       | 4.3 Properties held for sale (less \$                                      |             |                         |   |  |
|       | encumbrances)  |             |                         | 0   | 0  |
| 5.    | Cash (\$   |             |                         |   |  |
| 5.    |  |             |                         |   |  |
|       | (\$1,845,356 ) and short-term  | 0.614.000   |                         | 0.614.000                                 | E00, 202                                   |
| •     | investments (\$ )  |             |                         |   | _  |
| 6.    | Contract loans (including \$ premium notes)                                |             |                         |   | 0  |
| 7.    | Derivatives  |             |                         |   | 0  |
| 8.    | Other invested assets  | ,           |                         | , , ,                                     | , ,  |
| 9.    | Receivables for securities   |             |                         |   | 0  |
| 10.   | Securities lending reinvested collateral assets                            |             |                         |   | 0  |
| 11.   | Aggregate write-ins for invested assets                                    | 0           | 0                       | 0   |  |
| 12.   | Subtotals, cash and invested assets (Lines 1 to 11)                        | 160,510,045 | 0                       | 160,510,045                               | 165,728,546                                |
| 13.   | Title plants less \$ charged off (for Title insurers                       |             |                         |   |  |
|       | only)  |             |                         |   |  |
| 14.   | Investment income due and accrued  | 1,012,652   |                         | 1,012,652                                 | 1,145,685                                  |
| 15.   | Premiums and considerations:   |             |                         |   |  |
|       | 15.1 Uncollected premiums and agents' balances in the course of collection | (79,008)    |                         | (79,008)                                  | (286,466)                                  |
|       | 15.2 Deferred premiums, agents' balances and installments booked but       |             |                         |   |  |
|       | deferred and not yet due (including \$                                     |             |                         |   |  |
|       | earned but unbilled premiums)  | 265,828     |                         | 265,828                                   | 365,240                                    |
|       | 15.3 Accrued retrospective premiums (\$                                    |             |                         |   |  |
|       | contracts subject to redetermination (\$                                   |             |                         | 0   | 0  |
| 16.   | Reinsurance:   |             |                         |   |  |
|       | 16.1 Amounts recoverable from reinsurers                                   |             |                         | 0   | 0  |
|       | 16.2 Funds held by or deposited with reinsured companies                   |             |                         | 0   | 0  |
|       | 16.3 Other amounts receivable under reinsurance contracts                  |             |                         | 0   | 0  |
| 17.   | Amounts receivable relating to uninsured plans                             |             |                         | 0   | 0  |
| 18.1  | Current federal and foreign income tax recoverable and interest thereon    | 618,348     |                         | 618,348                                   | 0  |
| 18.2  | Net deferred tax asset   | 213,755     |                         | 213,755                                   | 0  |
| 19.   | Guaranty funds receivable or on deposit                                    |             |                         | 0   | 0  |
| 20.   | Electronic data processing equipment and software                          |             |                         | 0   | 0  |
| 21.   | Furniture and equipment, including health care delivery assets             |             |                         |   |  |
|       | (\$  |             |                         | 0   | 0  |
| 22.   | Net adjustment in assets and liabilities due to foreign exchange rates     |             |                         |   | 0  |
| 23.   | Receivables from parent, subsidiaries and affiliates                       |             |                         | 0   | 0  |
| 24.   | Health care (\$ ) and other amounts receivable                             |             |                         |   |  |
| 25.   | Aggregate write-ins for other than invested assets                         |             |                         |   |  |
| 26.   | Total assets excluding Separate Accounts, Segregated Accounts and          |             |                         |   |  |
|       | Protected Cell Accounts (Lines 12 to 25)                                   | 162,674,668 | 0                       | 162,674,668                               | 166,964,369                                |
| 27.   | , 5 5  |             |                         | 0   | 0  |
| 20    | Accounts   | 162,674,668 |                         |   | 166,964,369                                |
| 28.   | Total (Lines 26 and 27)  DETAILS OF WRITE-INS                              | 102,014,000 | 0                       | 102,014,000                               | 100, 304, 309                              |
|       |  |             |                         |   |  |
| 1101. |  |             |                         |   |  |
| 1102. |  |             |                         |   |  |
| 1103. |  |             |                         |   |  |
| 1198. | Summary of remaining write-ins for Line 11 from overflow page              |             |                         |   | 0  |
| 1199. | Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)                  | 0           | _                       | +   | 0  |
| 2501. | Miscellaneous Accounts Receivable  |             |                         | *   | 11,364                                     |
| 2502. | Prepaid Losses   |             |                         | 127,635                                   | 0  |
| 2503. | Prepaid premium tax  |             |                         | , , , , , , , , , , , , , , , , , , ,     | 0  |
| 2598. | Summary of remaining write-ins for Line 25 from overflow page              | 0           | 0                       | 0   | 0  |
| 2599. | Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)                  | 133,048     | 0                       | 133,048                                   | 11,364                                     |

LIABILITIES, SURPLUS AND OTHER FUNDS

|                |   | 1<br>Current<br>Statement Date | 2<br>December 31,<br>Prior Year |
|----------------|---|--------------------------------|---------------------------------|
| 1.             | Losses (current accident year \$1,707,798 )   | 18,112,009                     | 15,945,374                      |
| 2.             | Reinsurance payable on paid losses and loss adjustment expenses                             |                                | 0                               |
| 3.             | Loss adjustment expenses  | 6,891,760                      | 7, 172, 466                     |
| 4.             | Commissions payable, contingent commissions and other similar charges                       |                                | 90,410                          |
| 5.             | Other expenses (excluding taxes, licenses and fees)   | 202,446                        | 200,523                         |
| 6.             | Taxes, licenses and fees (excluding federal and foreign income taxes)                       |                                | 4,848                           |
| 7.1            | Current federal and foreign income taxes (including \$ on realized capital gains (losses))  |                                | 171,554                         |
| 7.2            | Net deferred tax liability  |                                | 1,274,408                       |
|                | Borrowed money \$ and interest thereon \$   |                                |                                 |
|                | Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$            |                                |                                 |
|                | including warranty reserves of \$ and accrued accident and health experience rating refunds |                                |                                 |
|                | including \$0 for medical loss ratio rebate per the Public Health Service Act)              | 2 481 171                      | 2 503 810                       |
| 10.            | Advance premium   |                                |                                 |
|                | Dividends declared and unpaid:  |                                |                                 |
| 11.            | ·   |                                |                                 |
|                | 11.1 Stockholders   |                                |                                 |
| 40             | 11.2 Policyholders  |                                |                                 |
|                | Ceded reinsurance premiums payable (net of ceding commissions)                              |                                |                                 |
| 13.            | Funds held by company under reinsurance treaties  |                                |                                 |
| 14.            | Amounts withheld or retained by company for account of others                               |                                | 533,942                         |
| 15.            | Remittances and items not allocated   |                                |                                 |
| 16.            | Provision for reinsurance (including \$ certified)  |                                | 0                               |
| 17.            | Net adjustments in assets and liabilities due to foreign exchange rates                     |                                |                                 |
| 18.            | Drafts outstanding  |                                |                                 |
| 19.            | Payable to parent, subsidiaries and affiliates  |                                |                                 |
| 20.            | Derivatives   | 0                              | 0                               |
| 21.            | Payable for securities  |                                |                                 |
| 22.            | Payable for securities lending  |                                |                                 |
| 23.            | Liability for amounts held under uninsured plans  |                                |                                 |
| 24.            | Capital notes \$ and interest thereon \$  |                                |                                 |
| 25.            | Aggregate write-ins for liabilities   |                                | 15,876                          |
| 26.            | Total liabilities excluding protected cell liabilities (Lines 1 through 25)                 |                                | 28,002,199                      |
|                | · · · · · · · · · · · · · · · · · · ·   | 20,494,432                     | 20,002,199                      |
| 27.            | Protected cell liabilities  | 00 404 450                     | 00 000 100                      |
|                | Total liabilities (Lines 26 and 27)   |                                |                                 |
|                | Aggregate write-ins for special surplus funds   |                                | 0                               |
| 30.            | Common capital stock  |                                |                                 |
| 31.            | Preferred capital stock   |                                |                                 |
| 32.            | Aggregate write-ins for other than special surplus funds                                    | 0                              | 0                               |
| 33.            | Surplus notes   |                                |                                 |
| 34.            | Gross paid in and contributed surplus   |                                |                                 |
| 35.            | Unassigned funds (surplus)  | 134 , 180 , 216                | 138,962,170                     |
| 36.            | Less treasury stock, at cost:   |                                |                                 |
|                | 36.1 shares common (value included in Line 30 \$  |                                |                                 |
|                | 36.2 shares preferred (value included in Line 31 \$   |                                |                                 |
|                | Surplus as regards policyholders (Lines 29 to 35, less 36)                                  |                                | 138,962,170                     |
|                | Totals (Page 2, Line 28, Col. 3)  | 162,674,668                    | 166,964,369                     |
| 00.            | DETAILS OF WRITE-INS  | 102,011,000                    | 100,001,000                     |
| 0504           |   |                                | 0                               |
|                | Unearned Finance Charge   |                                |                                 |
| 2502.          | Premium Deficiency Reserve  |                                | 0                               |
|                | Losses Payable  |                                | 15,876                          |
| 2598.          | Summary of remaining write-ins for Line 25 from overflow page                               |                                | 0                               |
| 2599.          | Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)                                   | 0                              | 15,876                          |
| 2901.          |   |                                |                                 |
| 2902.          |   |                                |                                 |
| 2903.          |   |                                |                                 |
| 2998.          | Summary of remaining write-ins for Line 29 from overflow page                               | 0                              | 0                               |
| 2999.          | Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)                                   | 0                              | 0                               |
| 3201.          |   |                                |                                 |
| 3202.          |   |                                |                                 |
|                |   |                                |                                 |
| 3303           |   |                                |                                 |
| 3203.<br>3298. | Summary of remaining write-ins for Line 32 from overflow page                               |                                | 0                               |

# **STATEMENT OF INCOME**

|                |  | 1<br>Current                            | 2<br>Prior Year | 3<br>Prior Year Ended |
|----------------|--|---|-----------------|-----------------------|
|                |  | Year to Date                            | to Date         | December 31           |
| 1              | UNDERWRITING INCOME Premiums earned:   |   |                 |                       |
|                | 1.1 Direct (written \$   | 1,823,267                               | 1,528,135       | 2,016,966             |
|                | 1.2 Assumed (written \$ )  |   |                 |                       |
|                | 1.3 Ceded (written \$  |   |                 |                       |
|                | DEDUCTIONS:  | 1,020,207                               | 1,020,100       | 2,010,000             |
| 2.             | Losses incurred (current accident year \$1,707,798 ):  |   |                 |                       |
|                | 2.1 Direct   |   |                 |                       |
|                | 2.2 Assumed 2.3 Ceded  |   |                 |                       |
|                | 2.4 Net  | 2,299,826                               | (326,596)       | (1,340,558)           |
| 3.             | Loss adjustment expenses incurred  |   | 98,707          | 1,269,638             |
| 4.<br>5.       | Other underwriting expenses incurred   |   | 1,466,725<br>0  |                       |
| 6.             | Total underwriting deductions (Lines 2 through 5)  | 3,702,965                               | 1,238,836       | 1,765,185             |
| 7.             | Net income of protected cells  | (4, 270, 200)                           | 200 200         | 25.4 70.4             |
| 8.             | Net underwriting gain or (loss) (Line 1 minus Line 6 + Line 7)   | (1,879,698)                             | 289,299         | 251,781               |
| 9.             | Net investment income earned   | 3.098.692                               | 3,096,715       | 4.175.370             |
| 10.            | Net realized capital gains (losses) less capital gains tax of \$                                       | 231,166                                 | 1,540,025       | 2,688,660             |
| 11.            | Net investment gain (loss) (Lines 9 + 10)  | 3,329,858                               | 4,636,740       | 6,864,030             |
| 12.            | OTHER INCOME  Net gain or (loss) from agents' or premium balances charged off (amount recovered        |   |                 |                       |
| 12.            | \$   | 0                                       | 0               | 0                     |
| 13.            | Finance and service charges not included in premiums   | 19,230                                  | 19,760          | 22,696                |
| 14.            | Aggregate write-ins for miscellaneous income   |   | (564,020)       |                       |
| 15.<br>16.     | Total other income (Lines 12 through 14)   | (580,870)                               | (544,260)       | (541,324)             |
| 17.            | and foreign income taxes (Lines 8 + 11 + 15)  Dividends to policyholders                               | 869,290                                 | 4,381,779       | 6,574,487             |
| 18.            | Net income, after dividends to policyholders, after capital gains tax and before all other federal and | 869,290                                 | 4,381,779       | 6,574,487             |
| 19.            | foreign income taxes (Line 16 minus Line 17)   | 168,648                                 | 372,023         | 579,902               |
| 20.            | Net income (Line 18 minus Line 19)(to Line 22)   | 700,642                                 | 4,009,756       | 5,994,585             |
|                | CAPITAL AND SURPLUS ACCOUNT  | 100,000,170                             | 404 050 004     | 101 050 001           |
| 21.<br>22.     | Surplus as regards policyholders, December 31 prior year   |   | 131,659,321     | 131,659,321           |
| 23.            | Net transfers (to) from Protected Cell accounts  |   |                 |                       |
| 24.            | Change in net unrealized capital gains (losses) less capital gains tax of \$(1,463,860)                | (5,506,900)                             | 900,108         |                       |
| 25.            | Change in net unrealized foreign exchange capital gain (loss)  | 24,304                                  | (115.832)       |                       |
| 26.<br>27.     | Change in nonadmitted assets   | , | (113,002)       | , ,                   |
| 28.            | Change in provision for reinsurance  |   |                 | 0                     |
| 29.            | Change in surplus notes  |   |                 |                       |
| 30.<br>31.     | Surplus (contributed to) withdrawn from protected cells  |   |                 |                       |
| 32.            | Capital changes:   |   |                 |                       |
|                | 32.1 Paid in   |   |                 |                       |
|                | 32.2 Transferred from surplus (Stock Dividend)   |   |                 |                       |
| 33.            | Surplus adjustments:   |   |                 |                       |
|                | 33.1 Paid in   | 0                                       | 0               | 0                     |
|                | 33.2 Transferred to capital (Stock Dividend)   |   |                 |                       |
| 34.            | 33.3 Transferred from capital  |   |                 |                       |
| 35.            | Dividends to stockholders  |   |                 |                       |
| 36.            | Change in treasury stock   |   |                 | 0                     |
| 37.<br>38.     | Aggregate write-ins for gains and losses in surplus  | (4,781,954)                             | 0<br>4,794,032  | 7,302,849             |
| 39.            | Surplus as regards policyholders, as of statement date (Lines 21 plus 38)                              | 134,180,216                             | 136,453,353     | 138,962,170           |
|                | DETAILS OF WRITE-INS   |   |                 |                       |
| 0501.          | Change in Premium Deficiency Reserve   |   | 0               | 0                     |
| 0502.<br>0503. |  |   |                 |                       |
| 0598.          | Summary of remaining write-ins for Line 5 from overflow page   |   | 0               | 0                     |
| 0599.          | Totals (Lines 0501 through 0503 plus 0598)(Line 5 above)   | 0                                       | 0               | 0                     |
| 1401.<br>1402. | Gain or loss on retroactive reinsurance  | (600,100)                               |                 | (564,020)             |
| 1402.<br>1403. |  |   |                 |                       |
| 1498.          | Summary of remaining write-ins for Line 14 from overflow page  | 0                                       | 0               | 0                     |
| 1499.          | Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)  | (600,100)                               | (564,020)       | (564,020)             |
| 3701.<br>3702. |  |   |                 |                       |
| 3702.          |  |   |                 |                       |
| 3798.          | Summary of remaining write-ins for Line 37 from overflow page  |   | 0               | 0                     |
| 3799.          | Totals (Lines 3701 through 3703 plus 3798)(Line 37 above)  | 0                                       | 0               | 0                     |

|         | CASH FLOW  |                              |                            |                                      |
|---------|--|------------------------------|----------------------------|--------------------------------------|
|         |  | 1<br>Current Year<br>To Date | 2<br>Prior Year<br>To Date | 3<br>Prior Year Ended<br>December 31 |
|         | Cash from Operations   |                              |                            |                                      |
| 1.      | Premiums collected net of reinsurance  | 1,779,548                    | 1,425,899                  | 2,102,433                            |
| 2.      | Net investment income  | 3,552,524                    | 3,741,561                  | 4,852,509                            |
| 3.      | Miscellaneous income   | (580,319)                    | (675,260)                  | (682,481)                            |
| 4.      | Total (Lines 1 to 3)   | 4,751,753                    | 4,492,200                  | 6,272,461                            |
| 5.      | Benefit and loss related payments  | 133, 191                     | 714,122                    | 1,264,273                            |
| 6.      | Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts                            |                              |                            | 0                                    |
| 7.      | Commissions, expenses paid and aggregate write-ins for deductions  |                              | 1,402,620                  | 2,321,126                            |
| 8.      | Dividends paid to policyholders  |                              |                            | 0                                    |
| 9.      | Federal and foreign income taxes paid (recovered) net of \$ tax on capital                                     |                              |                            |                                      |
|         | gains (losses)   | 1,020,000                    | 1,320,001                  | 1,475,000                            |
| 10.     | Total (Lines 5 through 9)  | 2,971,312                    | 3,436,743                  | 5,060,399                            |
| 11.     | Net cash from operations (Line 4 minus Line 10)  | 1,780,441                    | 1,055,457                  | 1,212,062                            |
| • • • • | 101 000 100 000 000 000 000 000 000 000  | .,,,                         | 1,000,101                  | 1,212,002                            |
|         | Cash from Investments  |                              |                            |                                      |
| 12.     | Proceeds from investments sold, matured or repaid:   |                              |                            |                                      |
|         | 12.1 Bonds   | 12,964,818                   | 11,248,791                 | 15,818,884                           |
|         | 12.2 Stocks  | 0                            | 0                          | 0                                    |
|         | 12.3 Mortgage loans  | 0                            | 0                          | 0                                    |
|         | 12.4 Real estate   |                              | 0                          | 0                                    |
|         | 12.5 Other invested assets   |                              |                            | 0                                    |
|         | 12.6 Net gains or (losses) on cash, cash equivalents and short-term investments                                |                              |                            | 0                                    |
|         | 12.7 Miscellaneous proceeds  | 0                            | 0                          | 0                                    |
|         | 12.8 Total investment proceeds (Lines 12.1 to 12.7)  | 12.964.818                   | 11.248.791                 | 15.818.884                           |
| 13.     | Cost of investments acquired (long-term only):   | , ,                          | , , .                      | ,                                    |
|         | 13.1 Bonds   | 12 727 388                   | 10 944 464                 | 17 502 312                           |
|         | 13.2 Stocks  |                              |                            |                                      |
|         | 13.3 Mortgage loans  |                              |                            | 0                                    |
|         | 13.4 Real estate   | 0                            | 0                          | 0                                    |
|         | 13.5 Other invested assets   | 0                            | 0                          | 0                                    |
|         | 13.6 Miscellaneous applications  | 2,284                        | 1,222,055                  | 0                                    |
|         | 13.7 Total investments acquired (Lines 13.1 to 13.6)   | 12,729,672                   | 12,166,519                 | 17,502,312                           |
| 14.     | Net increase (or decrease) in contract loans and premium notes   | 0                            | 0                          | 0                                    |
| 15.     | Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)  | 235,146                      | (917,728)                  | (1,683,428)                          |
| 10.     | Net dash nom investments (Line 12.5 minus Line 15.7 and Line 14)   | 200, 140                     | (017,720)                  | (1,000,420)                          |
|         | Cash from Financing and Miscellaneous Sources  |                              |                            |                                      |
| 16.     | Cash provided (applied):   |                              |                            |                                      |
|         | 16.1 Surplus notes, capital notes  | 0                            | 0                          | 0                                    |
|         | 16.2 Capital and paid in surplus, less treasury stock  | 0                            | 0                          | 0                                    |
|         | 16.3 Borrowed funds  | 0                            | 0                          | 0                                    |
|         | 16.4 Net deposits on deposit-type contracts and other insurance liabilities                                    | 0                            | 0                          | 0                                    |
|         | 16.5 Dividends to stockholders   | 0                            | 0                          | 0                                    |
|         | 16.6 Other cash provided (applied)   | 0                            | 0                          | 0                                    |
| 17.     | Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) | 0                            | 0                          | 0                                    |
|         |  |                              |                            |                                      |
|         | RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS  |                              |                            |                                      |
| 18.     | Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)                | 2,015,587                    | 137,729                    | (471,366)                            |
| 19.     | Cash, cash equivalents and short-term investments:   |                              |                            |                                      |
|         | 19.1 Beginning of year   | 599,293                      | 1,070,659                  | 1,070,659                            |
|         | 19.2 End of period (Line 18 plus Line 19.1)  | 2,614,880                    | 1,208,388                  | 599,293                              |

| Note: Supplemental disclosures of cash flow information for non-cash transactions: |  |  |  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|--|--|
|  |  |  |  |  |  |  |  |  |  |  |

#### NOTES TO FINANCIAL STATEMENTS

#### Note 1 - Summary of Significant Accounting Policies and Going Concern

#### A. Accounting Practices

Medical Malpractice Joint Underwriting Association of Rhode Island ("the MMJUA") is a joint underwriting association created by the state of Rhode Island. The MMJUA was originally created in accordance with RI Gen. Law 42-35-3 by the adoption of Regulation 230-RICR-20-10-1 (formerly Insurance Regulation 21) by the Department of Business Regulation (the "Department") effective June 16, 1975. Subsequently, legislation was enacted which authorized the Department to promulgate regulations relating to medical malpractice insurance and validated Regulation 230-RICR-20-10-1. It was the intent of the Department that the MMJUA provides a continuing stable facility for medical malpractice insurance. Under the original plan of operation (approved June 25, 1975) and as amended and approved by the department on November 10, 2003, the MMJUA was created to provide medical malpractice insurance for physicians, hospitals and other health care providers, on a self-supporting basis.

The MMJUA is authorized to issue medical malpractice policies on a "claims made" or "occurrence" basis with limits not to exceed \$1,000,000 for each medical incident under one policy and in the aggregate of \$3,000,000 under one policy in any one year. The MMJUA is also authorized to underwrite incidental coverage's for any health care provider that is also covered by the MMJUA's medical malpractice, with limits of \$1,000,000 per incident and \$1,000,000 aggregate under a one year policy. Additionally, the MMJUA is authorized to provide Commercial General Liability coverage to the health care providers with limits of \$1,000,000 per incident and \$2,000,000 aggregate under a one year policy. All policies are on an annual basis and shall be subject to the Group Retrospective Rating Plan and Stabilization Reserve Fund as authorized by Regulation 230-RICR-20-10-1.

The Group Retrospective Rating Plan and stabilization reserve fund are described under Note 24.

|  | SSAP     | F/S  | F/S    |    |             |                   |
|--|----------|------|--------|----|-------------|-------------------|
|  | #        | Page | Line # |    | 2022        | 2021              |
| NET INCOME   | <u>.</u> | •    |        | •  |             |                   |
| (1) The Company state basis  |          |      |        |    |             |                   |
| (Page 4, Line 20, Columns 1 & 3)   | XXX      | XXX  | XXX    | \$ | 700,642     | \$<br>5,994,585   |
| (2) State Prescribed Practice that are an increase/(decrease) from NAIC  | SAP      |      |        |    |             |                   |
|  |          |      |        | \$ |             | \$                |
| (3) State Permitted Practice that are an increase/(decrease) from NAIC S | SAP      |      |        |    |             |                   |
|  |          |      |        | \$ |             | \$                |
| (4) NAIC SAP (1-2-3=4)   | XXX      | XXX  | XXX    | \$ | 700,642     | \$<br>5,994,585   |
| SURPLUS  |          |      |        |    |             |                   |
| (5) The Company state basis  |          |      |        |    |             |                   |
| (Page 3, line 37, Columns 1 & 2)   | XXX      | XXX  | XXX    | \$ | 134,180,216 | \$<br>138,962,170 |
| (6) State Prescribed Practice that are an increase/(decrease) from NAIC  | SAP      | •    |        |    |             |                   |
|  |          |      |        | \$ |             | \$                |
| (7) State Permitted Practice that are an increase/(decrease) from NAIC S | SAP      |      |        |    |             |                   |
|  |          |      |        | \$ | •           | \$<br>•           |
| (8) NAIC SAP (5 – 6 – 7 = 8)   | XXX      | XXX  | XXX    | \$ | 134,180,216 | \$<br>138,962,170 |

The accompanying financial statements of the MMJUA have been prepared in conformity with accounting practices prescribed or permitted by the Department. Prescribed accounting practices include state laws, regulations and general administrative rules applicable to insurance companies domiciled in the State of Rhode Island; National Association of Insurance Commissioners' ("NAIC") Annual Statement Instructions; the NAIC Accounting Practices and Procedures Manual; the Purposes and Procedures and Securities Valuation Manuals of the NAIC Securities Valuation Office; NAIC official proceedings; and the NAIC Examiner's and Market Conduct Handbooks. Permitted statutory accounting practices encompass all accounting practices not so prescribed.

The Department requires insurance companies domiciled in the State of Rhode Island to prepare their statutory financial statements in accordance with the NAIC Accounting Practices and Procedures Manual and subject to Rhode Island Department of Business Regulation Gen. Law 42-35-3.

B. Use of Estimates in the Preparation of the Financial Statement

The preparation of financial statements in accordance with statutory accounting practices requires management to make estimates and assumptions that affect the reported amounts of admitted assets and liabilities and the disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

C. Accounting Policy

The Company uses the following accounting policies:

(1) Basis for Short-Term Investments

Short-term investments are stated at amortized cost.

(2) Basis for Bonds, Mandatory Convertible Securities, SVO-Identified Investments and Amortization Method

Bonds generally are stated at amortized cost, except for bonds that are rated by the NAIC as a class 3 - 6 which are reported at the lower of amortized cost or fair market value. Amortization is calculated using the scientific constant yield to worst method.

(3) Basis for Common Stocks

The MMJUA holds no investments in common stocks.

(4) Basis for Preferred Stocks

The MMJUA holds no investments in preferred stocks.

(5) Basis for Mortgage Loans

The MMJUA holds no investments in first lien mortgage loans on real estate.

(6) Basis for Loan-Backed Securities and Adjustment Methodology

Investment grade loan-backed securities are stated at amortized value. The retrospective adjustment method is used to value all loan-backed securities. Non-investment grade loan-backed securities are stated at the lower of amortized value or fair value.

# STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Medical Malpractice Joint Underwriting Association of Rhode Island **NOTES TO FINANCIAL STATEMENTS**

(7) Accounting Policies for Investments in Subsidiaries, Controlled and Affiliated Entities

The MMJUA has no subsidiaries.

(8) Accounting Policies for Investments in Joint Ventures, Partnerships and Limited Liability Entities

The MMJUA holds no interest in joint ventures or partnerships.

(9) Accounting Policies for Derivatives

The MMJUA does not invest in derivative instruments.

(10) Anticipated Investment Income Used in Premium Deficiency Calculation

The MMJUA does not have a premium deficiency reserve.

(11) Management's Policies and Methodologies for Estimating Liabilities for Losses and Loss/Claim Adjustment Expenses

The reserve for unpaid losses and loss adjustment expenses represents the estimated unpaid ultimate liability for claims reported to the MMJUA plus claims incurred but not yet reported and the related estimated loss adjustment expenses. In establishing this reserve, the MMJUA utilizes the findings of an independent consulting actuary. The reserves for unpaid losses and loss adjustment expenses are estimated using individual case basis valuations and statistical analyses. Those estimates are subject to the effects of trends in loss severity and frequency.

(12) Changes in the Capitalization Policy and Predefined Thresholds from Prior Period

The MMJUA does not have a capitalization policy.

(13) Method Used to Estimate Pharmaceutical Rebate Receivables

Not applicable as the MMJUA does not write major medical insurance with prescription drug coverage.

D. Going Concern

None

#### Note 2 - Accounting Changes and Corrections of Errors

No significant changes

Note 3 - Business Combinations and Goodwill

Not applicable

#### Note 4 - Discontinued Operations

Not applicable

#### Note 5 - Investments

No significant changes

#### Note 6 - Joint Ventures, Partnerships and Limited Liability Companies

Not applicable

#### Note 7 - Investment Income

A. The bases, by category of investment income, for excluding (nonadmitting) and investment income due and accrued:

The company does not admit investment income due and accrued if amounts are over 90 days past due (180 days for mortgage loans).

B. The total amount excluded:

Not applicable

#### Note 8 - Derivative Instruments

Not applicable

#### Note 9 – Income Taxes

No significant changes

#### Note 10 - Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

Not applicable

#### Note 11 – Debt

Not applicable

#### Note 12 - Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

Not applicable

#### NOTES TO FINANCIAL STATEMENTS

Note 13 - Capital and Surplus, Shareholder's Dividend Restrictions and Quasi-Reorganizations

Not applicable

Note 14 - Liabilities, Contingencies and Assessments

No significant changes

Note 15 - Leases

Not applicable

Note 16 - Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

Not applicable

Note 17 - Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

Not applicable

Note 18 - Gain or Loss to the Reporting Entity from Uninsured Plans and the Portion of Partially Insured Plans

Not applicable

#### Note 19 – Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

| Name and Address of       |            |           |  | Types of        | Total Direct Premiums |
|---------------------------|------------|-----------|--|-----------------|-----------------------|
| Managing General Agent or | FEIN       | Exclusive |  | Authority       | Written/              |
| Third Party Administrator | Number     | Contract  | Types of Business Written              | Granted         | Produced By           |
|                           |            |           |  | C, CA, R, B, P, |                       |
| Brown & Brown             | 95-3679538 | YES       | Medical Malpractice, General Liability | U               | \$ 1,800,628          |
|                           |            |           |  |                 |                       |
| Total                     | XXX        | XXX       | XXX                                    | XXX             | \$ 1,800,628          |

#### Note 20 - Fair Value Measurements

#### A. Fair Value Measurements

(1) Fair Value Measurements at Reporting Date

The Company has categorized its assets and liabilities that are measured at fair value into the three-level fair value hierarchy. The three-level fair value hierarchy is based on the degree of subjective inherent in the valuation method by which fair value was determined. The three levels are defined as follows.

Level 1- Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Association has the ability to access.

Level 2 – Inputs to the valuation methodology include:

- Quoted process for similar assets or liabilities in active markets;
- Quoted prices for identical or similar assets or liabilities in inactive markets:
- Inputs other than quoted prices that are observable for the asset or liability; or
- Inputs that are derived principally from or corroborated by observable market data by correlation or other means.

Level 3 – Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

At the end of each reporting period, the Company evaluates whether or not any event has occurred or circumstances have changed that would cause an instrument to be transferred between Levels 1 and 2. This policy also applies to transfers into or out of Level 3 as stated in paragraph 3 below.

(2) Fair Value Measurements in (Level 3) of the Fair Value Hierarchy

The Company has no assets or liabilities measured at fair value in the Level 3 category.

(3) Policies when Transfers Between Levels are Recognized

At the end of each reporting period, the Company evaluates whether or not any event has occurred or circumstances have changed that would cause an instrument to be transferred into or out of Level 3. During the current year, no transfers into or out of Level 3 were required.

(4) Description of Valuation Techniques and Inputs Used in Fair Value Measurement

The Company measures items at Level 2 on a recurring basis. The estimated fair values of some of these items were determined by independent pricing services using observable inputs. Others were based on quotes from markets which were not considered actively traded.

(5) Fair Value Disclosures

Not applicable

B. Fair Value Reporting under SSAP 100 and Other Accounting Pronouncements

Not applicable

#### C. Fair Value Level

The table below reflects the fair values and admitted values of all admitted assets and liabilities that are financial instruments. The fair values are also categorized into the three-level fair value hierarchy as described above in Note 20A.

| Type of Financial Instrument | Ą  | ggregate Fair<br>Value | dmitted Assets   | (Level 1)        | (Level 2)        | (Level 3) | Net Asset Value<br>(NAV) | Not Practicable (Carrying Value) |
|------------------------------|----|------------------------|------------------|------------------|------------------|-----------|--------------------------|----------------------------------|
| Bonds                        | \$ | 78,491,823             | \$<br>86,945,838 | \$<br>-          | \$<br>78,491,823 | \$        | \$                       | \$                               |
| Cash, cash equivalents and   |    |                        |                  |                  |                  |           |                          |                                  |
| short-term investments       | \$ | 2,614,880              | \$<br>2,614,880  | \$<br>2,614,880  | \$<br>-          | \$        | \$                       | \$                               |
| Other Invested Assets        | \$ | 70,461,771             | \$<br>70,947,043 | \$<br>19,705,932 | \$<br>50,755,839 | \$        | \$                       | \$                               |

D. Not Practicable to Estimate Fair Value

Not applicable

E. NAV Practical Expedient Investments

Not applicable

#### Note 21 - Other Items

A. Unusual or Infrequent Items

Not applicable

B. Troubled Debt Restructuring Debtors

Not applicable

C. Other Disclosures

In December 2019, a novel strain of coronavirus surfaced in Wuhan, China, and has spread around the world, with resulting business and social disruption. The coronavirus was declared a Public Health Emergency of International Concern by the World Health Organization on January 30, 2020. The operations and business results of the Company could be materially adversely affected. The extent to which the coronavirus (or any other disease or epidemic) impacts business activity or investment results will depend on future developments, which are highly uncertain and cannot be predicted, including new information which may emerge concerning the severity of the coronavirus and the actions required to contain the coronavirus or treat its impact, among others.

D. Business Interruption Insurance Recoveries

Not applicable

E. State Transferable and Non-transferable Tax Credits

Not applicable

F. Subprime-Mortgage-Related Risk Exposure

Not applicable

G. Insurance-Linked Securities (ILS) Contracts

Not applicable

H. The Amount That Could Be Realized on Life Insurance Where the Reporting Entity is Owner and Beneficiary or Has Otherwise Obtained Rights to Control the Policy

Not applicable

#### Note 22 - Events Subsequent

Subsequent events have been considered through November 14, 2022 for these statutory financial statements which are to be issued on November 15, 2022.

#### Note 23 - Reinsurance

No significant changes

#### Note 24 - Retrospectively Rated Contracts and Contracts Subject to Redetermination

No significant changes

#### Note 25 – Change in Incurred Losses and Loss Adjustment Expenses

A. Change in Incurred Losses and Loss Adjustment Expenses

There was favorable development of \$454,000 attributable to insured events of prior years. The reason for the favorable change relates to claims settling for less than originally expected.

B. Information about Significant Changes in Methodologies and Assumptions

There have been no significant changes in methodologies and assumptions used in calculating the liability for unpaid losses and loss adjustment expenses.

# STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Medical Malpractice Joint Underwriting Association of Rhode Island **NOTES TO FINANCIAL STATEMENTS**

| Note 26 – Intercompany Pooling Arrangements                          |
|--|
| Not applicable   |
| Note 27 – Structured Settlements                                     |
| No significant changes   |
| Note 28 – Health Care Receivables                                    |
| Not applicable   |
| Note 29 – Participating Policies                                     |
| Not applicable   |
| Note 30 – Premium Deficiency Reserves                                |
| Not applicable   |
| Note 31 – High Deductibles   |
| Not applicable   |
| Note 32 – Discounting of Liabilities for Unpaid Losses or Unpaid LAE |
| Not applicable   |
| Note 33 – Asbestos/Environmental Reserves                            |
| Not applicable   |
| Note 34 – Subscriber Savings Accounts                                |
| Not applicable   |
| Note 35 – Multiple Peril Crop Insurance                              |
| Not applicable   |
| Note 36 – Financial Guaranty Insurance                               |
| Not applicable   |
|  |

## **GENERAL INTERROGATORIES**

#### PART 1 - COMMON INTERROGATORIES

#### **GENERAL**

| 1.1        | Did the reporting entity experience any material transactions requiring the find Domicile, as required by the Model Act?  |  |  | Yes           | [ ]               | No     | [ X ] |  |
|------------|---|--|--|---------------|-------------------|--------|-------|--|
| 1.2        | If yes, has the report been filed with the domiciliary state?   | Yes  | [ ]  | No            | [ ]               |        |       |  |
| 2.1        | Has any change been made during the year of this statement in the charter reporting entity?   | Yes  | [ ]  | No            | [ X ]             |        |       |  |
| 2.2        | If yes, date of change:   |  |  |               |                   |        |       |  |
| 3.1        | Is the reporting entity a member of an Insurance Holding Company System is an insurer?  If yes, complete Schedule Y, Parts 1 and 1A.  |  | [ ]  | No            | [ X ]             |        |       |  |
| 3.2        | Have there been any substantial changes in the organizational chart since   | Yes  | [ ]  | No            | [ X ]             |        |       |  |
| 3.3        | If the response to 3.2 is yes, provide a brief description of those changes.  |  |  |               |                   |        |       |  |
| 3.4        | Is the reporting entity publicly traded or a member of a publicly traded group  |  |  | No            | [ X ]             |        |       |  |
| 3.5        | If the response to 3.4 is yes, provide the CIK (Central Index Key) code issu  |  |  |               |                   |        |       |  |
| 4.1        | Has the reporting entity been a party to a merger or consolidation during the   | e period covered by this statement?                                      |  | Yes           | [ ]               | No     | [ X ] |  |
| 4.2        | If yes, provide the name of the entity, NAIC Company Code, and state of doceased to exist as a result of the merger or consolidation.   |  |  |               |                   |        |       |  |
|            | 1<br>Name of Entity   | 2<br>NAIC Company Code   | 3<br>State of Domicile                                 |               |                   |        |       |  |
| 5.         | If the reporting entity is subject to a management agreement, including thir in-fact, or similar agreement, have there been any significant changes regal fyes, attach an explanation.  | d-party administrator(s), managing garding the terms of the agreement or | eneral agent(s), attorney-<br>principals involved? Yes | :[X] N        | lo [              | ] N    | I/A [ |  |
| 6.1        | State as of what date the latest financial examination of the reporting entity  | was made or is being made  |  |               | 12/3              | 1/2019 | }     |  |
| 6.2        | State the as of date that the latest financial examination report became availate should be the date of the examined balance sheet and not the date the   |  |  |               | 12/3              | 1/2019 | )     |  |
| 6.3        | State as of what date the latest financial examination report became availa the reporting entity. This is the release date or completion date of the exam date).  | nination report and not the date of th                                   | e examination (balance sheet                           |               | 07/2 <sup>-</sup> | 1/2021 | 1     |  |
| 6.4<br>6.5 | By what department or departments? State of Rhode Island Department of Business Regulation, Insurance Divis Have all financial statement adjustments within the latest financial examina statement filed with Departments?      | ation report been accounted for in a                                     | subsequent financial                                   | ; [ X ] N     | lo [              | ] N    | I/A [ |  |
| 6.6        | Have all of the recommendations within the latest financial examination rep   | port been complied with?   | Yes  | [ X ] N       | lo [              | ] N    | /A [  |  |
| 7.1        | Has this reporting entity had any Certificates of Authority, licenses or regist revoked by any governmental entity during the reporting period?   | trations (including corporate registrat                                  | ion, if applicable) suspended o                        | or<br>Yes     | [ ]               | No     | [ X ] |  |
| 7.2        | If yes, give full information:  |  |  |               |                   |        |       |  |
| 8.1        | Is the company a subsidiary of a bank holding company regulated by the Fo   | ederal Reserve Board?  |  | Yes           | [ ]               | No     | [ X ] |  |
| 8.2        | If response to 8.1 is yes, please identify the name of the bank holding comp  | pany.  |  |               |                   |        |       |  |
| 8.3        | Is the company affiliated with one or more banks, thrifts or securities firms?  | ?  |  | Yes           | [ ]               | No     | [ X ] |  |
| 8.4        | If response to 8.3 is yes, please provide below the names and location (city regulatory services agency [i.e. the Federal Reserve Board (FRB), the Offic Insurance Corporation (FDIC) and the Securities Exchange Commission (S | ce of the Comptroller of the Currency                                    | (OCC), the Federal Deposit                             | d             |                   |        |       |  |
|            | 1<br>Affiliate Name   | 2<br>Location (City, State)  | 3 4<br>FRB OCC F                                       | 5 6<br>DIC SE |                   |        |       |  |
|            |   |  | <del>   </del>   |               |                   |        |       |  |

# **GENERAL INTERROGATORIES**

| 9.1          | Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?  (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  (c) Compliance with applicable governmental laws, rules and regulations; |      | Yes [ X | . ] No        | [ ]     |
|--------------|---|------|---------|---------------|---------|
|              | (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and   |      |         |               |         |
|              | (e) Accountability for adherence to the code.   |      |         |               |         |
| 9.11         | If the response to 9.1 is No, please explain:   |      |         |               |         |
| 9.2          | Has the code of ethics for senior managers been amended?  |      | Yes [   | ] No          | [ X ]   |
| 9.21         | If the response to 9.2 is Yes, provide information related to amendment(s).   |      |         |               |         |
| 9.3<br>9.31  | Have any provisions of the code of ethics been waived for any of the specified officers?  If the response to 9.3 is Yes, provide the nature of any waiver(s).   |      | Yes [   | ] No          | [ X ]   |
|              | FINANCIAL   |      |         |               |         |
| 10.1         | Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?   |      |         |               |         |
| 10.2         | If yes, indicate any amounts receivable from parent included in the Page 2 amount:  | \$   |         |               |         |
|              | INVESTMENT  |      |         |               |         |
| 11.1         | Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available   |      | V [     | 1 N.          | r v 1   |
| 11.2         | use by another person? (Exclude securities under securities lending agreements.)  If yes, give full and complete information relating thereto:  |      | Yes [   | ] NO          | ) [ X ] |
| 12.          | Amount of real estate and mortgages held in other invested assets in Schedule BA:   | \$   |         |               |         |
| 13.          | Amount of real estate and mortgages held in short-term investments:   |      |         |               |         |
| 14.1<br>14.2 | Does the reporting entity have any investments in parent, subsidiaries and affiliates?  |      | Yes [   |               |         |
|              | 1<br>Prior Year-End   |      | Cı      | 2<br>urrant ( | Quarter |
|              | Rook/Adjusted   |      |         |               | ljusted |
|              | Carrying Value  | _    |         |               | Value   |
|              | Bonds \$  |      |         |               |         |
|              | Preferred Stock \$  |      |         |               |         |
|              | Common Stock \$   |      |         |               |         |
|              | Short-Term Investments \$   |      |         |               |         |
|              | All Other \$\\$\\$\$  |      |         |               |         |
|              | Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)   |      |         |               | 0       |
|              | Total Investment in Parent included in Lines 14.21 to 14.26 above   |      |         |               | 0       |
| 15.1         | Has the reporting entity entered into any hedging transactions reported on Schedule DB?   |      | Yes [   | 1 No          | 1 X 1   |
| 15.2         | If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?  | es [ | ] No [  | . 1           | N/A [X] |
| 16.          | For the reporting entity's security lending program, state the amount of the following as of the current statement date:  |      |         |               |         |
|              | 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.   |      |         |               |         |
|              | 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2  |      |         |               |         |
|              | 16.3 Total payable for securities lending reported on the liability page.   | \$   |         |               | 0       |

## **GENERAL INTERROGATORIES**

| 17.<br>17.1  | Excluding items in Schedule E - Part 3 - offices, vaults or safety deposit boxes, w custodial agreement with a qualified bar Outsourcing of Critical Functions, Custo For all agreements that comply with the   | vere all stocks, bonds and other se<br>lk or trust company in accordance<br>dial or Safekeeping Agreements o   | ecurities, owne<br>e with Section<br>of the NAIC Fir                 | d throughout to<br>1, III - General<br>nancial Condition                 | he current year<br>Examination Co<br>on Examiners H | held pursuant to a onsiderations, F. andbook? | Yes | [ ]                     | No [ ]                   | Х ] |
|--------------|---|--|--|--|---|---|-----|-------------------------|--------------------------|-----|
|              | 1   | - P(-)   |  |  | 2   |   |     |                         |                          |     |
|              | The Washington Trust Company  | odian(s)   | 23 Broad Str   | eet, Westerly,   | RI 02891  | ess   |     |                         |                          |     |
| 17.2         | For all agreements that do not comply w   |  |  |  |   |   |     |                         |                          |     |
|              | location and a complete explanation:  | 2  |  |  | 3   |   |     |                         |                          |     |
|              | Name(s)   | Location(s)  |  | (  | Complete Explar                                     | nation(s)                                     |     |                         |                          |     |
| 17.3<br>17.4 | Have there been any changes, including If yes, give full information relating there   |  | s) identified in   | 17.1 during the  | e current quarte                                    | r?  | Yes | [ ]                     | No [ X                   | ]   |
|              | 1<br>Old Custodian  | 2<br>New Custodian   | Date   | 3<br>of Change   |   | 4<br>Reason                                   |     |                         |                          |     |
| 17.5         | Investment management – Identify all in make investment decisions on behalf of such. ["that have access to the invest   | the reporting entity. For assets that  | at are manage<br>ties"]  | d internally by  |   |   |     |                         |                          |     |
|              | Name of Firm  |  | 2<br>Affilia   |  |   |   |     |                         |                          |     |
|              | Conning, Inc.   |  | U  |  |   |   |     |                         |                          |     |
|              | 17.5097 For those firms/individuals liste designated with a "U") manage   |  | o any firms/ind  | ividuals unaffil   |   |   | Yes | [ X ]                   | No [                     | ]   |
|              | 17.5098 For firms/individuals unaffiliated total assets under managemen   | d with the reporting entity (i.e. designt aggregate to more than 50% of t  | gnated with a<br>the reporting e                                     | "U") listed in th<br>ntity's invested                                    | ne table for Quest assets?                          | stion 17.5, does the                          | Yes | [ X ]                   | No [                     | ]   |
| 17.6         | For those firms or individuals listed in the table below.   | e table for 17.5 with an affiliation o   | code of "A" (aff   | îliated) or "U" (  | (unaffiliated), pro                                 | ovide the information for th                  | ne  |                         |                          |     |
|              | 1   | 2  |  |  | 3   | 4   |     |                         | 5<br>tment               | ]   |
|              | Central Registration<br>Depository Number   | Name of Firm or Individual   |  | Legal Entity I   | Identifier (LEI)                                    | Registered With                               |     | Manag<br>Agree<br>(IMA) | gement<br>ement<br>Filed |     |
|              | 107423 Conning, Inc   |  |  | 549300Z0G14KK  | 37BDV40   | SEC   |     | DS                      |                          |     |
| 18.1<br>18.2 | Have all the filing requirements of the Pull fino, list exceptions:   | urposes and Procedures Manual o  | of the NAIC Inv  | restment Analy   |   | followed?                                     | Yes | [ X ]                   | No [                     | ]   |
| 19.          | By self-designating 5GI securities, the re<br>a. Documentation necessary to perr<br>security is not available.<br>b. Issuer or obligor is current on all of<br>c. The insurer has an actual expectation of the reporting entity self-designated.  | nit a full credit analysis of the secu<br>contracted interest and principal pa<br>ation of ultimate payment of all cor   | urity does not of ayments.  ntracted intere                          | exist or an NAI<br>st and principa                                       | C CRP credit ra                                     | ting for an FE or PL                          | Yes | [ ]                     | No [ ]                   | X ] |
| 20.          | By self-designating PLGI securities, the a. The security was purchased prior b. The reporting entity is holding cap c. The NAIC Designation was derive on a current private letter rating he d. The reporting entity is not permitte Has the reporting entity self-designated   | to January 1, 2018.  ital commensurate with the NAIC of from the credit rating assigned beld by the insurer and available for to share this credit rating of the   | Designation re<br>by an NAIC CF<br>r examination I<br>PL security wi | eported for the<br>RP in its legal c<br>by state insurant<br>th the SVO. | security.<br>capacity as a NR<br>nce regulators.    | SRO which is shown                            | Yes | [ ]                     | No [ ]                   | Х]  |
| 21.          | By assigning FE to a Schedule BA non-FE fund:  a. The shares were purchased prior b. The reporting entity is holding cap c. The security had a public credit ra January 1, 2019. d. The fund only or predominantly ho e. The current reported NAIC Desigr in its legal capacity as an NRSRO f. The public credit rating(s) with ann Has the reporting entity assigned FE to see | to January 1, 2019. ital commensurate with the NAIC iting(s) with annual surveillance as olds bonds in its portfolio. nation was derived from the public and surveillance assigned by an National control of the public of the pub | Designation resigned by an I credit rating(s                         | eported for the<br>NAIC CRP in it<br>) with annual s<br>not lapsed.      | security. s legal capacity surveillance assi        | as an NRSRO prior to                          | Yes | [ ]                     | No [ ]                   | X ] |
|              |   |  |  |  |   |   |     |                         |                          |     |

## **GENERAL INTERROGATORIES**

#### PART 2 - PROPERTY & CASUALTY INTERROGATORIES

| 1.         | If the reporting If yes, attach a | =                        | ber of a pooling      | arrangement, di       | id the agreemen                     | t or the reportir  | ng entity's partic | ipation change?       | Ye                 | s [ ] No [    | ] N/A [ X ] |
|------------|-----------------------------------|--------------------------|-----------------------|-----------------------|-------------------------------------|--------------------|--------------------|-----------------------|--------------------|---------------|-------------|
| 2.         |                                   | loss that may oc         |                       |                       | rting entity and a of, reinsured?   |                    |                    |                       |                    | Yes [ ] N     | No [ X ]    |
| 3.1        | Have any of th                    | e reporting entit        | y's primary reins     | surance contract      | s been canceled                     | j?                 |                    |                       |                    | Yes [ ] N     | No [ X ]    |
| 3.2        | If yes, give full                 | and complete ir          | nformation there      | to.                   |                                     |                    |                    |                       |                    |               |             |
| 4.1<br>4.2 | (see Annual Si<br>interest greate | tatement Instruc         | tions pertaining      | to disclosure of      | expenses other<br>discounting for o | definition of " ta | bular reserves"    | ) discounted at       | a rate of          | Yes [ ] N     | lo [ X ]    |
|            |                                   |                          |                       |                       | TOTAL DIS                           |                    |                    |                       |                    | N DURING PERI |             |
| Line       | 1<br>of Business                  | 2<br>Maximum<br>Interest | 3<br>Discount<br>Rate | 4<br>Unpaid<br>Losses | 5<br>Unpaid<br>LAE                  | 6<br>IBNR          | 7<br>TOTAL         | 8<br>Unpaid<br>Losses | 9<br>Unpaid<br>LAE | 10<br>IBNR    | 11<br>TOTAL |
| LIIIC      | OI Dusiness                       | interest                 | TOTAL                 | 0                     | 0                                   | 0                  | 0                  | 0                     | 0                  | 0             | TOTAL (     |
| 5.         | 5.2 A&H cost of                   | percent                  | cent                  |                       | es                                  |                    |                    |                       |                    |               |             |
| 6.1        | Do you act as                     | a custodian for h        | health savings a      | ccounts?              |                                     |                    |                    |                       |                    | Yes [ ] N     | lo [ X ]    |
| 6.2        | If yes, please p                  | provide the amo          | unt of custodial      | funds held as of      | the reporting da                    | te                 |                    |                       | \$                 |               |             |
| 6.3        | Do you act as                     | an administrator         | r for health savir    | igs accounts?         |                                     |                    |                    |                       |                    | Yes [ ] N     | lo [ X ]    |
| 6.4        | If yes, please p                  | provide the balar        | nce of the funds      | administered as       | s of the reporting                  | date               |                    |                       | \$                 |               |             |
|            |                                   |                          |                       |                       |                                     |                    |                    |                       |                    |               |             |
| 7.         | Is the reporting                  | g entity licensed        | or chartered, re      | gistered, qualifie    | ed, eligible or wri                 |                    | n at least two st  | ates?                 |                    | Yes [ ] N     | lo [ X ]    |

|                           | Showing All New Reinsurers - Current Year to Date |                      |                              |                     |  |  |  |  |  |  |  |
|---------------------------|---|----------------------|------------------------------|---------------------|--|--|--|--|--|--|--|
| 1<br>NAIC<br>Company Code | 2<br>ID<br>Number                                 | 3  Name of Reinsurer | 4  Domiciliary  Jurisdiction | 5 Type of Reinsurer | 6 Certified Reinsurer Rating (1 through 6) | 7<br>Effective<br>Date of<br>Certified Reinsurer<br>Rating |  |  |  |  |  |
| Company Code              | Number  | Name of Nemsure      | Julisulction                 | Type of Reliisurer  | (Tilllough o)                              | Rating   |  |  |  |  |  |
|                           |   |                      |                              |                     |  |  |  |  |  |  |  |
|                           |   |                      |                              |                     |  |  |  |  |  |  |  |
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|                           | ••••••  |                      |                              |                     |  |  |  |  |  |  |  |
|                           | •••••   |                      |                              |                     |  |  |  |  |  |  |  |
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|                           |   |                      |                              |                     |  |  |  |  |  |  |  |
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|                           | •••••   |                      |                              |                     |  |  |  |  |  |  |  |
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|                           |   |                      |                              |                     |  |  |  |  |  |  |  |
|                           |   |                      |                              |                     |  |  |  |  |  |  |  |
|                           |   |                      |                              |                     |  |  |  |  |  |  |  |
|                           | •••••   |                      |                              |                     | •    | •  |  |  |  |  |  |
|                           |   |                      |                              |                     |  |  |  |  |  |  |  |
|                           |   |                      |                              |                     |  |  |  |  |  |  |  |
|                           |   |                      |                              |                     |  |  |  |  |  |  |  |
|                           |   |                      |                              |                     |  |  |  |  |  |  |  |
|                           |   |                      |                              |                     |  |  |  |  |  |  |  |
|                           |   |                      |                              |                     |  |  |  |  |  |  |  |
|                           | ·····   |                      |                              |                     |  |  |  |  |  |  |  |
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|                           |   |                      |                              |                     |  |  |  |  |  |  |  |
|                           |   |                      |                              |                     |  |  |  |  |  |  |  |
|                           |   |                      |                              |                     |  |  |  |  |  |  |  |
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|                           |   |                      |                              |                     |  |  |  |  |  |  |  |
|                           |   |                      |                              |                     |  |  |  |  |  |  |  |
|                           |   |                      | 1                            |                     |  |  |  |  |  |  |  |

#### **SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN**

Current Year to Date - Allocated by States and Territories

| ======================================= |                             | 1                | Direct Premi      |                 | by States and Terr<br>Direct Losses Paid | (Deducting Salvage) |                   | ses Unpaid      |
|---|-----------------------------|------------------|-------------------|-----------------|--|---------------------|-------------------|-----------------|
|   |                             | Active<br>Status | 2<br>Current Year | 3<br>Prior Year | 4<br>Current Year                        | 5<br>Prior Year     | 6<br>Current Year | 7<br>Prior Year |
|   | States, etc.                | (a)              | To Date           | To Date         | To Date                                  | To Date             | To Date           | To Date         |
|   | AlabamaA                    |                  |                   |                 |  |                     |                   |                 |
|   | AlaskaA                     |                  |                   |                 |  |                     |                   |                 |
|   | ArizonaA                    |                  |                   |                 |  |                     |                   |                 |
|   | ArkansasA                   |                  |                   |                 |  |                     |                   |                 |
|   | CaliforniaC                 |                  |                   |                 |  |                     |                   |                 |
|   | ColoradoC                   |                  |                   |                 |  |                     |                   |                 |
|   | Connecticut                 | TN               |                   |                 |  |                     |                   |                 |
|   | Delaware                    |                  |                   |                 |  |                     |                   |                 |
|   | District of Columbia        |                  |                   |                 |  |                     |                   |                 |
|   | FloridaF                    |                  |                   |                 |  |                     |                   |                 |
|   | Georgia                     |                  |                   |                 |  |                     |                   |                 |
|   | Hawaii                      |                  |                   |                 |  |                     |                   |                 |
|   | IdahoII                     |                  |                   |                 |  |                     |                   |                 |
|   | IllinoisIl                  |                  |                   |                 |  |                     |                   |                 |
|   | IndianaII                   |                  |                   |                 |  |                     |                   |                 |
|   | lowal/                      |                  |                   |                 |  |                     |                   |                 |
|   | Kansask                     |                  |                   |                 |  |                     |                   |                 |
|   | Kentuckyk                   |                  |                   |                 |  |                     |                   |                 |
|   | LouisianaL                  |                  |                   |                 |  |                     |                   |                 |
|   | Maine                       |                  |                   |                 |  |                     |                   |                 |
|   | Maryland                    |                  |                   |                 |  |                     |                   |                 |
|   | Massachusetts               |                  |                   |                 |  |                     |                   |                 |
|   | MichiganN                   |                  |                   |                 |  |                     |                   |                 |
|   | Minnesota                   |                  |                   |                 |  |                     |                   |                 |
|   | Mississippi                 |                  |                   |                 |  |                     |                   |                 |
|   | Missouri                    |                  |                   |                 |  |                     |                   |                 |
|   | Montana                     |                  |                   |                 |  |                     |                   |                 |
|   | NebraskaN                   |                  |                   |                 |  |                     |                   |                 |
|   | Nevada                      |                  |                   |                 |  |                     |                   |                 |
|   | New HampshireN              |                  |                   |                 |  |                     |                   |                 |
|   | New JerseyN                 |                  |                   |                 |  |                     |                   |                 |
| 32.                                     | New Mexico                  |                  |                   |                 |  |                     |                   |                 |
|   | New York                    |                  |                   |                 |  |                     |                   |                 |
| 34.                                     | North Carolina              | ICN              |                   |                 |  |                     |                   |                 |
| 35.                                     | North Dakota                | IDN              |                   |                 |  |                     |                   |                 |
|   | OhioC                       |                  |                   |                 |  |                     |                   |                 |
| 37.                                     | OklahomaC                   |                  |                   |                 |  |                     |                   |                 |
|   | Oregon                      |                  |                   |                 |  |                     |                   |                 |
| 39.                                     | PennsylvaniaF               | PAN              |                   |                 |  |                     |                   |                 |
|   | Rhode IslandF               |                  | 1,800,628         | 1,703,239       | 133, 191                                 | 1, 182,876          | 18,112,009        | 17,040,733      |
| 41.                                     | South CarolinaS             | CN               |                   |                 |  |                     |                   |                 |
| 42.                                     | South DakotaS               | SDN              |                   |                 |  |                     |                   |                 |
| 43.                                     | TennesseeT                  | NN               |                   |                 |  |                     |                   |                 |
| 44.                                     | TexasT                      | XN               |                   |                 |  |                     |                   |                 |
| 45.                                     | UtahL                       | JTN              |                   |                 |  |                     |                   |                 |
| 46.                                     | VermontV                    | /TΝ              |                   |                 |  |                     |                   |                 |
| 47.                                     | VirginiaV                   | /ΑN              |                   |                 |  |                     |                   |                 |
|   | WashingtonV                 |                  |                   |                 |  |                     |                   |                 |
|   | West VirginiaV              |                  |                   |                 |  |                     |                   |                 |
|   | WisconsinV                  |                  |                   |                 |  |                     |                   |                 |
|   | WyomingV                    |                  |                   |                 |  |                     |                   |                 |
|   | American Samoa              |                  |                   |                 |  |                     |                   |                 |
|   | Guam                        |                  |                   |                 |  |                     |                   |                 |
|   | Puerto RicoF                |                  |                   |                 |  |                     |                   |                 |
|   | U.S. Virgin IslandsV        |                  |                   |                 |  |                     |                   |                 |
|   | Northern Mariana<br>Islands |                  |                   |                 |  |                     |                   |                 |
| 57.                                     | CanadaC                     |                  |                   |                 |  |                     |                   |                 |
| 58.                                     | Aggregate Other Alien C     | TXXX             | 0                 | 0               | 0  | 0                   | 0                 | 0               |
|   | Totals                      | XXX              | 1,800,628         | 1,703,239       | 133, 191                                 | 1,182,876           | 18,112,009        | 17,040,733      |
|   | DETAILS OF WRITE-IN         |                  |                   |                 |  |                     |                   |                 |
|   | DETAILS OF WHITE IIV        |                  |                   |                 |  |                     |                   |                 |
|   |                             |                  |                   |                 |  |                     |                   |                 |
|   |                             |                  |                   |                 |  |                     |                   |                 |
|   | Summary of remaining        |                  |                   |                 |  |                     |                   |                 |
|   |                             | 1                | ı                 |                 |  |                     |                   |                 |
|   | write-ins for Line 58 from  | m                |                   |                 |  |                     |                   |                 |
|   |                             |                  | 0                 | 0               | 0  | 0                   | 0                 | 0               |
| 58998.                                  | write-ins for Line 58 from  | ugh              | 0                 | 0               | 0  | 0                   | 0                 | 0               |

| L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG1                          |  |  |  |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|--|--|--|
| E - Eligible - Reporting entities eligible or approved to write surplus lines in the state (other |  |  |  |  |  |  |  |  |  |  |
| than their state of domicile - see DSLI)0   |  |  |  |  |  |  |  |  |  |  |
|   |  |  |  |  |  |  |  |  |  |  |

D - Domestic Surplus Lines Insurer (DSLI) - Reporting entities authorized to write surplus lines in the state of domicile...

R - Registered - Non-domiciled RRGs..... Q - Qualified - Qualified or accredited reinsurer. ......0 N - None of the above - Not allowed to write business in the state .....

# SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 - ORGANIZATIONAL CHART

# Schedule Y - Part 1A - Detail of Insurance Holding Company System ${f N}$ ${f O}$ ${f N}$ ${f E}$

Schedule Y - Part 1A - Explanations NONE

## **PART 1 - LOSS EXPERIENCE**

|           |  | 1                         | Current Year to Date<br>2 | 3                         | 4<br>Prior Year to Date   |
|-----------|--|---------------------------|---------------------------|---------------------------|---------------------------|
|           | Line of Business   | Direct Premiums<br>Earned | Direct Losses<br>Incurred | Direct Loss<br>Percentage | Direct Loss<br>Percentage |
| 1.        | Fire   |                           |                           | 0.0                       | 0.(                       |
| 2.1       | Allied Lines   |                           |                           | 0.0                       |                           |
| 2.2       | Multiple peril crop  |                           |                           | 0.0                       |                           |
| 2.3       | Federal flood  |                           |                           | 0.0                       |                           |
| 2.4       | Private crop   |                           |                           | 0.0                       |                           |
| 2.5       | Private flood  |                           |                           | 0.0                       |                           |
| 3.        | Farmowners multiple peril  |                           |                           | 0.0                       | 0.                        |
| 4.        | Homeowners multiple peril  |                           |                           | 0.0                       | 0.                        |
| 5.        | Commercial multiple peril  |                           |                           | 0.0                       | 0.                        |
| 6.        | Mortgage guaranty  |                           |                           | 0.0                       | 0.                        |
| 8.        | Ocean marine   |                           |                           | 0.0                       | 0.                        |
| 9.        | Inland marine  |                           |                           | 0.0                       | 0.                        |
| 9.<br>10. |  |                           |                           | 0.0                       | 0.                        |
|           | Financial guaranty   |                           |                           | 69.3                      | (167.                     |
| 11.1      | Medical professional liability - occurrence  |                           |                           | 378.7                     |                           |
| 11.2      | Medical professional liability - claims-made   |                           | , ,                       | 0.0                       | 234 .                     |
| 12.       | Earthquake   |                           |                           |                           | 0.                        |
| 13.1      | Comprehensive (hospital and medical) individual  |                           |                           | 0.0                       |                           |
| 13.2      | Comprehensive (hospital and medical) group   |                           |                           | 0.0                       |                           |
| 14.       | Credit accident and health   |                           |                           | 0.0                       | 0.                        |
| 15.1      | Vision only  |                           |                           | 0.0                       |                           |
| 15.2      | Dental only  |                           |                           | 0.0                       |                           |
| 15.3      | Disablity income   |                           |                           | 0.0                       |                           |
| 15.4      | Medicare supplement  |                           |                           | 0.0                       |                           |
| 15.5      | Medicaid Title XIX   |                           |                           | 0.0                       |                           |
| 15.6      | Medicare Title XVIII   |                           |                           |                           |                           |
| 15.7      | Long-term care   |                           |                           |                           |                           |
| 15.8      | Federal employees health benefits plan   |                           |                           |                           |                           |
| 15.9      | Other health   |                           |                           | 0.0                       |                           |
| 16.       | Workers' compensation  |                           |                           | 0.0                       | 0.0                       |
| 17.1      | Other liability - occurrence   | 275,934                   | (53, 185)                 |                           | (16.4                     |
| 17.2      | Other liability - claims-made  |                           |                           | 0.0                       | 0.                        |
| 17.3      | Excess workers' compensation   |                           |                           | 0.0                       | 0.                        |
| 18.1      | Products liability - occurrence  |                           |                           | 0.0                       | 0.                        |
| 18.2      | Products liability - claims-made   |                           |                           | 0.0                       | 0.                        |
| 19.1      | Private passenger auto no-fault (personal injury protection)   |                           |                           |                           |                           |
| 19.2      | Other private passenger auto liability   |                           |                           | 0.0                       |                           |
| 19.3      | Commercial auto no-fault (personal injury protection)  |                           |                           | 0.0                       |                           |
| 19.4      | Other commercial auto liability  |                           |                           | 0.0                       |                           |
| 21.1      | Private passenger auto physical damage   |                           |                           | 0.0                       |                           |
| 21.2      | Commercial auto physical damage  |                           |                           | 0.0                       |                           |
| 22.       | Aircraft (all perils)  |                           |                           | 0.0                       | 0.                        |
| 23.       | Fidelity   |                           |                           | 0.0                       | 0.                        |
| 24.       | Surety   |                           |                           | 0.0                       | 0.                        |
| 26.       | Burglary and theft   |                           |                           | 0.0                       | 0.                        |
| 27.       | Boiler and machinery   |                           |                           | 0.0                       | 0.                        |
| 28.       | Credit   |                           |                           | 0.0                       | 0.                        |
| 29.       | International  |                           |                           |                           | 0.                        |
| 30.       | Warranty   |                           |                           | 0.0                       | 0.                        |
| 31.       | Reinsurance - Nonproportional Assumed Property   |                           |                           |                           |                           |
| 32.       | Reinsurance - Nonproportional Assumed Liability  |                           |                           |                           |                           |
| 33.       | Reinsurance - Nonproportional Assumed Financial Lines  |                           |                           |                           |                           |
| 34.       | Aggregate write-ins for other lines of business  | _                         | 0                         | 0.0                       | 0.                        |
| 35.       | Totals   | 1,823,267                 | 2,299,826                 | 126.1                     | (21.                      |
| 50.       | DETAILS OF WRITE-INS   | 1,020,201                 | 2,200,020                 | 120.1                     | \21.                      |
| 3401.     | DETAILS OF WRITE-INS   |                           |                           |                           |                           |
|           |  |                           |                           |                           |                           |
| 3402.     |  |                           |                           |                           |                           |
| 3403.     | Comment of the state of the sta |                           |                           |                           |                           |
| 3498.     | Summary of remaining write-ins for Line 34 from overflow page  |                           | 0                         | 0.0                       | 0.                        |

#### **PART 2 - DIRECT PREMIUMS WRITTEN**

|                   | Line of Business   | 1 Current Quarter | 2<br>Current<br>Year to Date | 3<br>Prior Year<br>Year to Date |
|-------------------|--|-------------------|------------------------------|---------------------------------|
| 1.                | Fire   | 0                 |                              |                                 |
| 2.1               | Allied Lines   | 0                 |                              |                                 |
| 2.2               | Multiple peril crop  | 0                 |                              |                                 |
| 2.3               | Federal flood  | 0                 |                              |                                 |
| 2.4               | Private crop   | 0                 |                              |                                 |
| 2.5               | Private flood  | 0                 |                              |                                 |
| 3.                | Farmowners multiple peril                                      | 0                 |                              |                                 |
| 4.                | Homeowners multiple peril                                      | 0                 |                              |                                 |
| 5.                | Commercial multiple peril                                      | 0                 |                              |                                 |
| 6.                | Mortgage guaranty  | 0                 |                              |                                 |
| 8.                | Ocean marine   | 0                 |                              |                                 |
| 9.                | Inland marine  | 0                 |                              |                                 |
| 10.               | Financial guaranty   | _                 |                              |                                 |
| 11.1              | Medical professional liability - occurrence                    | 529,474           | 1,008,563                    | 832,82                          |
| 11.2              | Medical professional liability - claims-made                   |                   | 528,400                      | 510,79                          |
| 12.               | Earthquake   | 0                 |                              |                                 |
| 13.1              | Comprehensive (hospital and medical) individual                |                   |                              |                                 |
| 13.2              | Comprehensive (hospital and medical) group                     |                   |                              |                                 |
| 14.               | Credit accident and health                                     |                   |                              |                                 |
| 15.1              | Vision only  | _                 |                              |                                 |
| 15.1              | Dental only  | _                 |                              |                                 |
| 15.2              | •  |                   |                              |                                 |
|                   | Disablity income   |                   |                              |                                 |
| 15.4              | Medicare supplement  |                   |                              |                                 |
| 15.5              | Medicaid Title XIX   |                   |                              |                                 |
| 15.6              | Medicare Title XVIII   |                   |                              |                                 |
| 15.7              | Long-term care   |                   |                              |                                 |
| 15.8              | Federal employees health benefits plan                         |                   |                              |                                 |
| 15.9              | Other health   |                   |                              |                                 |
| 16.               | Workers' compensation  | 0                 |                              |                                 |
| 17.1              | Other liability - occurrence                                   | (7,885)           | 263,665                      | 359,61                          |
| 17.2              | Other liability - claims-made                                  | 0                 |                              |                                 |
| 17.3              | Excess workers' compensation                                   | 0                 |                              |                                 |
| 18.1              | Products liability - occurrence                                | 0                 |                              |                                 |
| 18.2              | Products liability - claims-made                               | 0                 |                              |                                 |
| 19.1              | Private passenger auto no-fault (personal injury protection)   | 0                 |                              |                                 |
| 19.2              | Other private passenger auto liability                         | 0                 |                              |                                 |
| 19.3              | Commercial auto no-fault (personal injury protection)          | 0                 |                              |                                 |
| 19.4              | Other commercial auto liability                                |                   |                              |                                 |
| 21.1              | Private passenger auto physical damage                         |                   |                              |                                 |
| 21.2              | Commercial auto physical damage                                |                   |                              |                                 |
| 21.2              | Aircraft (all perils)  |                   |                              |                                 |
| 22.<br>23.        | Fidelity   |                   |                              |                                 |
| 23.<br>24.        | Surety   |                   |                              |                                 |
|                   | •  | _                 |                              |                                 |
| 26.<br>27         | Burglary and theft   |                   |                              |                                 |
| 27.               | Boiler and machinery   | _                 |                              |                                 |
| 28.               | Credit   |                   |                              |                                 |
| 29.               | International  |                   |                              |                                 |
| 30.               | Warranty   |                   |                              |                                 |
| 31.               | Reinsurance - Nonproportional Assumed Property                 |                   |                              |                                 |
| 32.               | Reinsurance - Nonproportional Assumed Liability                |                   |                              |                                 |
| 33.               | Reinsurance - Nonproportional Assumed Financial Lines          |                   |                              | XXX                             |
| 34.               | Aggregate write-ins for other lines of business                | 0                 | 0                            |                                 |
| 35.               | Totals   | 588,438           | 1,800,628                    | 1,703,23                        |
|                   | DETAILS OF WRITE-INS   |                   |                              |                                 |
| 3401.             |  |                   |                              |                                 |
| 3402.             |  |                   |                              |                                 |
| 3403.             |  |                   |                              |                                 |
| 3498.             | Summary of remaining write-ins for Line 34 from overflow page  |                   | 0                            |                                 |
| <del>∪</del> ⊤ਹ∪. | Canimary or remaining write his for Line of Horn overflow page |                   |                              |                                 |

# PART 3 (000 omitted) LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

|   | 1                                | 2                        | 3                       | 4                              | 5                         | 6                       | 7                                | 8                               | 9                        | 10                       | 11  | 12  | 13  |
|---|----------------------------------|--------------------------|-------------------------|--------------------------------|---------------------------|-------------------------|----------------------------------|---------------------------------|--------------------------|--------------------------|---|---|---|
|   |                                  |                          |                         |                                |                           |                         |                                  |                                 |                          |                          | Prior Year-End                              | Prior Year-End                              |   |
|   |                                  |                          |                         |                                |                           |                         |                                  | Q.S. Date Known                 |                          |                          | Known Case Loss                             | IBNR Loss and                               | Prior Year-End                              |
|   |                                  |                          |                         |                                | 2022 Loss and             |                         | Q.S. Date Known                  |                                 |                          |                          | and LAE Reserves                            | LAE Reserves                                | Total Loss and                              |
|   |                                  | D: V                     | Total Prior             | 2022 Loss and                  | LAE Payments on           |                         | Case Loss and                    | LAE Reserves on                 |                          | T                        | Developed                                   | Developed                                   | LAE Reserve                                 |
| Value in Mileiala                                       | Drien Veen Fred                  | Prior Year-              | Year-End Loss           | LAE Payments on                |                           | Total 2022 Loss         | LAE Reserves on                  |                                 | 0 0 D-4- IDND            | Total Q.S. Loss          | (Savings)/                                  | (Savings)/                                  | Developed                                   |
| Years in Which  | Prior Year-End                   | End IBNR                 | and LAE                 | Claims Reported<br>as of Prior | Unreported<br>as of Prior | and LAE                 | Claims Reported                  | or Reopened                     | Q.S. Date IBNR           | and LAE                  | Deficiency<br>(Cols.4+7                     | Deficiency                                  | (Savings)/                                  |
| Losses<br>Occurred                                      | Known Case Loss and LAE Reserves | Loss and LAE<br>Reserves | Reserves<br>(Cols. 1+2) | Year-End                       | Year-End                  | Payments<br>(Cols. 4+5) | and Open as of<br>Prior Year End | Subsequent to<br>Prior Year End | Loss and LAE<br>Reserves | Reserves<br>(Cols.7+8+9) | minus Col. 1)                               | (Cols. 5+8+9<br>minus Col. 2)               | Deficiency<br>(Cols. 11+12)                 |
|   |                                  |                          | ,                       |                                | i cai-Liiu                | ` ′                     |                                  |                                 |                          | ` ′                      | ,   | ,   | ` '   |
| 1. 2019 + Prior   | 10,364                           | 6,001                    | 16,365                  | 449                            | 2                         | 451                     | 9,571                            | 243                             | 6,209                    | 16,023                   | (344)                                       | 453   | 109   |
| 2. 2020   | 1,815                            | 1,734                    | 3,549                   | 78                             | 7                         | 85                      | 1,086                            | 118                             | 2,038                    | 3,242                    | (651)                                       | 429   | (222)                                       |
| 3. Subtotals 2020 + Prior                               | 12,179                           | 7,735                    | 19,914                  | 527                            | 9                         | 536                     | 10,657                           | 361                             | 8,247                    | 19,265                   | (995)                                       | 882   | (113)                                       |
| 4. 2021   | 819                              | 2,384                    | 3,203                   | 10                             |                           | 10                      | 274                              | 105                             | 2,473                    | 2,852                    | (535)                                       | 194   | (341)                                       |
| 5. Subtotals 2021 + Prior                               | 12,998                           | 10,119                   | 23,117                  | 537                            | 9                         | 546                     | 10,931                           | 466                             | 10,720                   | 22,117                   | (1,530)                                     | 1,076                                       | (454)                                       |
| 6. 2022   | xxx                              | XXX                      | XXX                     | XXX                            | 12                        | 12                      | XXX                              | 40                              | 2,846                    | 2,886                    | XXX   | XXX   | XXX   |
| 7. Totals   | 12,998                           | 10,119                   | 23,117                  | 537                            | 21                        | 558                     | 10,931                           | 506                             | 13,566                   | 25,003                   | (1,530)                                     | 1,076                                       | (454)                                       |
| Prior Year-End Surplus     As Regards     Policyholders | 138,962                          |                          |                         |                                |                           |                         |                                  |                                 |                          |                          | Col. 11, Line 7<br>As % of Col. 1<br>Line 7 | Col. 12, Line 7<br>As % of Col. 2<br>Line 7 | Col. 13, Line 7<br>As % of Col. 3<br>Line 7 |
|   |                                  |                          |                         |                                |                           |                         |                                  |                                 |                          |                          | 1. (11.8)                                   | 2. 10.6                                     | 3. (2.0)                                    |
|   |                                  |                          |                         |                                |                           |                         |                                  |                                 |                          |                          |   |   | Col. 13, Line 7                             |

As a % of Col. 1 Line 8

#### SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

|    |   | Response |
|----|---|----------|
| 1. | Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?   | NO       |
| 2. | Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?   | YES      |
| 3. | Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?  | NO       |
| 4. | Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?   | NO       |
| 5. | AUGUST FILING  Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1? The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter. | N/A      |
|    | Explanations:   |          |
| 1. |   |          |
| 3. |   |          |
| 4. |   |          |
| 1. | Bar Codes: Trusteed Surplus Statement [Document Identifier 490]   |          |
| 3. | Medicare Part D Coverage Supplement [Document Identifier 365]   |          |
| 4. | Director and Officer Supplement [Document Identifier 505]   |          |

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Medical Malpractice Joint Underwriting Association of Rhode Island **OVERFLOW PAGE FOR WRITE-INS** 

#### **SCHEDULE A - VERIFICATION**

Real Estate

|     |   | 1            | 2                |
|-----|---|--------------|------------------|
|     |   |              | Prior Year Ended |
|     |   | Year to Date | December 31      |
| 1.  | Book/adjusted carrying value, December 31 of prior year                           |              |                  |
| 2.  | Cost of acquired:   |              |                  |
|     | 2.1 Actual cost at time of acquisition  |              |                  |
|     | 2.2 Additional investment made after acquisition                                  |              |                  |
| 3.  | Current year change in encumbrances   |              |                  |
| 4.  | Total gain (loss) on disposals  |              |                  |
| 5.  | Deduct amounts received on disposals  |              |                  |
| 6.  | Total foreign exchange change in book/adjusted rying                              |              |                  |
| 7.  | Deduct current year's other than temporary impailment reducitied                  |              |                  |
| 8.  | Deduct current year's depreciation  |              |                  |
| 9.  | Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) |              |                  |
| 10. | Deduct total nonadmitted amounts  |              |                  |
| 11. | Statement value at end of current period (Line 9 minus Line 10)                   |              |                  |

#### **SCHEDULE B - VERIFICATION**

Mortgage Loans

|     | Mortgage Loans   |              |                  |
|-----|--|--------------|------------------|
|     |  | 1            | 2                |
|     |  |              | Prior Year Ended |
|     |  | Year to Date | December 31      |
| 1.  | Book value/recorded investment excluding accrued interest, December 31 of prior year                             |              |                  |
| 2.  | Cost of acquired:  |              |                  |
|     | 2.1 Actual cost at time of acquisition   |              |                  |
|     | 2.2 Additional investment made after acquisition   |              |                  |
| 3.  | Capitalized deferred interest and other  |              |                  |
| 4.  | Accrual of discount  |              |                  |
| 5.  | Unrealized valuation increase (decrease)   |              |                  |
| 6.  | Total gain (loss) on disposals   |              |                  |
| 7.  | Deduct amounts received on disposals   |              |                  |
| 8.  | Deduct amortization of premium and mortgage in lest parameters and smitmer less less less less less less less le |              |                  |
| 9.  | Total foreign exchange change in book value/rectated investment excess of accrued attrest terms                  |              |                  |
| 10. | Deduct current year's other than temporary impairment recognized   |              |                  |
| 11. | Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)  |              |                  |
| 12. | Total valuation allowance  |              |                  |
| 13. | Subtotal (Line 11 plus Line 12)  |              |                  |
| 14. | Deduct total nonadmitted amounts   |              |                  |
| 15. | Statement value at end of current period (Line 13 minus Line 14)   |              |                  |

## **SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

|     | Other Long-Term Invested Assets   |              |                  |
|-----|---|--------------|------------------|
|     |   | 1            | 2                |
|     |   |              | Prior Year Ended |
|     |   | Year to Date | December 31      |
| 1.  | Book/adjusted carrying value, December 31 of prior year   |              | 69,652,886       |
| 2.  | Cost of acquired:   |              |                  |
|     | 2.1 Actual cost at time of acquisition  |              |                  |
|     | 2.2 Additional investment made after acquisition  |              |                  |
| 3.  | Capitalized deferred interest and other   | 1,665,934    | 5,033,205        |
| 4.  | Accrual of discount   |              | 0                |
| 5.  | Unrealized valuation increase (decrease)  | (6,970,760)  | 1,788,209        |
| 6.  | Total gain (loss) on disposals  |              |                  |
| 7.  | Deduct amounts received on disposals  |              |                  |
| 8.  | Deduct amortization of premium and depreciation   | 80,297       | 142 , 134        |
| 9.  | Total foreign exchange change in book/adjusted carrying value  Deduct current year's other than temporary impairment recognized |              | 0                |
| 10. | Deduct current year's other than temporary impairment recognized  |              | 0                |
| 11. | Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)  | 70,947,043   | 76,332,166       |
| 12. | Deduct total nonadmitted amounts  |              |                  |
| 13. | Statement value at end of current period (Line 11 minus Line 12)  | 70,947,043   | 76,332,166       |

#### **SCHEDULE D - VERIFICATION**

Bonds and Stocks

|     |   | 1            | 2                |
|-----|---|--------------|------------------|
|     |   |              | Prior Year Ended |
|     |   | Year to Date | December 31      |
| 1.  | Book/adjusted carrying value of bonds and stocks, December 31 of prior year                     | 88,797,087   | 89, 151, 406     |
| 2.  | Cost of bonds and stocks acquired   |              | 15,821,561       |
| 3.  | Accrual of discount   | 32,246       | 42,196           |
| 4.  | Unrealized valuation increase (decrease)  | 0            |                  |
| 5.  | Total gain (loss) on disposals  | (42,826)     | 50,921           |
| 6.  | Deduct consideration for bonds and stocks disposed of   | 12,992,062   | 15,883,907       |
| 7.  | Deduct amortization of premium  |              | 450,113          |
| 8.  | Total foreign exchange change in book/adjusted carrying value                                   | 0            |                  |
| 9.  | Deduct current year's other than temporary impairment recognized                                |              |                  |
| 10. | Total investment income recognized as a result of prepayment penalties and/or acceleration fees |              |                  |
| 11. | Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)              | 86,945,838   | 88,797,087       |
| 12. | Deduct total nonadmitted amounts  | 0            |                  |
| 13. | Statement value at end of current period (Line 11 minus Line 12)                                | 86,945,838   | 88,797,087       |

## **SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

| Duning                                | During the current Quarter for all Bonds and Preferred Stock by NAIC Designation  1 2 3 4 5 6 7 |                     |                        |                             |                          |                          |                          |                               |  |  |  |  |  |  |
|---------------------------------------|---|---------------------|------------------------|-----------------------------|--------------------------|--------------------------|--------------------------|-------------------------------|--|--|--|--|--|--|
|                                       | Book/Adjusted   | Ai-i4i              | Dianaitiana            | New Tanding Asticity        | Book/Adjusted            | Book/Adjusted            | Book/Adjusted            | Book/Adjusted                 |  |  |  |  |  |  |
|                                       | Carrying Value<br>Beginning   | Acquisitions During | Dispositions<br>During | Non-Trading Activity During | Carrying Value<br>End of | Carrying Value<br>End of | Carrying Value<br>End of | Carrying Value<br>December 31 |  |  |  |  |  |  |
| NAIC Designation                      | of Current Quarter  | Current Quarter     | Current Quarter        | Current Quarter             | First Quarter            | Second Quarter           | Third Quarter            | Prior Year                    |  |  |  |  |  |  |
|                                       |   |                     |                        |                             |                          |                          |                          |                               |  |  |  |  |  |  |
|                                       |   |                     |                        |                             |                          |                          |                          |                               |  |  |  |  |  |  |
| BONDS                                 |   |                     |                        |                             |                          |                          |                          |                               |  |  |  |  |  |  |
| 561.55                                |   |                     |                        |                             |                          |                          |                          |                               |  |  |  |  |  |  |
|                                       |   |                     |                        |                             |                          |                          |                          |                               |  |  |  |  |  |  |
| 1. NAIC 1 (a)                         |   | 3.263.731           | 4.073.339              | (72.103)                    | 68.601.431               |                          | 67.696.069               | 69,115,538                    |  |  |  |  |  |  |
| 2. NAIC 2 (a)                         | 19,381,306  | 919,930             | 1,053,033              | , , ,                       | 19,431,582               | 19,381,306               | 19,249,769               | 19,681,549                    |  |  |  |  |  |  |
| 3. NAIC 3 (a)                         |   | 919,950             | 1,030,030              | , -                         | 13,431,302               |                          | 19,249,709               | 13,001,343                    |  |  |  |  |  |  |
| \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ |   | 0                   |                        | 0                           | 0                        | 0                        | 0                        |                               |  |  |  |  |  |  |
| 4. NAIC 4 (a)                         |   | 0                   | 0                      | 0                           | 0                        | 0                        |                          |                               |  |  |  |  |  |  |
| 5. NAIC 5 (a)                         | 0   | 0                   | 0                      |                             | 0                        | 0                        | 0                        |                               |  |  |  |  |  |  |
| 6. NAIC 6 (a)                         | 0   | 0                   | 0                      | -                           | 0                        | 0                        | 0                        |                               |  |  |  |  |  |  |
| 7. Total Bonds                        | 87,959,086  | 4,183,661           | 5,126,372              | (70,537)                    | 88,033,013               | 87,959,086               | 86,945,838               | 88,797,087                    |  |  |  |  |  |  |
|                                       |   |                     |                        |                             |                          |                          |                          |                               |  |  |  |  |  |  |
|                                       |   |                     |                        |                             |                          |                          |                          |                               |  |  |  |  |  |  |
| PREFERRED STOCK                       |   |                     |                        |                             |                          |                          |                          |                               |  |  |  |  |  |  |
|                                       |   |                     |                        |                             |                          |                          |                          |                               |  |  |  |  |  |  |
|                                       |   |                     |                        |                             |                          |                          |                          |                               |  |  |  |  |  |  |
| 8. NAIC 1                             | 0   | 0                   | 0                      | 0                           | 0                        | 0                        | 0                        | 0                             |  |  |  |  |  |  |
| 9. NAIC 2                             |   | 0                   | 0                      | 0                           | 0                        | 0                        | 0                        | 0                             |  |  |  |  |  |  |
| 10. NAIC 3                            |   | 0                   | 0                      | 0                           | 0                        | 0                        | 0                        | 0                             |  |  |  |  |  |  |
|                                       |   | 0                   | 0                      | 0                           |                          | 0                        |                          |                               |  |  |  |  |  |  |
| 11. NAIC 4                            | 0   | 0                   |                        | 0                           | 0                        | 0                        |                          |                               |  |  |  |  |  |  |
| 12. NAIC 5                            | 0   | 0                   | 0                      |                             | 0                        | 0                        | 0                        | 0                             |  |  |  |  |  |  |
| 13. NAIC 6                            | . 0   | 0                   | 0                      | 0                           | 0                        | 0                        | 0                        | 0                             |  |  |  |  |  |  |
| 14. Total Preferred Stock             | 0   | 0                   | 0                      | 0                           | 0                        | 0                        | 0                        | 0                             |  |  |  |  |  |  |
| 15. Total Bonds and Preferred Stock   | 87.959.086  | 4,183,661           | 5,126,372              | (70,537)                    | 88,033,013               | 87,959,086               | 86,945,838               | 88,797,087                    |  |  |  |  |  |  |

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

## **SCHEDULE DA - PART 1**

Short-Term Investments

|                  | 1<br>Book/Adjusted<br>Carrying Value | 2<br>Par Value | 3<br>Actual Cost | 4<br>Interest Collected<br>Year-to-Date | 5<br>Paid for<br>Accrued Interest<br>Year-to-Date |
|------------------|--------------------------------------|----------------|------------------|---|---|
| 770999999 Totals | 0                                    | xxx            | 0                | 0                                       | 0   |

## **SCHEDULE DA - VERIFICATION**

Short-Term Investments

|     |   | 1            | 2                               |
|-----|---|--------------|---------------------------------|
|     |   | Year To Date | Prior Year Ended<br>December 31 |
| 1.  | Book/adjusted carrying value, December 31 of prior year                         | 0            |                                 |
| 2.  | Cost of short-term investments acquired   | 0            |                                 |
| 3.  | Accrual of discount   | 0            |                                 |
| 4.  | Unrealized valuation increase (decrease)  | 0            |                                 |
| 5.  | Total gain (loss) on disposals  | 0            |                                 |
| 6.  | Deduct consideration received on disposals                                      | 0            |                                 |
| 7.  | Deduct amortization of premium  | 0            |                                 |
| 8.  | Total foreign exchange change in book/adjusted carrying value                   | 0            |                                 |
| 9.  | Deduct current year's other than temporary impairment recognized                | 0            |                                 |
| 10. | Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) | 0            | 0                               |
| 11. | Deduct total nonadmitted amounts  | 0            |                                 |
| 12. | Statement value at end of current period (Line 10 minus Line 11)                | 0            | 0                               |

# Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards NONE

Schedule DB - Part B - Verification - Futures Contracts **NONE** 

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open NONE

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open **NONE** 

## **SCHEDULE DB - VERIFICATION**

Verification of Book/Adjusted Carrying Value, Fair Value and Potential Exposure of all Open Derivative Contracts

|     |  | Book/Adjusted Car | rying Value Check |
|-----|--|-------------------|-------------------|
| 1.  | Part A, Section 1, Column 14.  |                   |                   |
| 2.  | Part B, Section 1, Column 15 plus Part B, Section 1 Footnote - Total Ending Cash Balance | 0                 |                   |
| 3.  | Total (Line 1 plus Line 2)   |                   | 0                 |
| 4.  | Part D, Section 1, Column 6  |                   |                   |
| 5.  | Part D, Section 1, Column 7  |                   |                   |
| 6.  | Total (Line 3 minus Line 4 minus Line 5)   |                   | 0                 |
|     |  | Fair Valu         | e Check           |
| 7.  | Part A, Section 1, Column 16   |                   |                   |
| 8.  | Part B, Section 1, Column 13   |                   |                   |
| 9.  | Total (Line 7 plus Line 8)   |                   | 0                 |
| 10. | Part D, Section 1, Column 9  |                   |                   |
| 11. | Part D, Section 1, Column 10   |                   |                   |
| 12  | Total (Line 9 minus Line 10 minus Line 11)   |                   | 0                 |
|     |  | Potential Exp     | osure Check       |
| 13. | Part A, Section 1, Column 21   |                   |                   |
| 14. | Part B, Section 1, Column 20   |                   |                   |
| 15. | Part D, Section 1, Column 12   |                   |                   |
| 16. | Total (Line 13 plus Line 14 minus Line 15)   |                   | 0                 |

# **SCHEDULE E - PART 2 - VERIFICATION**

(Cash Equivalents)

|     | (Odon Equivalente)  | 1            | 2                               |
|-----|---|--------------|---------------------------------|
|     |   | Year To Date | Prior Year Ended<br>December 31 |
| 1.  | Book/adjusted carrying value, December 31 of prior year                         | 73,518       | 314,604                         |
| 2.  | Cost of cash equivalents acquired   | 3,875,440    | 6,449,410                       |
| 3.  | Accrual of discount   | 0            |                                 |
| 4.  | Unrealized valuation increase (decrease)  | 0            |                                 |
| 5.  | Total gain (loss) on disposals  | 0            |                                 |
| 6.  | Deduct consideration received on disposals                                      | 2,103,602    | 6,690,496                       |
| 7.  | Deduct amortization of premium  | 0            |                                 |
| 8.  | Total foreign exchange change in book/adjusted carrying value                   | 0            |                                 |
| 9.  | Deduct current year's other than temporary impairment recognized                | 0            |                                 |
| 10. | Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) | 1,845,356    | 73,518                          |
| 11. | Deduct total nonadmitted amounts  | 0            |                                 |
| 12. | Statement value at end of current period (Line 10 minus Line 11)                | 1,845,356    | 73,518                          |

# Schedule A - Part 2 - Real Estate Acquired and Additions Made **NONE**

Schedule A - Part 3 - Real Estate Disposed **NONE** 

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made NONE

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

NONE

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made NONE

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid **NONE** 

# **SCHEDULE D - PART 3**

| 01 4111        |                |                  |                |                 |
|----------------|----------------|------------------|----------------|-----------------|
| Show All Long- | Lerm Bonds and | i Stock Acquired | I During the ( | Current Quarter |

| 1 2  |           | 0110W 7 til  | Long-Term Borius and Stock Acquired During the Current Quarter | 7           | 0         | 0                | 10           |
|--|-----------|--------------|--|-------------|-----------|------------------|--------------|
| 1 2  | 3         | 4            | 5 6  | /           | 8         | 9                | 10           |
|  |           |              |  |             |           |                  | NAIC         |
|  |           |              |  |             |           |                  | Designation, |
|  |           |              |  |             |           |                  | NAIC         |
|  |           |              |  |             |           |                  | Designation  |
|  |           |              |  |             |           |                  | Modifier     |
|  |           |              |  |             |           |                  | and          |
|  |           |              |  |             |           |                  | SVO          |
|  |           |              | Number of  |             |           | Paid for Accrued | Admini-      |
| CUSIP  |           | Date         | Shares of  |             |           | Interest and     | strative     |
| Identification Description   | Foreign   | Acquired     | Name of Vendor Stock   | Actual Cost | Par Value | Dividends        | Symbol       |
| 314000-D3-6 FNCL CB4621 5.000 09/01/52                                     | 1 Orcigii | 09/15/2022   | CITIGROUP GLOBAL MARKETS                                       | 499.844     |           | 1.250            |              |
| 0909999999. Subtotal - Bonds - U.S. Special Revenues                       |           | 03/ 13/ 2022 | Official departs maintain                                      | 499.844     | 499.844   | 1,250            |              |
| 03464H-AA-3 A0MT 2022-5 A1   | 1         | 07/29/2022   | DEUTSCHE BANK  | 487,583     | 500,000   |                  | 1.A FE       |
| 05602H-AA-1 BPR 22SSP A  |           | 06/01/2022   | CREDIT SUISSE  | 0           | 0         |                  | 1.A FE       |
| 075887-CP-2 BECTON DICKINSON AND COMPANY                                   |           | 08/08/2022   | CITIGROUP GLOBAL MARKETS                                       | 500,000     |           |                  | 2.B FE       |
| 36170H-AA-8 GCAT 22N0M4 A1 FIX   |           | 08/12/2022   | CREDIT SUISSE  | 199,999     | 200,000   |                  | 1.A FE       |
| 46655V-AR-3 JPMT 228 A8  |           | 08/17/2022   | J.P. MORGAN  |             | 750,000   |                  | 1.A FE       |
| 55261F-AQ-7 M&T BANK CORPORATION   |           | 08/09/2022   | RBC CAPITAL MARKETS SECURITIES                                 | 500,000     | 500,000   |                  | 1.G FE       |
| 64831U-AA-2 NRMLT 22NQM4 A1 SR FIX   |           | 07/01/2022   | NOMURA SECURITIES DOMESTIC                                     | 423,561     | 425,000   |                  | 1.A FE       |
| 89352H-BC-2 TRANSCANADA PIPELINES LIMITED                                  |           | 07/19/2022   | J.P. MORGAN  | 419,930     | 500,000   | 3,438            | 2.A FE       |
| 902613-AT-5 UBS GROUP AG   | C         | 08/01/2022   | UBS SECURITIES   | 420,000     | 420,000   |                  | 1.G FE       |
| 1109999999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) |           |              |  | 3,683,817   | 3,795,000 | 10,136           |              |
| 2509999997. Total - Bonds - Part 3   |           |              |  | 4, 183, 661 | 4,294,844 | 11,386           | XXX          |
| 2509999998. Total - Bonds - Part 5   |           |              |  | XXX         | XXX       | XXX              | XXX          |
| 2509999999. Total - Bonds  |           |              |  | 4,183,661   | 4,294,844 | 11,386           | XXX          |
| 450999997. Total - Preferred Stocks - Part 3                               |           |              |  | 0           | XXX       | 0                | XXX          |
| 450999998. Total - Preferred Stocks - Part 5                               |           |              |  | XXX         | XXX       | XXX              | XXX          |
| 450999999. Total - Preferred Stocks  |           |              |  | 0           | XXX       | 0                | XXX          |
| 598999997. Total - Common Stocks - Part 3                                  |           |              |  | 0           | XXX       | 0                | XXX          |
| 5989999998. Total - Common Stocks - Part 5                                 |           |              |  | XXX         | XXX       | XXX              | XXX          |
| 598999999. Total - Common Stocks   |           |              |  | 0           | XXX       | 0                | XXX          |
| 599999999. Total - Preferred and Common Stocks                             |           |              |  | 0           | XXX       | 0                | XXX          |
| 6009999999 - Totals  |           | <u> </u>     |  | 4,183,661   | XXX       | 11,386           | XXX          |

# **SCHEDULE D - PART 4**

|                            |   |       |              |                           | Show All Lo | ng-Term Bo     | onds and Stoo    | ck Sold, Red   | leemed or C  | Otherwise  | Disposed of | of During t | he Current  | Quarter   |                |           |           |            |             |                                |          |
|----------------------------|---|-------|--------------|---------------------------|-------------|----------------|------------------|----------------|--------------|------------|-------------|-------------|-------------|-----------|----------------|-----------|-----------|------------|-------------|--------------------------------|----------|
| 1                          | 2   | 3     | 4            | 5                         | 6           | 7              | 8                | 9              | 10           | C          | hange In Bo | ok/Adjusted | Carrying Va | llue      | 16             | 17        | 18        | 19         | 20          | 21                             | 22       |
|                            |   |       |              |                           |             |                |                  |                |              | 11         | 12          | 13          | 14          | 15        |                |           |           |            |             |                                | NAIC     |
|                            |   |       |              |                           |             |                |                  |                |              |            |             |             |             |           |                |           |           |            |             |                                | Desig-   |
|                            |   |       |              |                           |             |                |                  |                |              |            |             |             |             |           |                |           |           |            |             |                                | nation,  |
|                            |   |       |              |                           |             |                |                  |                |              |            |             |             |             |           |                |           |           |            |             |                                | NAIC     |
|                            |   |       |              |                           |             |                |                  |                |              |            |             |             | Total       | Total     |                |           |           |            |             |                                | Desig-   |
|                            |   |       |              |                           |             |                |                  |                |              |            |             | Current     | Change in   | Foreign   |                |           |           |            | Bond        |                                | nation   |
|                            |   |       |              |                           |             |                |                  |                |              |            |             | Year's      | Book/       | Exchange  | Book/          |           |           |            | Interest/   |                                | Modifier |
|                            |   |       |              |                           |             |                |                  |                | Prior Year   |            | Current     | Other Than  | n Adjusted  | Change in | Adjusted       | Foreign   |           |            | Stock       | Stated                         | and      |
|                            |   |       |              |                           |             |                |                  |                | Book/        | Unrealized | Year's      | Temporary   | Carrying    | Book      | Carrying       | Exchange  | Realized  |            | Dividends   | Con-                           | SVO      |
| CUSIP                      |   |       |              |                           | Number of   |                |                  |                | Adjusted     | Valuation  | (Amor-      | Impairment  | t Value     | /Adjusted | Value at       | Gain      | Gain      | Total Gain | Received    | tractual                       | Admini-  |
| Ident-                     |   | For-  | Disposal     | Name                      | Shares of   | Consid-        |                  | Actual         | Carrying     | Increase/  | tization)/  | Recog-      | (11 + 12 -  | Carrying  | Disposal       | (Loss) on | (Loss) on | (Loss) on  | During      | Maturity                       | strative |
| ification                  | Description   | eign  | Date         | of Purchaser              | Stock       | eration        | Par Value        | Cost           | Value        | (Decrease) | Accretion   | nized       | 13)         | Value     | Date           | Disposal  | Disposal  | Disposal   | Year        | Date                           | Symbol   |
|                            | GNSF 393145 7.500 05/15/24  |       | 09/01/2022 . | . PAY DOWN                |             | 117<br>148     | 117              | 116            | 116          | 0          | 0           | 0           | 0           | 0         | 117<br>148     | 0         | 0         | 0          | 6           | .05/15/2024                    | 1.A      |
| 302080-7L-3                | GNSF 447399 7.500 07/15/27  |       | 09/01/2022 . | . PAY DOWN                |             | 148            | 148              | 100            | 148          |            |             |             |             | 0         | 148            |           | 0         |            |             | .01/15/2021                    | . I.A    |
| 912810-FG-8                | US TREASURY   |       | 08/31/2022 . | OTTIGIOUS GEODIE MININETO |             | 556,563        | 500,000          | 499,883        | 499,965      | 0          | 19          | 0           | 19          | 0         | 499,984        | 0         | 56,579    | 56,579     | 27,463      | .02/15/2029                    | 1.A      |
|                            |   |       | 09/27/2022 . | . BARCLAYS AMERICAN       |             | 546,270        | 500,000          | 474,844        | 487,544      | 0          | 726         | 0           | 726         | 0         | 488,270        | 0         | 57,999    | 57,999     | 30,088      | .02/15/2031                    | 1.A      |
|                            | US TREASURY   |       | 07/20/2022 . | VARIOUS                   |             | 197,219        | 200,000          | 198,609        | 199,056      | 0          | 66          | 0           | 66          | 0         | 199, 122       | 0         | (1,903)   | (1,903)    | 3,922       | .05/15/2028                    | 1.A      |
|                            | US TREASURY   |       | 09/27/2022 . | J.P. MORGAN               |             | 643,711        | 800,000          | 795,912        | 796,414      | 0          | 273         | 0           | 273         | 0         | 796,687        | 0         | (152,976) | (152,976)  | 5,369       | .08/15/2030                    | 1.A      |
|                            | 99. Subtotal - Bonds - U.S. Governme<br>  FGLMC A46298 5.500 07/01/35 | ziils | 09/01/2022 . | PAY DOWN                  |             | 1,944,028      | 2,000,265        | 1,969,514      | 1,983,243    | 0          | 1,084       |             | 1,084       |           | 1,984,328      | 0         | (40,301)  | (40,301)   | 66,855<br>5 | XXX<br>.07/01/2035 .           | XXX      |
| 3128KV-MN-2                | FGLMC A64865 6.000 08/01/37   |       | 09/01/2022 . | PAY DOWN                  |             | 266            | 266              | 268            | 268          | 0          | (2)         |             | (2)         |           | 266            | 0         | 0         | 0          | 11          | .08/01/2037                    |          |
| 3128M4-4Q-3                | FGLMC G03231 5.500 08/01/37   |       | 09/01/2022 . | PAY DOWN                  |             | 1,953          | 1,953            | 1,924          | 1,924        | 0          | 29          | 0           | 29          | 0         | 1,953          | 0         | 0         | 0          | 72          | .08/01/2037                    | 1.A      |
|                            | FGLMC G03900 5.500 02/01/38   |       | 09/01/2022 . | PAY DOWN                  |             | 548            | 548              | 545            | 545          | 0          | 3           | 0           | 3           | 0         | 548            | 0         | 0         | 0          | 22          | .02/01/2038                    | 1.A      |
| 3128M7-XB-7<br>3128M8-AZ-7 | FGLMC G05774 5.000 01/01/40<br>FGLMC G06024 4.500 08/01/40            |       | 09/01/2022 . | PAY DOWN                  |             | 1,834          | 1,834 .<br>508   | 1,947          | 1,947        | 0          | (114)       |             | (114)       |           | 1,834<br>508   | 0         | 0         | 0          | 60<br>15    | .01/01/2040 .<br>.08/01/2040 . | . 1.A    |
|                            | FGCI G15998 2.500 01/01/32  |       | 09/01/2022 . | PAY DOWN                  |             | 4,053          | 4,053            | 4,062          | 4,058        | 0          | (25)        |             | (25)        |           | 4,053          | 0         | 0         | 0          | 67          | .01/01/2032                    | 1 A      |
| 3128MJ-R3-6                | FGLMC G08505 3.000 09/01/42   |       | 09/01/2022   | PAY DOWN                  |             | 4,394          | 4,394            | 4,613          | 4,581        | 0          | (187)       | 0           | (187)       |           | 4,394          | 0         | 0         | 0          | 89          | 09/01/2042                     | 1.A      |
| 3128MJ-U3-2                | FGLMC G08601 4.000 08/01/44   |       | 09/01/2022 . | . PAY DOWN                |             | 995            | 995              | 1,064          | 1,064        | 0          | (69)        |             | (69)        | 0         | 995            | 0         | 0         | 0          | 26          | .08/01/2044 .                  | 1.A      |
| 3128MJ-X4-7                | FGLMC G08698 3.500 03/01/46   |       | 09/01/2022 . | . PAY DOWN                |             | 1,614          | 1,614            | 1,685          | 1,685        | 0          | (71)        |             | (71)        |           | 1,614          | 0         | 0         | 0          | 37          | .03/01/2046                    | 1.A      |
| 3128MJ-X5-4<br>3128MJ-YM-6 | FGLMC G08699 4.000 03/01/46   |       | 09/01/2022 . | PAY DOWN                  |             | 1,432<br>1,760 | 1,432 .<br>1,760 | 1,537<br>1,831 | 1,537        | 0          | (105)       |             | (105)       |           | 1,432<br>1.760 | 0         | 0         | 0          | 39<br>.35   | .03/01/2046 .                  | . 1.A    |
| 3128MJ-ZH-6                | FGLMC G08743 4.000 01/01/47   |       | 09/01/2022 . | PAY DOWN                  |             | 2, 119         | 2,119            | 2,230          | 2,230        | 0          | (112)       |             | (112)       |           | 2,119          | 0         | 0         |            | 56          | .01/01/2047                    | 1 A      |
| 3128MJ-ZM-5                | FGLMC G08747 3.000 02/01/47   |       | 09/01/2022 . | PAY DOWN                  |             | 4,723          | 4,723            | 4,666          | 4,666        | 0          | 57          | 0           | 57          | 0         | 4,723          | 0         | 0         | 0          | 94          | .02/01/2047                    | 1.A      |
| 3128MM-VZ-3                | FGCI G18631 2.500 02/01/32  |       | 09/01/2022 . | . PAY DOWN                |             | 5,246          | 5,246            | 5,259          | 5,255        | 0          | (8)         |             | (8)         |           | 5,246          | 0         | 0         | 0          | 87          | .02/01/2032                    | 1.A      |
| 3128MM-WJ-8                | FGCI G18648 3.500 06/01/32  |       | 09/01/2022 . | . PAY DOWN                |             | 7,247          | 7,247            | 7,580          | 7,576        | 0          | (329)       |             | (329)       |           | 7,247          | 0         | 0         | 0          | 168         | .06/01/2032                    | 1.A      |
| 3128MM-WS-8<br>3128PQ-ZH-7 | FGCI G18656 3.500 08/01/32<br>FGCI J11644 4.000 02/01/25              |       | 09/01/2022 . | PAY DOWN                  |             | 4,626<br>1,309 | 4,626<br>1,309   | 4,735          | 4,730        |            | (104)       |             | ( 104)      |           | 4,626<br>1,309 | 0         |           |            | 108         | .08/01/2032<br>.02/01/2025     | . I.A    |
| 3128PY-JD-7                | FGCI J18360 3.000 03/01/27  |       | 09/01/2022 . | PAY DOWN                  |             | 2,769          | 2,769            | 2,863          | 2,812        | 0          | (43)        |             | (43)        |           | 2,769          | 0         | 0         | 0          | 55          | .03/01/2027                    | 1.A      |
| 31292H-VU-5                | FGLMC C01527 5.500 04/01/33   |       | 09/01/2022 . | PAY DOWN                  |             | 893            | 893              | 909            | 908          | 0          | (14)        | 0           | (14)        | 0         | 893            | 0         | 0         | 0          | 32          | .04/01/2033                    | 1.A      |
| 31292L-KQ-7                | FGLMC C03903 3.500 04/01/42   |       | 09/01/2022 . | . PAY DOWN                |             | 1,004          | 1,004            | 1,005          | 1,005        | 0          | 0           | 0           | 0           | 0         | 1,004          | 0         | 0         | 0          | 23          | .04/01/2042                    | 1.A      |
| 31292L-L6-0<br>312940-2H-1 | FGLMC C03949 3.500 05/01/42<br>FGLMC A92576 4.500 07/01/40            |       | 09/01/2022 . | PAY DOWN                  |             | 2,494<br>696   | 2,494<br>696     | 2,558          | 2,547<br>715 | 0          | (53)        | 0           | (53)        |           | 2,494<br>696   | 0         | 0         | 0          | 60<br>21    | .05/01/2042                    | . 1.A    |
| 312940-2H-1                | FGLMC A93093 4.500 07/01/40   |       | 09/01/2022 . | PAY DOWN                  |             | 1,261          | 1,261            | 1,327          | 1,325        | 0          | (64)        |             | (19)        |           | 1,261          | 0         | 0         | 0          | 37          | .07/01/2040 .                  | 1.A      |
| 312942-NF-8                | FGLMC A93990 4.000 09/01/40   | .     | 09/01/2022   | PAY DOWN                  |             | 754            | 754              | 780            | 780          | 0          | (26)        |             | (26)        |           | 754            | 0         | 0         | 0          | 20          | 09/01/2040                     | 1.A      |
| 31294M-DW-8                | FGC1 E02817 3.000 01/01/26  | .     | 09/01/2022 . | . PAY DOWN                |             | 1,777          | 1,777            | 1,730          | 1,757        | 0          | 20          | 0           | 20          | 0         | 1,777          | 0         | 0         |            | 35          | .01/01/2026                    | 1.A      |
| 31296M-PA-1<br>31296Q-4R-8 | FGLMC A13117 5.000 09/01/33   |       | 09/01/2022 . | PAY DOWN                  |             | 565<br>513     | 565<br>513       | 559<br>524     | 560<br>522   | 0          | 5           | 0           | 5           | 0         | 565<br>513     | 0         | 0         | 0          | 19<br>19    | 09/01/2033                     | . 1.A    |
| 3132DW-C3-5                | FNCL SD8190 3.000 01/01/52  |       | 09/01/2022 . | PAY DOWN                  |             | 10.537         | 10,537           | 10.801         |              | 0          | (265)       | 0           | (265)       |           | 10.537         | 0         | 0         | 0          | 182         | 01/01/2052                     | 1 A      |
|                            | FNCL SD8182 2.000 12/01/51  |       | 09/01/2022 . | PAY DOWN                  |             | 4,492          | 4,492            | 4,507          | 4,507        | 0          | (14)        |             | (14)        |           | 4,492          | 0         | 0         | 0          | 60          | .12/01/2051                    | 1.A      |
|                            | FGLMC Q05410 3.500 01/01/42   |       | 09/01/2022 . | . PAY DOWN                |             | 612            | 612              | 612            | 612          | 0          | 0           | 0           | 0           | 0         | 612            | 0         | 0         | 0          | 14          | .01/01/2042                    | 1.A      |
| 3132J2-5H-2                | FGTW K90848 3.000 07/01/33  |       | 09/01/2022 . | . PAY DOWN                |             | 2,827          | 2,827            | 2,935          | 2,911        | 0          | (84)        |             | (84)        |           | 2,827          | 0         | 0         | 0          | 57          | .07/01/2033                    |          |
| 31335A-QK-7<br>31335A-UL-0 | FGLMC G60458 3.500 01/01/44   |       | 09/01/2022 . | PAY DOWN                  |             | 2,719<br>249   | 2,719<br>249     | 2,861          | 2,861<br>266 | 0          | (142)       |             | (142)       |           | 2,719<br>249   | 0         | 0         | 0          | 63          | .01/01/2044 .                  | 1.A      |
| 31335H-5U-3                | FGTW C90859 5.500 10/01/24  | -     | 09/01/2022 . | PAY DOWN                  |             | 913            | 913              | 939            | 922          | 0          | (17)        |             | (17)        |           | 913            | 0         | 0         | 0          | 33          | 10/01/2024                     | 1.A      |
| 3133AD-SX-5                | FNCL QB6834 2.500 12/01/50  |       | 09/01/2022 . | PAY DOWN                  |             | 3,544          | 3,544            | 3,674          | 3,669        | 0          | ( 125)      | 0           | ( 125)      | 0         | 3,544          | 0         | 0         | 0          | 64          | 12/01/2050                     | 1.A      |
| 3133KK-7C-7                | FNCL RA4491 1.500 02/01/51  |       | 09/01/2022 . | PAY DOWN                  |             | 5,687          | 5,687            | 5,731          | 5,727        | 0          | (40)        | 0           | (40)        | 0         | 5,687          | 0         | 0         | 0          | 58          | .02/01/2051                    | 1.A      |
| 31371H-B6-4                | FNCL 252161 6.000 12/01/28  |       | 09/01/2022 . | . PAY DOWN                |             | 829<br>98      | 829<br>98        | 820<br>97      | 823          | ō          | 6           |             | 6           | 0         | 829<br>98      | ļ0        | 0         |            | 34          | 12/01/2028                     | . 1.A    |
|                            | FNK2 253927 6.500 07/01/31  |       | 09/01/2022 . | PAY DOWN                  |             | 98             | 98               | 97             | 97<br>924    | 0<br>n     | 0           | 0<br>n      | 0           | 0<br>n    | 98             |           | 0         |            | 4           | .07/01/2031 .                  | 1 A      |
| 3138AN-CW-1                | FNCL A18184 4.000 08/01/41  |       | 09/01/2022 . | PAY DOWN                  |             | 1,267          | 1,267            | 1,328          | 1,320        | 0          | (53)        | 0           | (53)        |           | 1,267          | 0         | 0         | 0          | 34          | .08/01/2041 .                  |          |
| 3138AN-YU-1                | FNCL A18822 4.500 08/01/41  | .     | 09/01/2022 . | PAY DOWN                  |             | 171            | 171              | 181            | 181          | 0          | (10)        | 0           | (10)        | 0         | 171            | 0         | 0         | 0          | 5           | .08/01/2041 .                  | 1.A      |
| 3138AV-TB-1                | FNCL AJ4145 4.000 11/01/41  |       | 09/01/2022 . | . PAY DOWN                |             | 994            | 994              | 1,037          | 1,035        | 0          | (41)        |             | (41)        |           | 994            | 0         | 0         |            | 27          | .11/01/2041                    | 1.A      |
|                            | FNCL AJ4994 4.500 11/01/41  |       | 09/01/2022 . | . PAY DOWN                |             | 1,929          | 1,929            | 2,076          | 2,076        | 0          | (147)       |             | (147)       |           | 1,929          | 0         | 0         | 0          | 53          | .11/01/2041                    | . 1.A    |
| 3138E0-SF-7                | FNCI AJ7717 3.000 12/01/26  |       | 09/01/2022 . | . PAY DOWN                |             | 2,319          | 2,319            | 2,390          | 2,349        | 0          | (30)        | 0           | (30)        | 0         | 2,319          | 0         | 0         |            | 47          | .12/01/2026 .                  | . I.A    |

# **SCHEDULE D - PART 4**

|                            |  |               |              | Show All Lo | ng-Term Bo      | nds and Sto     | ck Sold, Red   | deemed or C    | Otherwise [ | Disposed (  | of During t | he Current  | Quarter   |                 |           |          |            |           |                          |          |
|----------------------------|--|---------------|--------------|-------------|-----------------|-----------------|----------------|----------------|-------------|-------------|-------------|-------------|-----------|-----------------|-----------|----------|------------|-----------|--------------------------|----------|
| 1                          | 2  | 3 4           | 5            | 6           | 7               | 8               | 9              | 10             | Ch          | nange In Bo | ok/Adjusted | Carrying Va | lue       | 16              | 17        | 18       | 19         | 20        | 21                       | 22       |
|                            |  |               |              |             |                 |                 |                |                | 11          | 12          | 13          | 14          | 15        |                 |           |          |            |           | 1                        | NAIC     |
|                            |  |               |              |             |                 |                 |                |                |             |             |             |             |           |                 |           |          |            |           | i                        | Desig-   |
|                            |  |               |              |             |                 |                 |                |                |             |             |             |             |           |                 |           |          |            |           | ı                        | nation,  |
|                            |  |               |              |             |                 |                 |                |                |             |             |             |             |           |                 |           |          |            |           | ı                        | NAIC     |
|                            |  |               |              |             |                 |                 |                |                |             |             |             | Total       | Total     |                 |           |          |            |           | ı                        | Desig-   |
|                            |  |               |              |             |                 |                 |                |                |             |             | Current     | Change in   | Foreign   |                 |           |          |            | Bond      | 1                        | nation   |
|                            |  |               |              |             |                 |                 |                |                |             |             | Year's      | Book/       | Exchange  | Book/           |           |          |            | Interest/ | ı                        | Modifier |
|                            |  |               |              |             |                 |                 |                | Prior Year     |             | Current     | Other Than  |             | Change in | Adjusted        | Foreign   |          |            | Stock     | Stated                   | and      |
|                            |  |               |              |             |                 |                 |                | Book/          | Unrealized  | Year's      | Temporary   |             | Book      | Carrying        | Exchange  | Realized |            | Dividends | Con-                     | SVO      |
| CUSIP                      |  |               |              | Number of   |                 |                 |                | Adjusted       | Valuation   | (Amor-      | Impairmen   | , ,         | /Adjusted | Value at        | Gain      | Gain     | Total Gain | Received  | tractual                 | Admini-  |
| Ident-                     |  | For- Disposal | Name         | Shares of   | Consid-         |                 | Actual         | Carrying       | Increase/   | tization)/  | Recog-      | (11 + 12 -  | Carrying  | Disposal        | (Loss) on |          | (Loss) on  | During    | Maturity                 |          |
| ification                  | Description  | eign Date     | of Purchaser | Stock       | eration         | Par Value       | Cost           | Value          | (Decrease)  | Accretion   | nized       | 13)         | Value     | Date            | Disposal  | Disposal | Disposal   | Year      | Date                     | Symbol   |
|                            | FNCL AL1492 4.000 03/01/42                               |               | PAY DOWN     | Otock       | 728             | 728             |                | 761            | (Decrease)  | (32)        |             | (32)        |           | 728             | Disposar  | О        | О          | 20        | 03/01/2042               |          |
|                            | FNCL AL2614 3.500 11/01/42                               |               | PAY DOWN     |             | 579             | 579             |                |                | 0           | (20)        |             | (20)        | 0         | 579             | 0         | 0        | 0          | 13        | 11/01/2042               |          |
| 3138EJ-RA-8                |  |               | PAY DOWN     |             | 1,695           | 1,695           | 1,805          | 1,805          | 0           | (110)       |             | (110)       | 0         | 1,695           | 0         | 0        | 0          | 50        | 09/01/2042               |          |
|                            | FNCL AL2861 3.500 12/01/42                               |               | PAY DOWN     |             | 2,768           | 2,768           | 2,843          | 2,838          | 0           | (70)        |             | (70)        |           | 2,768           | 0         | 0        | 0          | 67        | 12/01/2042               |          |
|                            | FNCL AL2932 4.000 07/01/42                               |               | PAY DOWN     |             | 658             | 658             | 692            | 692            | 0           | (34)        |             | (34)        |           | 658             | ō         | 0        | 0          | 17        | 07/01/2042               |          |
|                            | FNCL AL3424 4.000 01/01/43                               |               | PAY DOWN     | ·           | 2,604<br>2,259  | 2,604<br>2,259  | 2,733          | 2,732          | ļ           | (128)       |             | (128)       |           | 2,604           | ······0   | 0        | 0          | 68<br>45  | 01/01/2043               |          |
|                            | FNCL AL8876 3.000 10/01/44<br>FNCL A00904 4.000 04/01/42 |               | PAY DOWN     |             | 2,259           | 2,259           | 2,351<br>2,453 | 2,351          |             | (92)        |             | (92)        |           | 2,259           |           | 0        |            | 45        | 10/01/2044<br>04/01/2042 |          |
|                            | FNCL A04133 3.500 06/01/42                               |               | PAY DOWN     |             | 4,061           | 4,061           | 4,056          | 4,057          | 0           | 4           | ,           | 4           | 0         | 4,061           | 0         | 0        | 0          | 91        | 06/01/2042               |          |
|                            | FNCI AQ4372 2.500 11/01/27                               |               | PAY DOWN     |             | 4,529           | 4,529           | 4,525          | 4,525          | 0           | 5           | 0           | 5           | 0         | 4,529           | 0         | 0        | 0          | 74        | 11/01/2027               | 1.A      |
|                            | FNCI AR3048 2.500 01/01/28                               |               | PAY DOWN     |             | 1,866           | 1,866           | 1,851          | 1,857          | 0           | 8           | 0           | 8           | 0         | 1,866           | 0         | 0        | 0          | 31        | 01/01/2028               | 1.A      |
|                            | FNCL AR6379 3.000 02/01/43                               |               | PAY DOWN     |             | 5,585           | 5,585           | 5,781          | 5,733          | 0           | (148)       |             | ( 148)      | 0         | 5,585           | 0         | 0        | 0          | 118       | 02/01/2043               | 1.A      |
| 3138W6-SU-1                | FNCL AR8630 3.000 04/01/43                               |               | PAY DOWN     |             | 852             | 852             |                | 877            | 0           | (25)        |             | (25)        | 0         | 852             | 0         | 0        | 0          | 17        | 04/01/2043               | 1.A      |
| 3138W9-HW-3<br>3138W9-KR-0 |  |               | PAY DOWN     |             | 1,034<br>2.397  | 1,034<br>2.397  | 1,070<br>2,390 | 1,070<br>2.390 | 0           | (36)<br>7   | 0           | (36)        | 0         | 1,034<br>2.397  | 0         | 0        | 0          | 28<br>46  | 08/01/2043<br>08/01/2043 |          |
|                            | FNCL AS0303 3.000 08/01/43                               |               | PAY DOWN     |             | 2,397           | 2,397           | 459            |                |             | (31)        |             | (31)        | 0         | 2,397           |           |          |            | 40        | 08/01/2043               |          |
|                            | FNCL AS1075 3.000 11/01/43                               |               | PAY DOWN     |             | 2,119           | 2,119           | 2, 184         | 2, 178         | 0           | (59)        |             | (51)        | 0         | 2,119           | 0         | 0        | 0          | 40        | 11/01/2043               |          |
|                            | FNCL AS1557 4.000 01/01/44                               | .09/01/2022   | PAY DOWN     |             | 2,461           | 2,461           | 2,614          | 2,614          | 0           | (153)       |             | (153)       |           | 2,461           | 0         | 0        | 0          | 65        | 01/01/2044               |          |
| 3138WB-UK-9                | FNCL AS2385 4.000 05/01/44                               |               | PAY DOWN     |             | 2,052           | 2,052           | 2, 167         | 2, 167         | 0           | (114)       | 0           | (114)       | 0         | 2,052           | 0         | 0        | 0          | 60        | 05/01/2044               | 1.A      |
|                            | FNCL AS5244 3.500 06/01/45                               |               | PAY DOWN     |             | 2,489           | 2,489           | 2,578          | 2,575          | 0           | (86)        |             | (86)        |           | 2,489           | 0         | 0        | 0          | 54        | 06/01/2045               |          |
| 3138WG-DN-1                |  |               | PAY DOWN     |             | 1,884           | 1,884           | 1,993          | 1,993          | 0           | (108)       |             | (108)       | 0         | 1,884           | 0         | 0        | 0          | 46        | 01/01/2046               |          |
|                            | FNCL AS8518 3.000 12/01/46                               |               | PAY DOWN     |             | 2,553           | 2,553           | 2,541          | 2,540          | 0           | 13          |             | 13          | 0         | 2,553           | 0<br>0    | 0        | 0          | 50<br>128 | 12/01/2046               |          |
| 3138WM-KY-6                |  |               | PAY DOWN     |             | 6,554<br>4,221  | 6,554<br>4,221  | 6,705<br>4,495 | 6,684<br>4,454 |             | (130)       |             | (130)       |           | 6,554<br>4,221  | 0         |          |            | 106       | 12/01/2036<br>03/01/2043 |          |
| 3138WX-FK-8                |  |               | PAY DOWN     |             | 7,047           | 7,047           | 7,005          | 7,020          | 0           | 26          | 0           | 26          | 0         | 7,047           | 0         | 0        | 0          | 117       | 07/01/2028               | 1.A      |
| 3138WZ-TZ-5                |  |               | PAY DOWN     |             | 1,489           | 1,489           | 1,474          | 1,476          | 0           | 13          | 0           | 13          | 0         | 1,489           | 0         | 0        | 0          | 37        | 08/01/2043               | 1.A      |
|                            | FNCL AU1628 3.000 07/01/43                               |               | PAY DOWN     |             | 2,389           | 2,389           | 2,342          | 2,346          | 0           | 43          | 0           | 43          | 0         | 2,389           | 0         | 0        | 0          | 46        | 07/01/2043               | 1.A      |
|                            | FNCL AU2592 3.500 08/01/43                               |               | PAY DOWN     |             | 2,584           | 2,584           | 2,677          | 2,677          | 0           | (93)        |             | (93)        |           | 2,584           | 0         | 0        | 0          | 59        | 08/01/2043               |          |
|                            | FNCL AU4283 3.500 09/01/43                               |               | PAY DOWN     |             | 2,054           | 2,054           | 2,061          | 2,060          | 0           | (6)         |             | (6)         |           | 2,054           | 0         | 0        | 0          | 48        | 09/01/2043               |          |
|                            | FNCL AX5308 3.500 01/01/42                               |               | PAY DOWN     |             | 5, 135<br>2,835 | 5, 135<br>2,835 | 5,389          | 5,389          |             | (254)       |             | (254)       | 0         | 5, 135<br>2,835 | 0         | 0        | 0          | 117       | 01/01/2042<br>05/01/2045 |          |
|                            | FN 05123C PG PAC FIX                                     |               | PAY DOWN     |             | 4,768           | 4,768           | 4,626          | 4,701          | 0           | 68          | 0           | 68          | 0         | 4,768           | 0         | 0        | 0          | 177       | 03/01/2043               | 1.A      |
|                            | FNCL 702007 5.000 05/01/33                               |               | PAY DOWN     |             | 164             | 164             | 168            | 168            | 0           | (4)         | 0           | (4)         | 0         | 164             | 0         | 0        | 0          | 5         | 05/01/2033               | 1.A      |
| 31406U-HH-4                | FNCL 820232 5.500 06/01/35                               |               | PAY DOWN     |             | 318             | 318             | 323            | 322            | 0           | (5)         |             | (5)         | 0         | 318             | 0         | 0        | 0          | 12        | 06/01/2035               | 1.A      |
|                            | FNCL 882687 6.000 06/01/36                               |               | PAY DOWN     |             | 220             | 220             | 218            | 218            | 0           | 2           | 0           | 2           | 0         | 220             | 0         | 0        | 0          | 9         | 06/01/2036               | 1.A      |
|                            | FNCL BE3695 3.500 06/01/47                               |               | PAY DOWN     |             | 4,303           | 4,303           | 4,281          | 4,281          | 0           | 22          | 0           | 22          | 0         | 4,303           | 0         | 0        | 0          | 96        | 06/01/2047               | 1.A      |
|                            | FNCL BJ9169 4.000 05/01/48<br>FNCL BJ9215 4.000 06/01/48 |               | PAY DOWN     |             | 5,243<br>1,082  | 5,243           | 5,342          | 5,342          | 0           | (100)       |             | (100)       | 0         | 5,243           | 0         | 0        | 0          | 138       | 05/01/2048<br>06/01/2048 |          |
| 3140HB-GZ-5                |  |               | PAY DOWN     |             | 7,524           | 7,524           | 7,648          | 7,624          |             | (19)        |             | (19)        |           | 7,524           |           |          |            | 28        | 12/01/2032               | I.A      |
|                            | FNCL BM3847 4.000 05/01/48                               | 09/01/2022    | PAY DOWN     |             | 4,072           | 4,072           | 4, 170         | 4,170          | 0           | (98)        |             | (98)        |           | 4,072           | 0         | 0        | 0          | 100       | 05/01/2048               | 1.A      |
|                            | FNCL BN0334 4.000 12/01/48                               |               | PAY DOWN     |             | 17,379          | 17,379          | 18,075         | 18,075         | 0           | (696)       |             | (696)       |           | 17,379          | 0         | 0        | 0          | 472       | 12/01/2048               |          |
|                            | FNCL B09355 3.000 03/01/50                               |               | PAY DOWN     |             | 7,685           | 7,685           |                | 8,089          | 0           | (403)       |             | (403)       |           | 7,685           | 0         | 0        | 0          | 149       | 03/01/2050               |          |
|                            | FNCL BQ1226 2.000 09/01/50                               |               | PAY DOWN     |             | 5,966           | 5,966           | 6, 173         | 6, 148         | 0           | (182)       |             | (182)       |           | 5,966           | 0         | 0        | 0          | 80        |                          |          |
|                            | FNCL BR7851 2.500 05/01/51                               |               | PAY DOWN     |             | 12,112          | 12,112          | 12,559         | 12,531         | 0           | (419)       |             | (419)       |           | 12,112          | 0         | 0        | 0          | 197       | 05/01/2051               |          |
|                            | FNCI CA0781 3.000 11/01/32                               |               | PAY DOWN     |             | 10,524          | 10,524          | 10,734         | 10,695         | ļ           | (171)       |             | (171)       |           | 10,524          | 0         | 0        | 0          | 206       | 11/01/2032               |          |
|                            | FNCL CA7224 2.000 10/01/50<br>FNCL CA8222 1.500 12/01/50 |               | PAY DOWN     |             | 2,267<br>5,837  | 2,267<br>5,837  | 2,351<br>5,886 | 2,343          | 0           | (76)        |             | (76)        |           | 2,267           | 0         | 0        |            | 30<br>59  | 10/01/2050<br>12/01/2050 |          |
| 3140QK-SA-7                | FNCL CB0512 2.500 05/01/51                               |               | PAY DOWN     |             | 4,978           | 4,978           | 5, 193         | 5, 181         | 0           | (203)       |             | (203)       |           | 4,978           | 0         | 0        |            |           | 12/01/2050               | 1.A      |
| 3140X4-Y8-3                |  |               | PAY DOWN     |             | 8,297           |                 | 8,558          |                | 0           | (261)       |             | (261)       | 0         | 8,297           |           | 0        | 0          | 192       | 06/01/2049               |          |
| 3140X6-2N-0                | FNCL FM3480 2.500 06/01/50                               |               | PAY DOWN     |             | 14,765          | 14,765          | 15,402         | 15,364         | 0           | (599)       | 0           | (599)       | 0         | 14,765          | 0         | 0        | 0          | 240       | 06/01/2050               | 1.A      |
| 3140XA-Z4-7                |  |               | PAY DOWN     |             | 16,467          | 16,467          | 17, 113        | 17, 106        | 0           | (639)       |             | (639)       | 0         | 16,467          | 0         | 0        | 0          | 275       | 01/01/2051               |          |
|                            | FNCL FM7293 2.500 05/01/51                               |               | PAY DOWN     |             | 7,214           | 7,214           | 7,499          | 7,484          | 0           | (270)       |             | (270)       | 0         | 7,214           | 0         | 0        | 0          | 120       | 05/01/2051               |          |
|                            | FNCL FM8488 2.500 07/01/51                               |               | PAY DOWN     | · <b> </b>  | 12,053          | 12,053          | 12,349         | 12,349         | F0          | (296)       |             | (296)       | ļ         | 12,053          | }ō        | ō        | 0          |           |                          |          |
| 314UXD-CJ-3                | FNCL FM9072 2.000 10/01/51                               |               | PAY DOWN     |             | 1,756           | 1,756           | 1,746          | 1,746          | 0           | 10          | J0          | 10          | J0        | 1,756           | 0         | 0        | 0          | 23        | 10/01/2051               | I.A      |

# **SCHEDULE D - PART 4**

|                            |  |             |                    | Show All Lo | ng-Term Bo       | inds and Stoc  | k Sold, Red         | leemed or C    | Otherwise        | Disposed of | of During t | he Current  | Quarter   |                |           |           |                  |           |                              |               |
|----------------------------|--|-------------|--------------------|-------------|------------------|----------------|---------------------|----------------|------------------|-------------|-------------|-------------|-----------|----------------|-----------|-----------|------------------|-----------|------------------------------|---------------|
| 1                          | 2  | 3 4         | 5                  | 6           | 7                | 8              | 9                   | 10             | С                | hange In Bo | ok/Adjusted | Carrying Va | lue       | 16             | 17        | 18        | 19               | 20        | 21                           | 22            |
|                            |  | -           |                    |             |                  | -              | -                   |                | 11               | 12          | 13          | 14          | 15        | 1              |           |           |                  |           |                              | NAIC          |
|                            |  |             |                    |             |                  |                |                     |                | ''               |             | 10          |             | 10        |                |           |           |                  |           |                              | Desig-        |
|                            |  |             |                    |             |                  |                |                     |                |                  |             |             |             |           |                |           |           |                  |           |                              | nation,       |
|                            |  |             |                    |             |                  |                |                     |                |                  |             |             |             |           |                |           |           |                  |           |                              | NAIC          |
|                            |  |             |                    |             |                  |                |                     |                |                  |             |             | Total       | Total     |                |           |           |                  |           |                              | Desig-        |
|                            |  |             |                    |             |                  |                |                     |                |                  |             | Current     |             |           |                |           |           |                  | Bond      |                              | nation        |
|                            |  |             |                    |             |                  |                |                     |                |                  |             | Current     | Change in   | Foreign   | Pook/          |           |           |                  |           |                              | Modifier      |
|                            |  |             |                    |             |                  |                |                     | Prior Year     |                  | 0           | Year's      | Book/       | Exchange  | Book/          | Faraian   |           |                  | Interest/ | Ctotod                       |               |
|                            |  |             |                    |             |                  |                |                     |                |                  | Current     | Other Than  | ,           | Change in | Adjusted       | Foreign   | Daaliaad  |                  | Stock     | Stated                       | and           |
| OLIOID                     |  |             |                    | N           |                  |                |                     | Book/          | Unrealized       |             | Temporary   | Carrying    | Book      | Carrying       | Exchange  |           | T. 1. 1. 0 . 1 . | Dividends | Con-                         | SVO           |
| CUSIP                      |  |             |                    | Number of   |                  |                |                     | Adjusted       | Valuation        | (Amor-      | Impairment  | t Value     | /Adjusted | Value at       | Gain      | Gain      | Total Gain       | Received  | tractual                     | Admini-       |
| Ident-                     |  | For- Dispos |                    | Shares of   | Consid-          |                | Actual              | Carrying       | Increase/        | tization)/  | Recog-      | (11 + 12 -  | Carrying  | Disposal       | (Loss) on | (Loss) on | (Loss) on        | During    | Maturity                     |               |
| ification                  | Description  | eign Date   | of Purchaser       | Stock       | eration          | Par Value      | Cost                | Value          | (Decrease)       |             | nized       | 13)         | Value     | Date           | Disposal  | Disposal  | Disposal         | Year      | Date                         | Symbol        |
| 3140XF-GD-7                | FNCL FS0195 2.500 01/01/52   |             |                    |             | 7,931            | 7,931          | 7,987               | 0              | 0                | (56)        | 0           | (56)        | 0         | 7,931          | 0         | 0         | 0                | 119       | 01/01/2052 .                 | . 1.A         |
|                            | FNCL 897689 5.500 06/01/37   |             |                    |             | 279              | 279            | 273                 | 273            | 0                | 6           | 0           | 6           | 0         | 279            | 0         | 0         | 0                | 10        | 06/01/2037 .<br>12/01/2037 . | 1.A           |
|                            | FNCL 953582 6.000 12/01/37<br>FNCL AA1647 5.000 02/01/39               |             |                    |             | 107<br>119       | 119            | 113                 | 112            |                  | (6)         |             | (6)         |           | 119            |           |           |                  | 4         | 02/01/2037 .                 | 1 A           |
|                            | FNCL AA4440 5.000 03/01/39   |             |                    |             | 401              | 401            | 415                 | 415            | 0                | (14)        |             | (14)        |           | 401            | 0         | 0         | 0                | 13        | 03/01/2039 .                 | 1 A           |
|                            | FNCL AB5666 3.500 07/01/42   |             |                    |             | 1,432            | 1,432          | 1,460               | 1,460          | 0                | (28)        |             | (28)        |           | 1,432          | 0         | 0         | 0                | 33        | 07/01/2042 .                 | 1.A           |
| 31417C-KM-6                | FNCL AB5699 3.500 07/01/42   |             | 2 PAY DOWN         |             | 2,315            | 2,315          | 2,307               | 2,307          | 0                | 8           | 0           | 8           | 0         | 2,315          | 0         | 0         | 0                | 56        | . 07/01/2042                 | 1.A           |
| 31417C-VS-1                | FNCL AB6024 3.500 08/01/42   |             |                    |             | 1,507            | 1,507          | 1,576               | 1,576          | 0                | (69)        |             | (69)        |           | 1,507          | 0         | 0         | 0                | 36        | 08/01/2042 .                 | . 1.A         |
| 31417D-TR-4                | FNCL AB6859 3.500 11/01/42   |             |                    |             | 560              | 560            | 582                 | 582            | 0                | (22)        | 0           | (22)        | 0         | 560            | 0         | 0         | 0                | 13        | 11/01/2042 .                 | . 1.A         |
|                            | FNCL AB7575 3.000 01/01/43   |             |                    |             | 2,464            | 2,464          | 2,455               | 2,456          | 0                | 9           | 0           | 9           | 0         | 2,464          | 0         | 0         | 0                | 49        | 01/01/2043 .                 | . 1.A         |
|                            | FNCL AB8615 3.500 01/01/43   |             |                    |             | 5,369<br>2,313   | 5,369<br>2,313 | 5,611<br>2,248      | 5,586          |                  | (217)       | 0           | (217)       | 0         | 5,369<br>2,313 |           |           |                  | 119<br>45 | 01/01/2043 .<br>04/01/2043 . | 1.A           |
| 31417F-3E-6<br>31417G-5A-0 | FNCL AB8840 3.500 07/01/43   |             |                    |             | 1, 180           |                | 1,237               | 2,253          |                  | 60          |             | 60          |           | 1, 180         | 0         |           |                  | 28        | 07/01/2043 .                 | 1.A           |
| 31417H-B5-2                | FNCL AB9959 4.000 07/01/43   |             |                    |             | 743              |                | 775                 | 773            | 0                | (30)        | 0           | (30)        |           |                | 0         | 0         | 0                | 21        | 07/01/2043 .                 | 1 A           |
|                            | FNCL MA3149 4.000 10/01/47   |             |                    |             | 2,161            | 2,161          | 2,243               | 2,243          | 0                | (83)        |             | (83)        |           | 2, 161         | 0         | 0         | 0                | 56        | 10/01/2047                   | 1.A           |
| 31418W-CY-4                | FNCI AD8186 3.500 09/01/25   |             | 2 PAY DOWN         |             | 636              | 636            |                     | 645            | 0                | (9)         |             | (9)         | 0         | 636            | 0         | 0         | 0                | 15        | 09/01/2025 .                 | 1.A           |
|                            | FNCI AE4179 3.500 10/01/25   |             |                    |             | 1,372            | 1,372          | 1,420               | 1,392          | 0                | (20)        | 0           | (20)        | 0         | 1,372          | 0         | 0         | 0                | 30        | 10/01/2025 .                 | 1.A           |
|                            | FNCL AE7731 4.500 11/01/40   |             |                    |             | 1,698            | 1,698          | 1,811               | 1,810          | 0                | (112)       | 0           | (112)       | 0         | 1,698          | 0         | 0         | 0                | 50        | 11/01/2040 .                 | 1.A           |
|                            | MASSACHUSETTS SCHOOL BUILDING AUTHORITY                                |             |                    |             | 500,000          | 500,000        | 520,063             | 505,451        | 0                | (5,451)     | 0           | (5, 451)    | 0         | 500,000        | 0         | 0         | 0                | 25,000    |                              |               |
|                            | NEW JERSEY TURNPIKE AUTHORITY  |             |                    |             | 500,000          | 500,000        | 557,500             | 503,608        | 0                | (3,608)     | 0           | (3,608)     | 0         | 500,000        | 0         | 0         | 0                | 25,000    | 01/01/2031 .                 | 1.E FE        |
|                            | UTAH HOUSING CORPORATIONUTILITY DEBT SECURITIZATION AUTHORITY          |             |                    |             | 15,401           | 15,401         | 16,012              | 414,265        | 0                | (611)       |             | (611)       | 0         | 15,401         | 0         | (8,925)   | (8,925)          | 205       | 02/21/2052 .<br>12/15/2028 . | 1.B FE        |
|                            | 9. Subtotal - Bonds - U.S. Special Re                                  |             | E IILI OIIOINOL    |             | 1,803,108        | 1.784.588      | 1,935,543           | 1,783,213      | 0                | (24,499)    | 0           |             |           | 1,793,513      | 0         |           | (8,925)          | 92,212    | XXX                          | XXX           |
|                            | AOMT 2022-5 A1   |             | 2 PAY DOWN         | 1           | 8,361            | 8,361          | 8,154               | 0              | 0                | 208         |             | 208         |           | 8,361          | 0         | (0,923)   | (0,923)          | 23        | 05/25/2067 .                 | 1.A FE        |
|                            | BNSF RAILWAY COMPANY   |             |                    |             | 13,289           | 13,289         | 13,326              | 13,297         | 0                | (8)         |             | (8)         |           | 13,289         | 0         | 0         | 0                | 797       | 01/15/2024                   | 1.B FE        |
| 126410-LM-9                | CSX TRANSPORTATION INC.  |             |                    |             | 197,970          | 196,223        | 196,223             | 196,223        | 0                | 0           | 0           | 0           | 0         | 196,223        | 0         | 1,747     | 1,747            | 13,056    | 01/15/2023 .                 | 1.E FE        |
| 225433-AT-8                | CREDIT SUISSE GROUP FUNDING (GUERNSEY) L                               | C08/04/202  |                    |             | 498,315          | 500,000        | 521,235             | 505,572        | 0                | (2,268)     | 0           | (2,268)     | 0         | 503,303        | 0         | (4,988)   | (4,988)          | 12,561    | 06/09/2023 .                 | 2.A FE        |
|                            |  |             | HILLTOP SECURITIES |             |                  |                |                     |                |                  |             |             |             |           |                |           |           |                  |           |                              |               |
|                            | ENERGY TRANSFER LP   |             |                    |             | 124,744          | 125,000        | 124,908             | 124,965        | 0                | 12          | 0           | 12          | 0         | 124,977        | 0         | (234)     | (234)            | 4,740     | 09/15/2023 .                 |               |
| 314353-AA-1                | FEDERAL EXPRESS CORPORATION 2020-1 PASS                                |             | 2 PAY DOWN         |             | 6,684            | 6,684          | 6,684               | 6,684          | 0                | 0           | 0           |             | 0         | 6,684          | 0         | 0         | 0                | 125       | 08/20/2035 .                 | . I.D FE      |
| 316773-CX-6                | FIFTH THIRD BANCORP  | 08/04/202   |                    |             | 425,242          | 425,000        | 424,210             | 424,656        | 0                | 96          | 0           | 96          | 0         | 424.752        | 0         | 490       | 490              | 16,073    | 01/25/2024 .                 | 2.A FE        |
| 36168M-AA-1                | GCAT 22NQM3 A1 SR FIX  |             |                    |             | 12,083           | 12,083         | 11,927              | 0              | 0                | 156         | 0           | 156         | 0         | 12,083         | 0         | 0         | 0                | 125       | 04/25/2067 .                 | 1.A FE        |
| 36170H-AA-8                | GCAT 22NQM4 A1 FIX   |             |                    |             | 831              | 831            |                     | 0              | 0                | 0           | 0           | 0           | 0         |                | 0         | 0         | 0                | 4         | 09/25/2067 .                 | 1.A FE        |
| 36261M-AB-5                | GSMBS 21PJ1 A2   |             | 2 PAY DOWN         |             | 6,475            | 6,475          | 6,745               | 6,742          | 0                | (267)       | 0           | (267)       | 0         | 6,475          | 0         | 0         | 0                | 113       | 06/25/2051 .                 | 1.A           |
| 36263N-AB-1                | GSMBS 22PJ1 A2 FIX   |             |                    |             | 7, 145           | 7, 145         | 7,018               | 0              | 0                | 126         | 0           | 126         | 0         | 7, 145         | 0         | 0         | 0                | 119       | 05/28/2052 .                 | 1.A FE        |
|                            | JPMT 218 A3  |             |                    |             | 6,962            | 6,962          | 7,053               | 7,052          | 0                | (89)        | 0           | (89)        |           | 6,962          | 0         | 0         | 0                | 115       | 12/25/2051 .                 | . 1.A         |
|                            | JPMT 2110 A3<br>NRMLT 22NQM4 A1 SR FIX                                 |             |                    |             | 6,683<br>15,016  | 6,683          | 6,809 .<br>14,965 . | 6,809          | 0                | (126)       | 0           | (126)       | 0         | 6,683          | 0         | 0         | 0                | 107<br>67 | 12/25/2051 .<br>06/25/2062 . | 1.A<br>1.A FE |
|                            | RATE 21J3 A1   |             |                    |             | 9,382            | 9,382          | 9,478               | 0<br>9,476     |                  | 51          |             | 51          |           | 9,382          | 0         |           |                  | 150       | 10/25/2051 .                 | 1.A FE        |
|                            | UNION PACIFIC RAILROAD CO 2007-3 PASS TH                               |             |                    |             | 912              | 912            | 912                 | 912            | 0                | (30)        | 0           | (30)        | 0         | 912            | 0         | 0         |                  | 56        | 10/23/2031 .                 | 1.0 FE        |
|                            | UNITED AIRLINES INC 2018-1AA PASS THROUG                               |             |                    |             | 5,452            | 5,452          | 5,452               | 5,452          | 0                | 0           | 0           |             | 0         | 5,452          | 0         | 0         |                  | 191       | 09/01/2031 .                 | 1.F FE        |
|                            | 9. Subtotal - Bonds - Industrial and M                                 |             |                    |             | 1,345,546        | 1,345,498      | 1,365,930           | 1,307,840      | 0                | (2,202)     | 0           | (2,202)     | 0         | 1,348,530      | 0         | (2,985)   | (2,985)          | 48,422    | XXX                          | XXX           |
|                            | 7. Total - Bonds - Part 4  | ,           |                    |             | 5.092.682        | 5, 130, 351    | 5,270,987           | 5.074.296      | 0                | (25,617)    |             | (25,617)    |           | 5, 126, 371    | 0         |           | (52,211)         | 207,489   | XXX                          | XXX           |
|                            | 8. Total - Bonds - Part 5  |             |                    |             | XXX              | XXX            | XXX                 | XXX            | XXX              | XXX         | XXX         | XXX         | XXX       | XXX            | XXX       | XXX       | XXX              | XXX       | XXX                          | XXX           |
|                            | 9. Total - Bonds   |             |                    |             | 5,092,682        | 5,130,351      | 5,270,987           | 5,074,296      | 7001             | (25,617)    |             | (25,617)    |           | 5,126,371      | 0         | (52,211)  | (52,211)         | 207,489   | XXX                          | XXX           |
|                            | 7. Total - Preferred Stocks - Part 4                                   |             |                    |             | J, U32, U02<br>n | XXX            | 5,270,967           | J,U14,290<br>A | 0                | (23,017)    |             | (23,617)    |           | 3, 120, 37 1   | 0         | (32,211)  | (32,211)         | 201,409   | XXX                          | XXX           |
|                            |  |             |                    |             | VVV              |                | Ü                   |                | VVV <sup>0</sup> | · ·         |             |             |           | Ü              | ·         | VVV       | VVV              | VVV       |                              |               |
|                            | 8. Total - Preferred Stocks - Part 5                                   |             |                    |             | XXX              | XXX            | XXX                 | XXX            | XXX              | XXX         | XXX         | XXX         | XXX       | XXX            | XXX       | XXX       | XXX              | XXX       | XXX                          | XXX           |
|                            | 9. Total - Preferred Stocks  |             |                    |             | 0                | XXX            | 0                   | 0              | 0                | 0           |             | 0           |           | 0              | 0         | 0         | 0                | 0         | XXX                          | XXX           |
|                            | 7. Total - Common Stocks - Part 4<br>8. Total - Common Stocks - Part 5 |             |                    |             | XXX              | XXX            | XXX                 | XXX            | XXX              | XXX         | XXX         | XXX         | XXX       | XXX            | XXX       | XXX       | XXX              | XXX       | XXX                          | XXX           |
| 1 230333333                | o. Tolai - Common Slocks - Pall 5                                      |             |                    |             | ^^^              | ^^^            | ^^^                 | ^^^            | . ^^^            | . ^^^       | _ ^^^       | . ^^^       |           |                | _ ^^^     | 1 ^^^     | _ ^^^            | ^^^       |                              | ^^^           |

# **SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

| 1         | 2                                 | 3 4           | 5            | 6         | 7         | 8         | 9         | 10         | Ch         | ange In Bo | ok/Adjusted | Carrying Val | lue       | 16        | 17        | 18        | 19         | 20        | 21       | 22       |
|-----------|-----------------------------------|---------------|--------------|-----------|-----------|-----------|-----------|------------|------------|------------|-------------|--------------|-----------|-----------|-----------|-----------|------------|-----------|----------|----------|
|           |                                   |               |              |           |           |           |           |            | 11         | 12         | 13          | 14           | 15        |           |           |           |            |           |          | NAIC     |
|           |                                   |               |              |           |           |           |           |            |            |            |             |              |           |           |           |           |            |           |          | Desig-   |
|           |                                   |               |              |           |           |           |           |            |            |            |             |              |           |           |           |           |            |           |          | nation,  |
|           |                                   |               |              |           |           |           |           |            |            |            |             |              |           |           |           |           |            |           |          | NAIC     |
|           |                                   |               |              |           |           |           |           |            |            |            |             | Total        | Total     |           |           |           |            |           |          | Desig-   |
|           |                                   |               |              |           |           |           |           |            |            |            | Current     | Change in    | Foreign   |           |           |           |            | Bond      |          | nation   |
|           |                                   |               |              |           |           |           |           |            |            |            | Year's      | Book/        | Exchange  | Book/     |           |           |            | Interest/ |          | Modifier |
|           |                                   |               |              |           |           |           |           | Prior Year |            | Current    | Other Than  | Adjusted     | Change in | Adjusted  | Foreign   |           |            | Stock     | Stated   | and      |
|           |                                   |               |              |           |           |           |           | Book/      | Unrealized | Year's     | Temporary   | Carrying     | Book      | Carrying  | Exchange  |           |            | Dividends | Con-     | SVO      |
| CUSIP     |                                   |               |              | Number of |           |           |           | Adjusted   | Valuation  | (Amor-     | Impairment  | Value        | /Adjusted | Value at  | Gain      | Gain      | Total Gain | Received  | tractual | Admini-  |
| Ident-    |                                   | For- Disposal | Name         | Shares of | Consid-   |           | Actual    | Carrying   | Increase/  | tization)/ | Recog-      | (11 + 12 -   | Carrying  | Disposal  | (Loss) on | (Loss) on | (Loss) on  | During    | Maturity | strative |
| ification | Description                       | eign Date     | of Purchaser | Stock     | eration   | Par Value | Cost      | Value      | (Decrease) | Accretion  | nized       | 13)          | Value     | Date      | Disposal  | Disposal  | Disposal   | Year      | Date     | Symbol   |
| 598999999 | 9. Total - Common Stocks          | •             |              |           | 0         | XXX       | 0         | 0          | 0          | 0          | 0           | 0            | 0         | 0         | 0         | 0         | 0          | 0         | XXX      | XXX      |
| 599999999 | 9. Total - Preferred and Common S | Stocks        |              |           | 0         | XXX       | 0         | 0          | 0          | 0          | 0           | 0            | 0         | 0         | 0         | 0         | 0          | 0         | XXX      | XXX      |
| 600999999 | 99 - Totals                       |               |              |           | 5,092,682 | XXX       | 5,270,987 | 5,074,296  | 0          | (25,617)   | 0           | (25,617)     | 0         | 5,126,371 | 0         | (52,211)  | (52,211)   | 207,489   | XXX      | XXX      |

# Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open NONE

Schedule DB - Part B - Section 1 - Futures Contracts Open NONE

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made NONE

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open NONE

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By **N O N E** 

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To NONE

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees **N O N E** 

Schedule DL - Part 1 - Reinvested Collateral Assets Owned NONE

Schedule DL - Part 2 - Reinvested Collateral Assets Owned NONE

## **SCHEDULE E - PART 1 - CASH**

|--|

| 1   | 2                                     | 3        | 4                 | 5              | Book Ba     | lance at End of Ead | ch Month    | 9   |
|---|---------------------------------------|----------|-------------------|----------------|-------------|---------------------|-------------|-----|
|   |                                       |          |                   |                | Dı          | uring Current Quart | er          |     |
|   |                                       |          | Amount of         | Amount of      | 6           | 7                   | 8           |     |
|   |                                       |          | Interest Received |                |             |                     |             |     |
|   |                                       | Rate of  |                   | at Current     |             |                     |             |     |
| Depository  | Code                                  | Interest | Quarter           | Statement Date | First Month | Second Month        | Third Month | *   |
| Bank of America Providence, RI                        |                                       |          |                   |                | 597,844     | 521,321             | 769,525     | XXX |
| 0199998. Deposits in 0 depositories that do not       |                                       |          |                   |                |             |                     |             |     |
| exceed the allowable limit in any one depository (See |                                       |          |                   |                |             |                     |             |     |
| instructions) - Open Depositories                     | XXX                                   | XXX      | 0                 | 0              | 0           | 0                   | 0           | XXX |
| 0199999. Totals - Open Depositories                   | XXX                                   | XXX      | 0                 | 0              | 597,844     | 521,321             | 769,525     | XXX |
| 0299998. Deposits in 0 depositories that do not       |                                       |          |                   |                |             |                     |             |     |
| exceed the allowable limit in any one depository (See |                                       |          |                   |                |             |                     |             |     |
| instructions) - Suspended Depositories                | XXX                                   | XXX      | 0                 | 0              | 0           | 0                   | 0           | XXX |
| 0299999. Totals - Suspended Depositories              | XXX                                   | XXX      | 0                 | 0              | 0           | 0                   | 0           | XXX |
| 0399999. Total Cash on Deposit                        | XXX                                   | XXX      | 0                 | 0              | 597,844     | 521,321             | 769,525     | XXX |
| 0499999. Cash in Company's Office                     | XXX                                   | XXX      | XXX               | XXX            | 0           | 0                   | 0           | XXX |
|   |                                       |          |                   |                |             |                     |             |     |
|   |                                       |          |                   |                |             |                     |             |     |
|   |                                       |          |                   |                |             |                     |             |     |
|   |                                       |          |                   |                |             |                     |             |     |
|   |                                       |          |                   |                |             |                     |             |     |
|   |                                       |          |                   |                |             |                     |             | *   |
|   |                                       |          |                   |                |             |                     |             | *   |
|   |                                       |          |                   |                |             |                     |             | •   |
|   | · · · · · · · · · · · · · · · · · · · |          |                   |                |             |                     |             |     |
|   |                                       |          |                   |                |             |                     |             | ł   |
|   |                                       |          |                   |                |             |                     |             |     |
|   |                                       |          |                   |                |             |                     |             |     |
|   |                                       |          |                   |                | 507.011     | F04 22 /            | 700         |     |
| 0599999. Total - Cash                                 | XXX                                   | XXX      | 0                 | 0              | 597,844     | 521,321             | 769,525     | XXX |

# **SCHEDULE E - PART 2 - CASH EQUIVALENTS**

| 1            | CHOW HIVEOU   | iliciito O | wned End of Curren |                  |               |                    |                         |                      |
|--------------|---|------------|--------------------|------------------|---------------|--------------------|-------------------------|----------------------|
| '            | 2   | 3          | 4                  | 5                | 6             | 7<br>Book/Adjusted | 8<br>Amount of Interest | 9<br>Amount Received |
| CUSIP        | Description   | Code       | Date Acquired      | Rate of Interest | Maturity Date | Carrying Value     | Due and Accrued         | During Year          |
| 0109999999.  | Total - U.S. Government Bonds                             |            |                    |                  |               | 0                  | 0                       |                      |
| 0309999999.  | Total - All Other Government Bonds                        |            |                    |                  |               | 0                  | 0                       |                      |
| 0509999999.  | Total - U.S. States, Territories and Possessions Bonds    |            |                    |                  |               | 0                  | 0                       |                      |
|              | Total - U.S. Political Subdivisions Bonds                 |            |                    |                  |               | 0                  | 0                       |                      |
|              | Total - U.S. Special Revenues Bonds                       |            |                    |                  |               | 0                  | 0                       |                      |
| 11099999999. | Total - Industrial and Miscellaneous (Unaffiliated) Bonds |            |                    |                  |               | 0                  | 0                       |                      |
| 1309999999.  | Total - Hybrid Securities                                 |            |                    |                  |               | 0                  | 0                       |                      |
|              | Total - Parent, Subsidiaries and Affiliates Bonds         |            |                    |                  |               | 0                  | 0                       |                      |
| 19099999999. | Subtotal - Unaffiliated Bank Loans                        |            |                    |                  |               | 0                  | 0                       |                      |
| 24199999999. | Total - Issuer Obligations                                |            |                    |                  |               | 0                  | 0                       |                      |
|              | Total - Residential Mortgage-Backed Securities            |            |                    |                  |               | 0                  | 0                       |                      |
|              | Total - Commercial Mortgage-Backed Securities             |            |                    |                  |               | 0                  | 0                       |                      |
|              | Total - Other Loan-Backed and Structured Securities       |            |                    |                  |               | 0                  | 0                       |                      |
| 24599999999. | 0   |            |                    |                  |               |                    |                         |                      |
| 24699999999. | 0   |            |                    |                  |               |                    |                         |                      |
| 24799999999. | 0   |            |                    |                  |               |                    |                         |                      |
| 25099999999. |   |            |                    |                  |               | 0                  | 0                       |                      |
|              | FIDELITY INV MAKT GOVT-INST                               |            | 09/30/2022         | 0.108            |               | 1,845,355          | 1,993                   | 3,60                 |
| 8309999999.  | Subtotal - All Other Money Market Mutual Funds            |            |                    |                  |               | 1,845,355          | 1,993                   | 3,63                 |
|              |   |            | 09/30/2022         |                  |               |                    |                         |                      |
| 8509999999.  | Subtotal - Other Cash Equivalents                         |            |                    |                  |               | 0                  | 0                       |                      |
|              |   |            |                    |                  |               |                    |                         |                      |
|              |   |            |                    |                  |               |                    |                         |                      |
|              |   |            |                    |                  |               |                    |                         |                      |
|              |   |            |                    |                  |               |                    |                         |                      |
|              |   |            |                    |                  |               |                    |                         |                      |
|              |   |            |                    |                  |               |                    |                         |                      |
|              |   |            |                    |                  |               |                    |                         |                      |
|              |   |            |                    |                  |               |                    |                         |                      |
|              |   |            |                    |                  |               |                    |                         |                      |
|              |   |            |                    |                  |               |                    |                         |                      |
|              |   |            |                    |                  |               |                    |                         |                      |
|              |   |            |                    |                  |               |                    |                         |                      |
|              |   |            |                    |                  |               |                    |                         |                      |
|              |   |            |                    |                  |               |                    |                         |                      |
|              |   |            |                    |                  |               |                    |                         |                      |
|              |   |            |                    |                  |               |                    |                         |                      |
|              |   |            |                    |                  |               |                    |                         |                      |
|              | Total Cash Equivalents                                    |            |                    |                  |               | 1,845,355          | 1,993                   | 3,6                  |



SUPPLEMENT FOR THE QUARTER ENDING SEPTEMBER 30, 2022 OF THE Medical Malpractice Joint Underwriting Association of Rhode Island

Designate the type of health care providers reported on this page: Physicians, including surgeons and osteopaths

# SUPPLEMENT A TO SCHEDULE T EXHIBIT OF MEDICAL PROFESSIONAL LIABILITY PREMIUMS WRITTEN ALLOCATED BY STATES AND TERRITORIES

|            |  |               | 1                  | 2                  | Direct Lo   | sses Paid | 5                | Direct Los   | ses Unpaid | 8                                       |
|------------|--|---------------|--------------------|--------------------|-------------|-----------|------------------|--------------|------------|---|
|            |  |               |                    |                    | 3           | 4         |                  | 6            | 7          | Direct                                  |
|            | <b>.</b>   |               | Direct<br>Premiums | Direct<br>Premiums |             | No. of    | Direct<br>Losses | Amount       | No. of     | Losses<br>Incurred<br>But Not           |
|            | States, etc.                                       |               | Written            | Earned             | Amount      | Claims    | Incurred         | Reported     | Claims     | Reported                                |
|            | Alabama  |               |                    |                    |             |           |                  |              |            |   |
| 2.         | Alaska   |               |                    |                    |             |           |                  |              |            |   |
| 3.<br>4.   | ArizonaArkansas                                    | AZ<br>AR      |                    |                    |             |           |                  |              |            |   |
| 5.         | California   |               |                    |                    |             |           |                  |              |            |   |
| 6.         | Colorado   | CA            |                    |                    |             |           |                  |              |            |   |
| 7.         | Connecticut  |               |                    |                    |             |           |                  |              |            |   |
| 8.         | Delaware   |               |                    |                    |             |           |                  |              |            |   |
| 9.         | District of Columbia                               |               |                    |                    |             |           |                  |              |            |   |
| 10.        | Florida  |               |                    |                    |             |           |                  |              |            |   |
| 11.        | Georgia  |               |                    |                    |             |           |                  |              |            |   |
| 12.        | Hawaii   |               |                    |                    |             |           |                  |              |            |   |
| 13.        | Idaho  | ID            |                    |                    |             |           |                  |              |            |   |
| 14.        | Illinois   | IL            |                    |                    |             |           |                  |              |            |   |
| 15.        | Indiana  | IN            |                    |                    |             |           |                  |              |            |   |
| 16.        | lowa   | IA            |                    |                    |             |           |                  |              |            |   |
| 17.        | Kansas   | KS            |                    |                    |             |           |                  |              |            |   |
| 18.        | Kentucky   | KY            |                    |                    |             |           |                  |              |            |   |
| 19.        | Louisiana  | LA            |                    |                    |             |           |                  |              |            |   |
| 20.        | Maine  |               |                    |                    |             |           |                  |              |            |   |
| 21.        | Maryland   |               |                    |                    |             |           |                  |              |            |   |
| 22.        | Massachusetts                                      |               |                    |                    |             |           |                  |              |            |   |
| 23.        | Michigan   | IM.           |                    |                    |             |           |                  |              |            |   |
| 24.        | Minnesota  |               |                    |                    |             |           |                  |              |            |   |
| 25.        | Mississippi  |               |                    |                    |             |           |                  |              |            |   |
| 26.        | Missouri   |               |                    |                    |             |           |                  |              |            |   |
| 27.        | Montana  |               |                    |                    |             |           |                  |              |            |   |
| 28.        | Nebraska   |               |                    |                    |             |           |                  |              |            |   |
| 29.        | Nevada   |               |                    |                    |             |           |                  |              |            |   |
| 30.        | New Hampshire                                      |               |                    |                    |             |           |                  |              |            |   |
| 31.        | New Jersey   |               |                    |                    |             |           |                  |              |            |   |
| 32.        | New Mexico   |               |                    |                    |             |           |                  |              |            |   |
| 33.        | New York  North Carolina                           |               |                    |                    |             |           |                  | ····         | ·          |   |
| 34.<br>35. |  |               |                    |                    |             |           |                  |              |            |   |
| 36.        | North Dakota                                       |               |                    |                    |             |           |                  |              |            |   |
| 37.        | Oklahoma   |               |                    |                    |             |           |                  |              |            |   |
| 38.        | Oregon   |               |                    |                    |             |           |                  |              |            |   |
|            | Pennsylvania                                       |               |                    |                    |             |           |                  |              |            |   |
| 40.        | Rhode Island                                       |               | 1,016,708          | 1 131 806          | 34,191      | 1         | 1,299,595        | 8,081,269    | 22         | 5,550,223                               |
| 41.        | South Carolina                                     |               |                    |                    |             |           |                  |              |            | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |
| 42.        | South Dakota                                       |               |                    |                    |             |           |                  |              |            |   |
| 43.        | Tennessee  |               |                    |                    |             |           |                  |              |            |   |
| 44.        | Texas  |               |                    |                    |             |           |                  |              |            |   |
| 45.        | Utah   |               |                    |                    |             |           |                  |              |            |   |
| 46.        | Vermont  |               |                    |                    |             |           |                  |              |            |   |
| 47.        | Virginia   |               |                    |                    |             |           |                  |              |            |   |
| 48.        | Washington   | WA            |                    |                    |             |           |                  |              |            |   |
| 49.        | West Virginia                                      |               |                    |                    |             |           |                  |              |            |   |
| 50.        | Wisconsin  | WI            |                    |                    |             |           |                  |              | ļ          |   |
| 51.        | Wyoming  |               |                    |                    |             |           |                  | ļ            |            |   |
| 52.        | American Samoa                                     |               |                    |                    |             |           |                  |              |            |   |
| 53.        | Guam   |               |                    |                    |             |           |                  |              |            |   |
| 54.        | Puerto Rico  |               |                    |                    |             |           |                  |              |            |   |
| 55.        | U.S. Virgin Islands                                |               |                    |                    |             |           |                  |              |            |   |
| 56.        | Nothern Mariana Islands                            |               |                    |                    |             |           |                  | ····         | }          |   |
| 57.        | Canada   |               |                    |                    |             |           |                  |              |            |   |
| 58.<br>59. | Aggregate Other Aliens Totals                      | 01            | 1,016,708          | 1,131,806          | 0<br>34,191 | 0<br>1    | 1,299,595        | 8,081,269    | 0          | 5,550,223                               |
|            | DETAILS OF WRITE-INS                               |               |                    |                    |             |           |                  |              |            |   |
|            |  |               |                    |                    |             |           |                  | <del> </del> | ł          |   |
|            |  |               |                    |                    |             |           |                  |              |            | -                                       |
|            |  |               |                    |                    |             |           |                  |              |            |   |
|            | Summary of remaining writ<br>58 from overflow page |               | 0                  | 0                  | 0           | 0         | 0                | 0            | 0          | 0                                       |
| 58999.     | Totals (Lines 58001 throug 58998)(Line 58 above)   | gh 58003 plus | 0                  | 0                  | 0           | 0         | 0                | 0            | 0          | 0                                       |



SUPPLEMENT FOR THE QUARTER ENDING SEPTEMBER 30, 2022 OF THE Medical Malpractice Joint Underwriting Association of Rhode Island

Designate the type of health care providers reported on this page: Hospitals

# SUPPLEMENT A TO SCHEDULE T EXHIBIT OF MEDICAL PROFESSIONAL LIABILITY PREMIUMS WRITTEN ALLOCATED BY STATES AND TERRITORIES

| Direct   Permitures   Premitures   Premitu | 8                             | ses Unpaid                            | Direct Loss | 5         | sses Paid | Direct Los | 2        | 1              |                |                           |        |
|--|-------------------------------|---------------------------------------|-------------|-----------|-----------|------------|----------|----------------|----------------|---------------------------|--------|
| Direct   Permiums   Permiums   Anount   Claims   Amount   Amount   Claims   Amount   Amount   Claims   Amount   Amount | Direct                        | 7                                     | 6           |           | 4         | 3          | ı        |                |                |                           |        |
| 1  | Losses<br>Incurred<br>But Not |                                       |             | Losses    |           |            | Premiums | Premiums       |                |                           |        |
| 2 Alaska AK AK Ariansas AR AZ Ariansas AR CO Colorado CO CO CO Colorado CO CO CO Colorado CO C   | Reported                      | Claims                                | Reported    | Incurred  | Claims    | Amount     | Earned   | Written        |                | <u> </u>                  |        |
| 3. A/Zona  |                               |                                       |             |           |           |            |          |                |                |                           |        |
| 4. Afransas  |                               |                                       |             |           |           |            | <br>     |                |                |                           |        |
| 5  |                               |                                       |             |           |           |            |          |                |                |                           |        |
| 6. Colorado CO CO 7. Correctodo CT 8. Deleware DE 8. Distract of Colombia DC 10. Florida FL 11. Seguida GA 12. Hawaii HI 11. Seguida GA 12. Hawaii HI 11. Seguida GA 13. Idaho DI 14. Blinois IL I. Seguida GA 14. Hawaii HI 11. Seguida GA 14. Hawaii HI 11. Seguida GA 15. Including GA 16. Seguida GA 16. S   |                               |                                       |             |           |           |            |          |                |                |                           |        |
| B. Deleware   DE   |                               |                                       |             |           |           |            |          |                |                |                           |        |
| 9. District of Columbia   DC   |                               |                                       |             |           |           |            |          |                | CT             | Connecticut               | 7.     |
| 10   Fichada   |                               |                                       |             |           |           |            | ļ        |                | DE             | Delaware                  | 8.     |
| 11.   Georgia   GA   |                               |                                       |             |           |           |            |          |                | DC             | District of Columbia      | 9.     |
| 12   Hawaii  |                               |                                       |             |           |           |            | ·        |                | FL             | Florida                   | 10.    |
| 13.   Idaho  |                               |                                       |             |           |           |            | <u> </u> | ·              |                | Georgia                   | 11.    |
| 14   Illinois  |                               |                                       |             |           |           |            | J        | <sub></sub>    |                |                           |        |
| 15   Indiane   |                               | ·····                                 |             |           |           |            | [·····   |                |                |                           |        |
| 16   lova  |                               | ·                                     |             |           |           |            | ſ        | [              |                |                           |        |
| 17. Kansas   |                               |                                       |             |           |           |            | ſ        | [              |                |                           |        |
| 18   |                               |                                       |             |           |           |            |          |                |                |                           |        |
| 19   |                               |                                       |             |           |           |            |          |                |                |                           |        |
| Agriculture  |                               |                                       |             |           |           |            |          |                |                | •                         |        |
| 21 Maryland  |                               |                                       |             |           |           |            | <br>     |                |                |                           |        |
| Massachusetts  |                               | <u> </u>                              |             |           |           |            | <br>     | <br>           |                |                           |        |
| 23    Michigan   |                               | <u> </u>                              |             |           |           |            | <br>     | <br>           |                |                           |        |
| 24   Minnesota   MN  |                               | f                                     |             |           |           |            | <br>     |                |                |                           |        |
| 25   |                               |                                       |             |           |           |            |          |                |                | -                         |        |
| 26   |                               |                                       |             |           |           |            |          |                |                |                           |        |
| 27.   Montana   MT   |                               |                                       |             |           |           |            |          |                |                |                           |        |
| 28. Nebraska   NE  |                               |                                       |             |           |           |            |          |                |                |                           |        |
| 29. Nevada   |                               |                                       |             |           |           |            | <br>     |                |                |                           |        |
| 30. New Hampshire   NH   | ,                             |                                       |             |           |           |            |          |                |                |                           |        |
| 31. New Jersey   |                               |                                       |             |           |           |            | 1        |                |                |                           |        |
| 32. New Mexico   |                               |                                       |             |           |           |            |          |                |                | •                         |        |
| 33.   New York   NY   North Carolina   NC   NC   North Dakotia   ND   ND   North Dakota   ND   ND   North Dakota   ND   ND   NOR   |                               |                                       |             |           |           |            |          |                |                | •                         |        |
| 34. North Carolina   |                               |                                       |             |           |           |            |          |                |                |                           |        |
| 35. North Dakota   |                               |                                       |             |           |           |            | L        |                |                |                           |        |
| 36. Ohio   |                               |                                       |             |           |           |            |          |                |                |                           |        |
| 38. Oregon   OR   39. Pennsylvania   PA  |                               |                                       |             |           |           |            |          |                |                |                           | 36.    |
| 39.   Pennsylvania   |                               |                                       |             |           |           |            | L        |                | OK             | Oklahoma                  | 37.    |
| 40. Rhode Island       RI       520,255       .415,527       .85,000       .1       .1,053,416       .2,150,000       .15         41. South Carolina       SC  |                               |                                       |             |           |           |            | ļ        | ļ              | OR             | Oregon                    | 38.    |
| 41. South Carolina       SC         42. South Dakota       SD         43. Tennessee       TN         44. Texas       TX         45. Utah       UT         46. Vermont       VT         47. Virginia       VA         48. Washington       WA         49. West Virginia       WV         50. Wisconsin       WI         51. Wyoming       WY         52. American Samoa       AS         53. Guam       GU         54. Puerto Rico       PR         55. U.S. Virgin Islands       VI         56. Nothern Mariana Islands       MP         57. Canada       CAN         58. Aggregate Other Aliens       OT       0       0       0       0       0         59. Totals       520,255       415,527       85,000       1       1,053,416       2,150,000       15   |                               |                                       |             |           |           |            | ·        |                | PA             | Pennsylvania              | 39.    |
| 41. South Carolina       SC         42. South Dakota       SD         43. Tennessee       TN         44. Texas       TX         45. Utah       UT         46. Vermont       VT         47. Virginia       VA         48. Washington       WA         49. West Virginia       WV         50. Wisconsin       WI         51. Wyoming       WY         52. American Samoa       AS         53. Guam       GU         54. Puerto Rico       PR         55. U.S. Virgin Islands       VI         56. Nothern Mariana Islands       MP         57. Canada       CAN         58. Aggregate Other Aliens       OT       0       0       0       0       0       0         59. Totals       520,255       415,527       85,000       1       1,053,416       2,150,000       15   | 2,005,793                     | 15                                    | 2,150,000   | 1,053,416 | 1         | 85,000     | 415,527  | 520,255        | RI             | Rhode Island              | 40.    |
| 43. Tennessee         TN           44. Texas         TX           45. Utah         UT           46. Vermont         VT           47. Virginia         VA           48. Washington         WA           49. West Virginia         WV           50. Wisconsin         WI           51. Wyoming         WY           52. American Samoa         AS           53. Guam         GU           54. Puerto Rico         PR           55. U.S. Virgin Islands         VI           56. Nothern Marianal Islands         MP           57. Canada         CAN           58. Aggregate Other Aliens         OT         0   |                               |                                       |             |           |           |            |          |                | SC             | South Carolina            | 41.    |
| 44. Texas       TX         45. Utah       UT         46. Vermont       VT         47. Virginia       VA         48. Washington       WA         49. West Virginia       WV         50. Wisconsin       WI         51. Wyoming       WY         52. American Samoa       AS         53. Guam       GU         54. Puerto Rico       PR         55. U.S. Virgin Islands       VI         56. Nothern Mariana Islands       MP         57. Canada       CAN         58. Aggregate Other Aliens       OT       0       0       0       0       0       0         59. Totals       520,255       415,527       85,000       1       1,053,416       2,150,000       15  |                               |                                       |             |           |           |            |          |                |                |                           |        |
| 45. Utah         UT           46. Vermont         VT           47. Virginia         VA           48. Washington         WA           49. West Virginia         WV           50. Wisconsin         WI           51. Wyoming         WY           52. American Samoa         AS           53. Guam         GU           54. Puerto Rico         PR           55. U.S. Virgin Islands         VI           56. Nothern Mariana Islands         MP           57. Canada         CAN           58. Aggregate Other Aliens         OT         0         0         0         0         0         0           59. Totals         520,255         415,527         85,000         1         1,053,416         2,150,000         15   |                               |                                       |             |           |           |            | <u> </u> | ·              |                |                           | 43.    |
| 46. Vermont       VT         47. Virginia       VA         48. Washington       WA         49. West Virginia       WV         50. Wisconsin       WI         51. Wyoming       WY         52. American Samoa       AS         53. Guam       GU         54. Puerto Rico       PR         55. U.S. Virgin Islands       VI         56. Nothern Mariana Islands       MP         57. Canada       CAN         58. Aggregate Other Aliens       OT       0       0       0       0       0       0         59. Totals       520,255       415,527       85,000       1       1,053,416       2,150,000       15   |                               |                                       |             |           |           |            | <u> </u> | <sub> </sub>   |                |                           | 44.    |
| 47. Virginia         VA           48. Washington         WA           49. West Virginia         WV           50. Wisconsin         WI           51. Wyoming         WY           52. American Samoa         AS           53. Guam         GU           54. Puerto Rico         PR           55. U.S. Virgin Islands         VI           56. Nothern Mariana Islands         MP           57. Canada         CAN           58. Aggregate Other Aliens         OT         0         0         0         0         0         0           59. Totals         520,255         415,527         85,000         1         1,053,416         2,150,000         15  |                               | ļ ļ                                   |             |           |           |            | ·····    | <sub> </sub>   |                |                           |        |
| 48. Washington       WA         49. West Virginia       WV         50. Wisconsin       WI         51. Wyoming       WY         52. American Samoa       AS         53. Guam       GU         54. Puerto Rico       PR         55. U.S. Virgin Islands       VI         56. Nothern Mariana Islands       MP         57. Canada       CAN         58. Aggregate Other Aliens       OT       0       0       0       0       0       0         59. Totals       520,255       415,527       85,000       1       1,053,416       2,150,000       15         DETAILS OF WRITE-INS   |                               | ļ ļ                                   |             |           |           |            | [        | <sub>[</sub>   |                |                           |        |
| 49. West Virginia       WV         50. Wisconsin       WI         51. Wyoming       WY         52. American Samoa       AS         53. Guam       GU         54. Puerto Rico       PR         55. U.S. Virgin Islands       VI         56. Nothern Mariana Islands       MP         57. Canada       CAN         58. Aggregate Other Aliens       OT       0       0       0       0       0       0         59. Totals       520,255       415,527       85,000       1       1,053,416       2,150,000       15  |                               | ·                                     |             |           |           |            | <b></b>  | <sub>[</sub> ] |                |                           |        |
| 50. Wisconsin         WI           51. Wyoming         WY           52. American Samoa         AS           53. Guam         GU           54. Puerto Rico         PR           55. U.S. Virgin Islands         VI           56. Nothern Mariana Islands         MP           57. Canada         CAN           58. Aggregate Other Aliens         OT         0         0         0         0         0         0           59. Totals         520,255         415,527         85,000         1         1,053,416         2,150,000         15           DETAILS OF WRITE-INS         OT         0         0         0         1         1,053,416         2,150,000         15  |                               |                                       |             |           |           |            | [        | <sub>[</sub> ] |                |                           |        |
| 51. Wyoming         WY           52. American Samoa         AS           53. Guam         GU           54. Puerto Rico         PR           55. U.S. Virgin Islands         VI           56. Nothern Mariana Islands         MP           57. Canada         CAN           58. Aggregate Other Aliens         OT         0         0         0         0         0         0         0           59. Totals         520,255         415,527         85,000         1         1,053,416         2,150,000         15           DETAILS OF WRITE-INS         0         0         0         0         0         0         0         1   |                               |                                       |             |           |           |            |          |                |                |                           |        |
| 52. American Samoa       AS         53. Guam       GU         54. Puerto Rico       PR         55. U.S. Virgin Islands       VI         56. Nothern Mariana Islands       MP         57. Canada       CAN         58. Aggregate Other Aliens       OT       0       0       0       0       0       0         59. Totals       520,255       415,527       85,000       1       1,053,416       2,150,000       15   |                               |                                       |             |           |           |            |          |                |                |                           |        |
| 53. Guam       GU         54. Puerto Rico       PR         55. U.S. Virgin Islands       VI         56. Nothern Mariana Islands       MP         57. Canada       CAN         58. Aggregate Other Aliens       OT       0       0       0       0       0       0         59. Totals       520,255       415,527       85,000       1       1,053,416       2,150,000       15         DETAILS OF WRITE-INS  |                               | ·                                     |             |           |           |            |          |                |                |                           |        |
| 54. Puerto Rico         PR           55. U.S. Virgin Islands         VI           56. Nothern Mariana Islands         MP           57. Canada         CAN           58. Aggregate Other Aliens         OT         0         0         0         0         0         0           59. Totals         520,255         415,527         85,000         1         1,053,416         2,150,000         15           DETAILS OF WRITE-INS         0         0         0         0         0         0         15   |                               |                                       |             |           |           |            |          |                |                |                           |        |
| 55. U.S. Virgin Islands         VI           56. Nothern Mariana Islands         MP           57. Canada         CAN           58. Aggregate Other Aliens         OT         0         0         0         0         0         0           59. Totals         520,255         415,527         85,000         1         1,053,416         2,150,000         15           DETAILS OF WRITE-INS         0         0         0         0         0         0         0         15  |                               | ····                                  |             |           |           |            |          |                |                |                           |        |
| 56. Nothern Mariana Islands       MP         57. Canada       CAN         58. Aggregate Other Aliens       OT         59. Totals       520,255         415,527       85,000         1       1,053,416         2,150,000       15         DETAILS OF WRITE-INS  |                               | <u> </u>                              |             |           |           |            | <br>     |                |                |                           |        |
| 57. Canada         CAN           58. Aggregate Other Aliens         OT         0   |                               | f                                     |             |           |           |            | <br>     | <br>           |                |                           |        |
| 58. Aggregate Other Aliens         OT         0<   |                               | · · · · · · · · · · · · · · · · · · · |             |           |           |            |          |                |                |                           |        |
| 59. Totals         520,255         415,527         85,000         1         1,053,416         2,150,000         15           DETAILS OF WRITE-INS <td>0</td> <td>0</td> <td></td> <td>Λ</td> <td>n</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td>  | 0                             | 0                                     |             | Λ         | n         |            |          |                |                |                           |        |
| DETAILS OF WRITE-INS   | 2,005,793                     |                                       |             |           | 1         |            | Δ15 527  |                | 01             |                           |        |
|  | ۵,000,793                     | 10                                    | ۷, ۱۵۵, ۵۵۵ | 1,000,410 | 1         | 03,000     | 413,327  | 320,233        | 2              |                           | ეყ.    |
| 10001  |                               |                                       |             |           |           |            |          | <sub> </sub>   |                |                           | 50004  |
| 58002.   |                               |                                       |             |           |           |            |          |                |                |                           |        |
| 58002.   |                               |                                       |             |           |           |            |          |                |                |                           |        |
| 58998. Summary of remaining write-ins for Line   |                               |                                       |             |           |           |            |          |                |                |                           |        |
|  | 0                             | 0                                     | 0           | 0         | 0         | 0          | 0        | 0 l            | IIIO IOI LIIIC | 58 from overflow page     | 55550. |
| 58999. Totals (Lines 58001 through 58003 plus  |                               |                                       |             |           |           |            |          |                |                | Totals (Lines 58001 throu | 58999. |
| 58998)(Line 58 above) 0 0 0 0 0 0  | 0                             | 0                                     | 0           | 0         | 0         | 0          | 0        | 0              |                |                           |        |