## Department of Business Regulation Annual Report

Fair Chance Licensing Act [R.I. Gen. Laws § 28-5.1-14 (I)]
For the period 01/01/2022 to 12/31/2022
The Department issues a variety of licenses, registrations, permits and certificates (collectively, "licenses") including certain occupational licenses that are subject to the requirements of § 28-5.1-14. Due to system reporting functionality and limitations, in addition to occupational license data, this report may include data regarding licenses that are outside the scope of § 28-5.1-14 and/or exempt per federal law as provided in § 28-5.1-14(m). It may also include applications filed by corporate entities and renewal applications for some license types particularly those where filings are made using national filing systems.

| Number of initial applicants | 201,997 initial applications received ${ }^{1}$ |
| :--- | :--- |
| Number of applicants granted | 201,985 applications granted |
| Number of applicants denied for any reason | 1 Gaming facility service employee <br> 1 Gaming vendor employee <br> 1 professional engineer registration applications <br> 9 contractor registration applications |
| If available, breakdown of race/ethnicity | N/A ${ }^{2}$ |
| If available, breakdown by gender | N/A |
| If available, breakdown by city/town of <br> residence | N/A |
| Number of applicants denied solely, or in <br> part, because of criminal conviction | 0 |

[^0]The Department issues a variety of licenses, registrations, permits and certificates (collectively, "licenses") including certain occupational licenses that are subject to the requirements of $\S 28$ -5.1-14. Due to system reporting functionality and limitations, in addition to occupational license data, this report may include data regarding licenses that are outside the scope of § 28-5.1-14 and/or exempt per federal law as provided in § 28-5.1-14(m). It may also include applications filed by corporate entities and renewal applications for some license types particularly those where may be provided by some applicants for certain licenses, reports containing a breakdown by city/town are not readily available from the licensing systems used by the Department

| Division/Subject Area/Board | Credential Type | \# Initial Applicants [for license, registration, permit, certificate] | *If available, breakdown of race/ethnicity; gender; city/town of residence | \# Granted | \# Denied for any reason | \# Denied solely, or in part, because of criminal conviction |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Appraisers Board | Certified General Real Estate Appraiser | 102 | n/a | 102 | 0 | 0 |
| Appraisers Board | Certified Residential Real Estate Appraiser | 103 | n/a | 103 | 0 | 0 |
| Appraisers Board | Licensed Real Estate Appraiser | 26 | n/a | 26 | 0 | 0 |
| Appraisers Board | Real Estate Appraiser Temporary Practice Permit | 112 | n/a | 112 | 0 | 0 |
| Appraisers Board | Real Estate Appraiser Trainee | 17 | n/a | 17 | 0 | 0 |
|  |  |  |  |  |  |  |
| Constables | Constables | 7 | n/a | 7 | 0 | 0 |
| Auto Body \& Salvage | Auto Body - LTD Paint Restoration \& Customization | 3 | n/a | 3 | 0 | 0 |
| Auto Body \& Salvage | Auto Body - Motor Vehicle Body Class B License | 85 | n/a | 85 | 0 | 0 |
| Auto Body \& Salvage | Auto Glass | 9 | n/a | 9 | 0 | 0 |
| Auto Body \& Salvage | Auto Salvage Rebuilder | 13 | n/a | 13 | 0 | 0 |
| Auto Body \& Salvage | Auto Wrecking \& Salvage Yard | 7 | n/a | 7 | 0 | 0 |
| Auto Body \& Salvage | Motor Vehicle Body Class A License | 20 | n/a | 20 | 0 | 0 |
| Mobile Food Est. | Mobile Food Trucks | 686 | n/a | 686 | 0 | 0 |
|  |  |  |  |  |  |  |
| Gaming \& Athletics | Athlete | 74 | n/a | 74 | 0 | 0 |
| Gaming \& Athletics | Athletics Manager/Second | 143 | n/a | 143 | 0 | 0 |
| Gaming \& Athletics | Athletics Occupational | 41 | n/a | 41 | 0 | 0 |
| Gaming \& Athletics | Concessionaire \& Vendor Employee | 171 | n/a | 171 | 0 | 0 |
| Gaming \& Athletics | Gaming Facility - Key Employee | 15 | n/a | 15 | 0 | 0 |
| Gaming \& Athletics | Gaming Facility - Operation Employee | 265 | n/a | 265 | 0 | 0 |
| Gaming \& Athletics | Gaming Facility - Service Employee | 65 | n/a | 64 | 1 | 0 |
| Gaming \& Athletics | Gaming Vendor Employee | 69 | n/a | 68 | 1 | 0 |
|  |  |  |  |  |  |  |
| Liquor Regulation | Brewery | 34 | n/a | 34 | 0 | 0 |
| Liquor Regulation | Brewpub Manufacturer | 10 | n/a | 10 | 0 | 0 |
| Liquor Regulation | Class P - Caterer | 76 | n/a | 76 | 0 | 0 |
| Liquor Regulation | Farmer Winery | 17 | n/a | 17 | 0 | 0 |
| Liquor Regulation | Liquor Agent | 65 | n/a | 65 | 0 | 0 |
| Liquor Regulation | Rectifier | 8 | n/a | 8 | 0 | 0 |
| Liquor Regulation | Sales | 231 | n/a | 231 | 0 | 0 |
| Liquor Regulation | Wholesale Class A | 15 | n/a | 15 | 0 | 0 |
| Liquor Regulation | Wholesale Class B | 32 | n/a | 32 | 0 | 0 |
| Liquor Regulation | Winery | 5 | n/a | 5 | 0 | 0 |
|  |  |  |  |  |  |  |
| Real Estate Commission | Real Estate Broker | 1,995 | n/a | 1,995 | 0 | 0 |
| Real Estate Commission | Real Estate Salesperson | 5,860 | n/a | 5,860 | 0 | 0 |
|  |  |  |  |  |  |  |
| Securities Regulation | Fundraising Counsel | 227 | n/a | 227 | 0 | 0 |
| Securities Regulation | Professional Fundraiser | 67 | n/a | 67 | 0 | 0 |
| Securities Regulation | Investment Adviser Representative | 3,722 | n/a | 3,722 | 0 | 0 |
| Securities Regulation | Broker Dealer Sales Representative | 138,826 |  | 138,826 | 0 | 0 |
|  |  |  |  |  |  |  |
| Cannabis Regulation | Licensee Registry ID Cardholder | 1,419 | n/a | 1,419 | 0 | 0 |
|  |  |  |  |  |  |  |
| Building, Design \& Fire | Contractor Registration \& Licensing | 1,202 | n/a | 1,193 | 9 | 0 |
| Building, Design \& Fire | Architects | 144 | n/a | 144 | 0 | 0 |
| Building, Design \& Fire | Landscape Architects | 8 | n/a | 8 | 0 | 0 |
| Building, Design \& Fire | Engineers | 389 | n/a | 388 | 1 | 0 |
| Building, Design \& Fire | Land Surveyors | 5 | n/a | 5 | 0 | 0 |
| Building, Design \& Fire | Accountants | 53 | n/a | 53 | 0 | 0 |
| Building, Design \& Fire | Fireworks/Pyrotechnician | 13 | n/a | 13 | 0 | 0 |
| Building, Design \& Fire | Blasting | 71 | n/a | 71 | 0 | 0 |
| Building, Design \& Fire | Blasting Apprentice | 9 | n/a | 9 | 0 | 0 |
| Building, Design \& Fire | Fixed/Potable Extinguisher | 238 | n/a | 238 | 0 | 0 |
| Building, Design \& Fire | Fixed/Portable Extinguisher Apprentice | 13 | n/a | 13 | 0 | 0 |
|  |  |  |  |  |  |  |
| Insurance Regulation | Company/Independent Adjuster | 19,532 | n/a | 19,532 | 0 | 0 |
| Insurance Regulation | Emergency MVDA or Adjuster | 0 | n/a | 0 | 0 | 0 |
| Insurance Regulation | Insurance Producer | 23,452 | n/a | 23,452 | 0 | 0 |
| Insurance Regulation | Motor Vehicle Damage Appraiser | 325 | n/a | 325 | 0 | 0 |
| Insurance Regulation | Public Adjuster | 31 | n/a | 31 | 0 | 0 |
| Insurance Regulation | Reinsurance Intermediary Broker or Manager | 19 | n/a | 19 | 0 | 0 |
| Insurance Regulation | Surplus Lines Broker | 416 | n/a | 416 | 0 | 0 |
| Insurance Regulation | Temporary Insurance Producer | 0 | n/a | 0 | 0 | 0 |
| Insurance Regulation | Title Insurance | 113 | n/a | 113 | 0 | 0 |
|  |  |  |  |  |  |  |
| Banking Regulation | Mortgage Loan Originator | 1,322 | n/a | 1,322 | 0 | 0 |
|  |  |  |  |  |  |  |
| TOTALS |  | 201,997 |  | 201,985 | 12 | 0 |


[^0]:    ${ }^{1}$ See attached for a breakdown of applications by license/registration type.
    ${ }^{2}$ The Department does not require information regarding applicant race, ethnicity, or gender as part of licensing. Although residential addresses may be provided by some applicants for certain licenses, reports containing a breakdown by city/town are not readily available from the licensing systems used by the Department.

